

# Ian Fleming's Molecular Orbitals and Organic Chemical Reactions

## Preface

- 1 Molecular Orbital Theory
- 2 The Structures of Organic Molecules
- 3 Chemical Reactions—How Far and How Fast
- 4 Ionic Reactions—Reactivity
- 5 Ionic Reactions—Stereochemistry
- 6 Thermal Pericyclic Reactions
- 7 Radical Reactions
- 8 Photochemical Reactions

# The Effect of $\pi$ Conjugation $\pi$ 共役効果

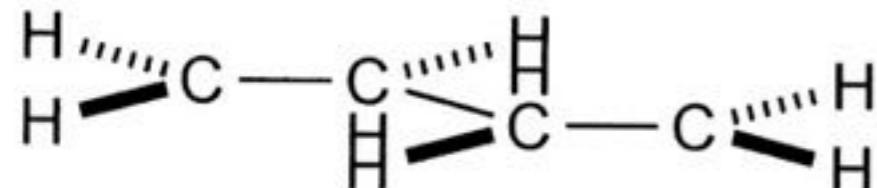
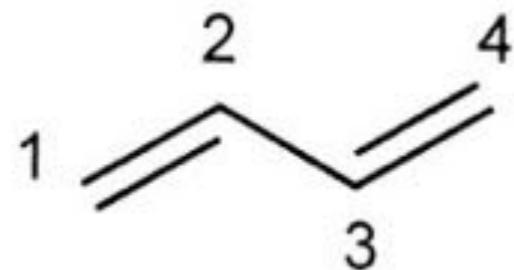
Substituent 置換基

Substituent Effect 置換基効果

$\text{---C}$	stands for	 or $\text{---Ph}$	etc.	$\pi$ donors or $\pi$ acceptors and $\sigma$ neutral
$\text{---Z}$	stands for	$\left\{ \begin{array}{l} \text{---C(=O)R} \text{ or } \text{---C(=O)OMe} \\ \text{or } \text{---C}\equiv\text{N} \text{ or } \text{---NO}_2 \text{ etc.} \\ \text{or } \text{---metal e.g. ---SiMe}_3 \text{ ---BR}_2 \end{array} \right\}$		$\pi$ acceptors and $\sigma$ acceptors
$\text{---X}$	stands for	$\left\{ \begin{array}{l} \text{---OMe} \text{ or } \text{---NMe}_2 \text{ etc.} \\ \text{or } \text{---CH}_3 \text{ etc.} \end{array} \right\}$		$\pi$ acceptors but $\sigma$ donors
				$\pi$ donors but $\sigma$ acceptors
				$\pi$ donors but $\sigma$ neutral

Fig. 2.1 Definitions and character of C-, Z- and X-substituents

# Butadiene



$$c_{jr} = \sqrt{\frac{2}{n+1}} \sin \frac{rj\pi}{n+1}$$

**Frontier Orbitals:**

HOMO: Highest Occupied MO

A(Antisymmetric)

3 nodes

S(Symmetric)

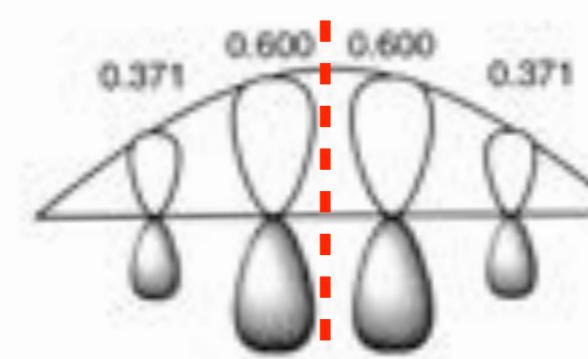
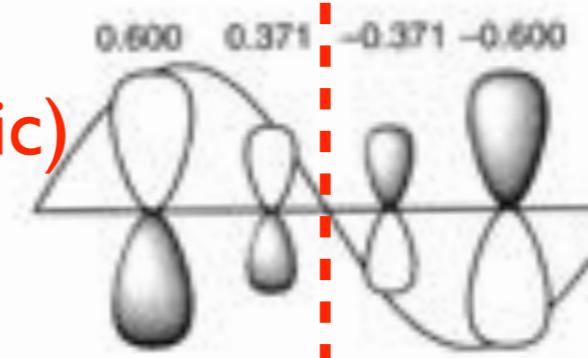
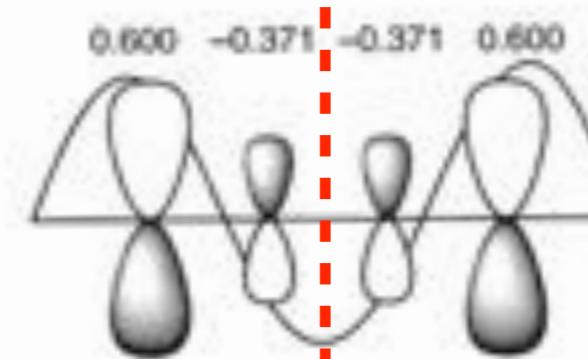
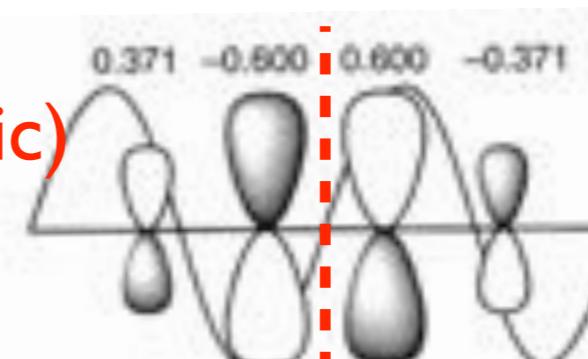
2 nodes

A(Antisymmetric)

1 node

S(Symmetric)

0 nodes



-

LUMO

+

HOMO

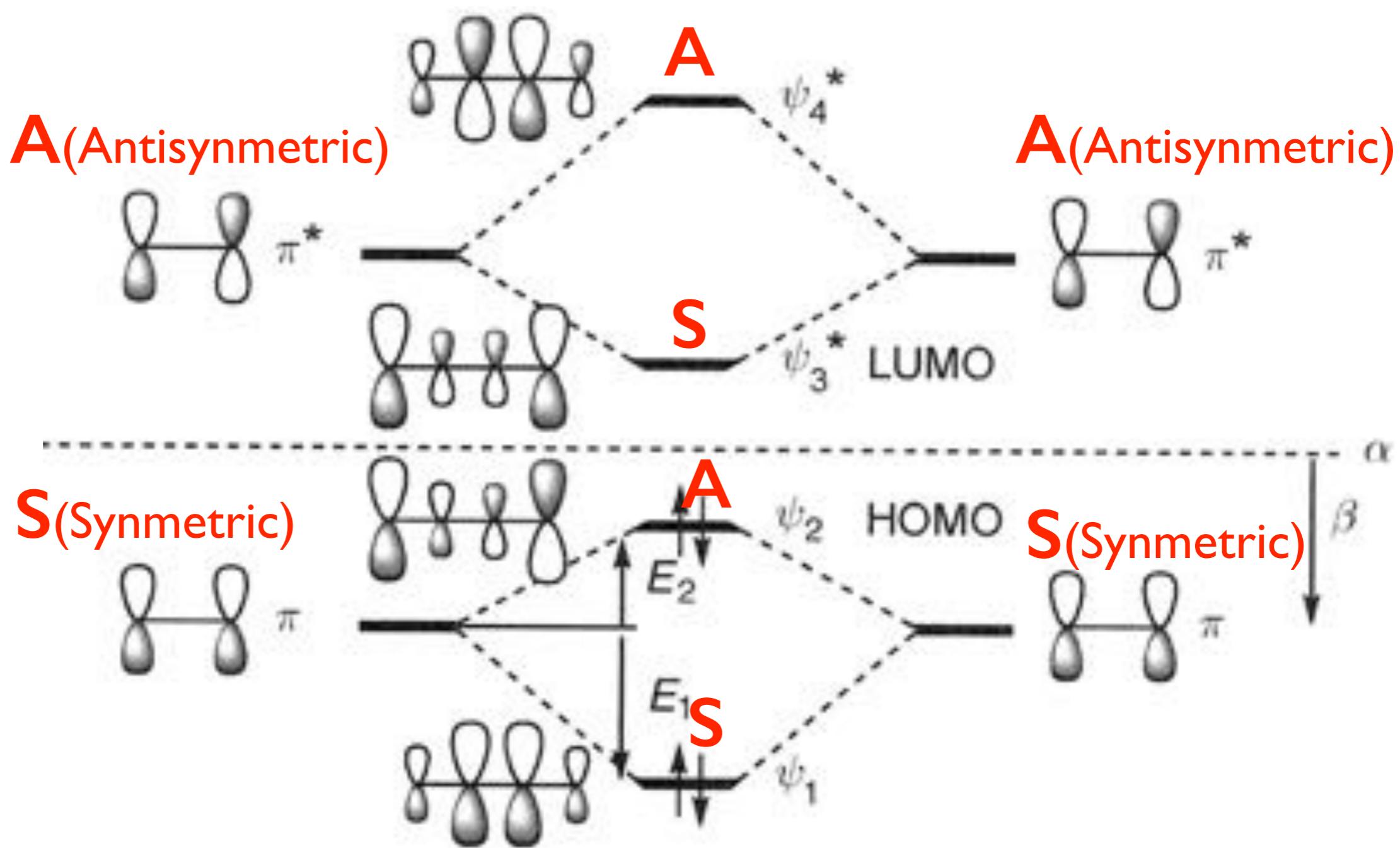
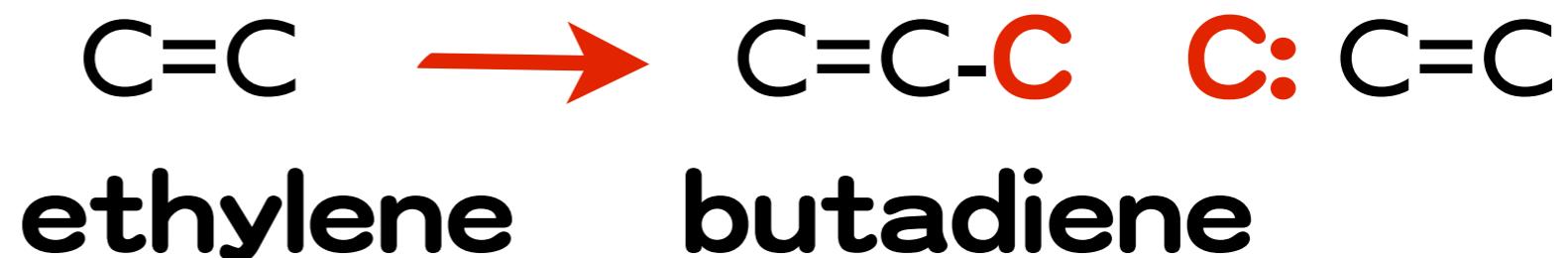
+

LUMO: Lowest Unoccupied MO

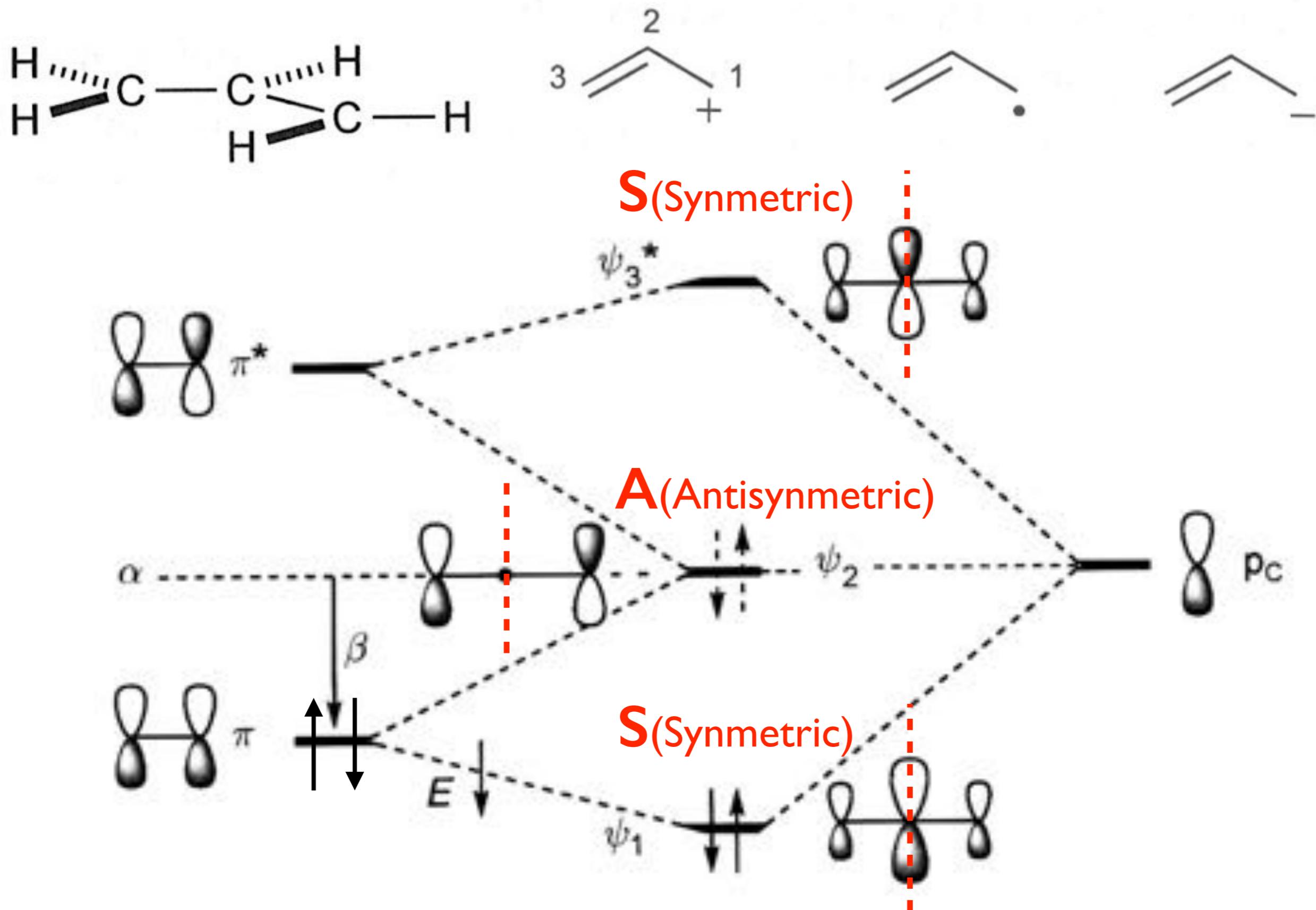
# *The Effect of Substituents on the Stability of Alkenes*

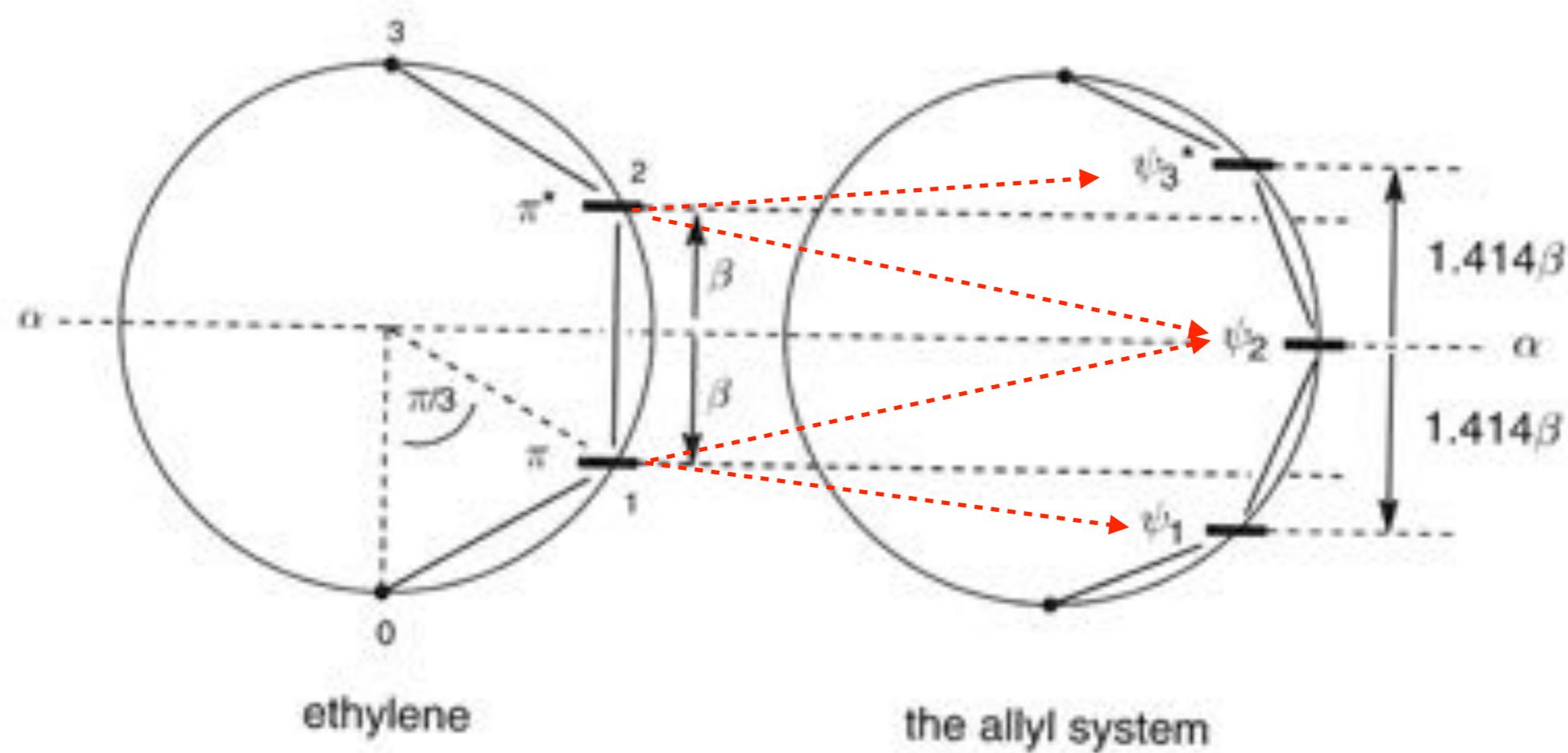
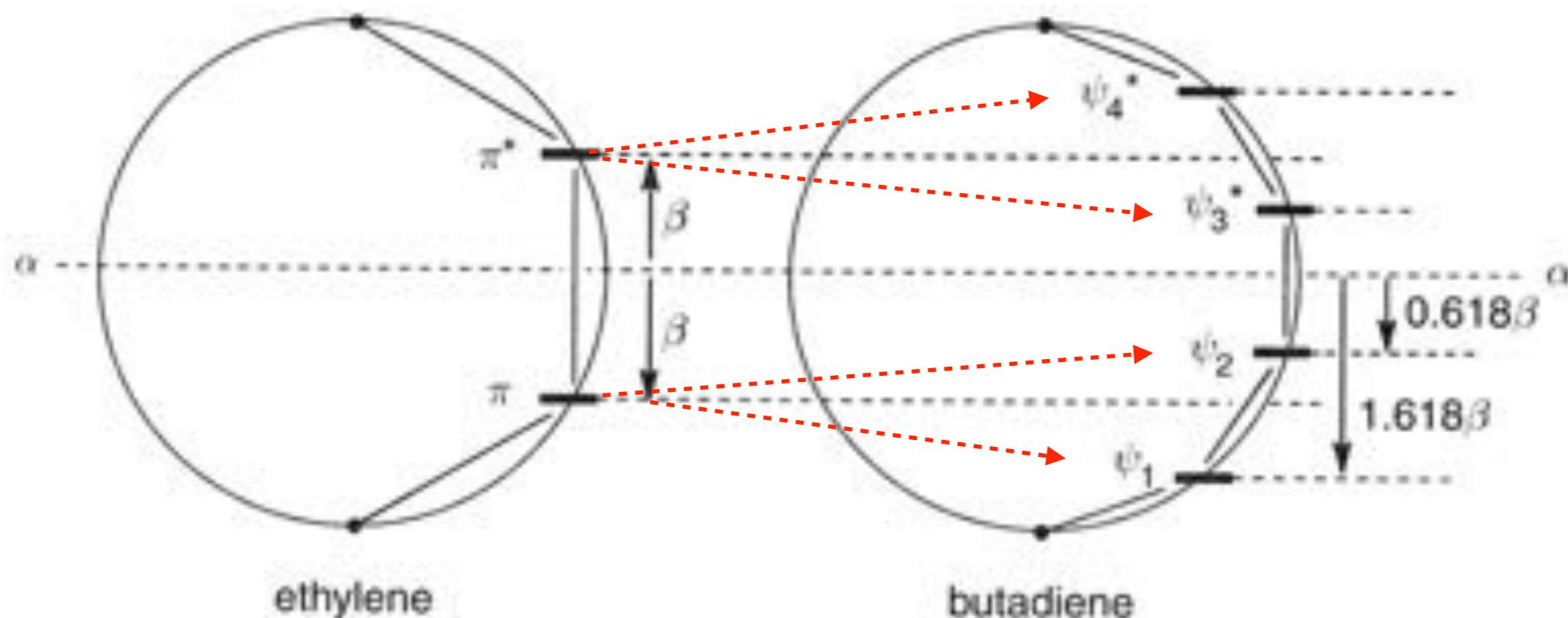
### *C-Substituents.*

$\pi$  donors or  $\pi$  acceptors  
and  $\sigma$  neutral



# *C-Substituents.* allyl system



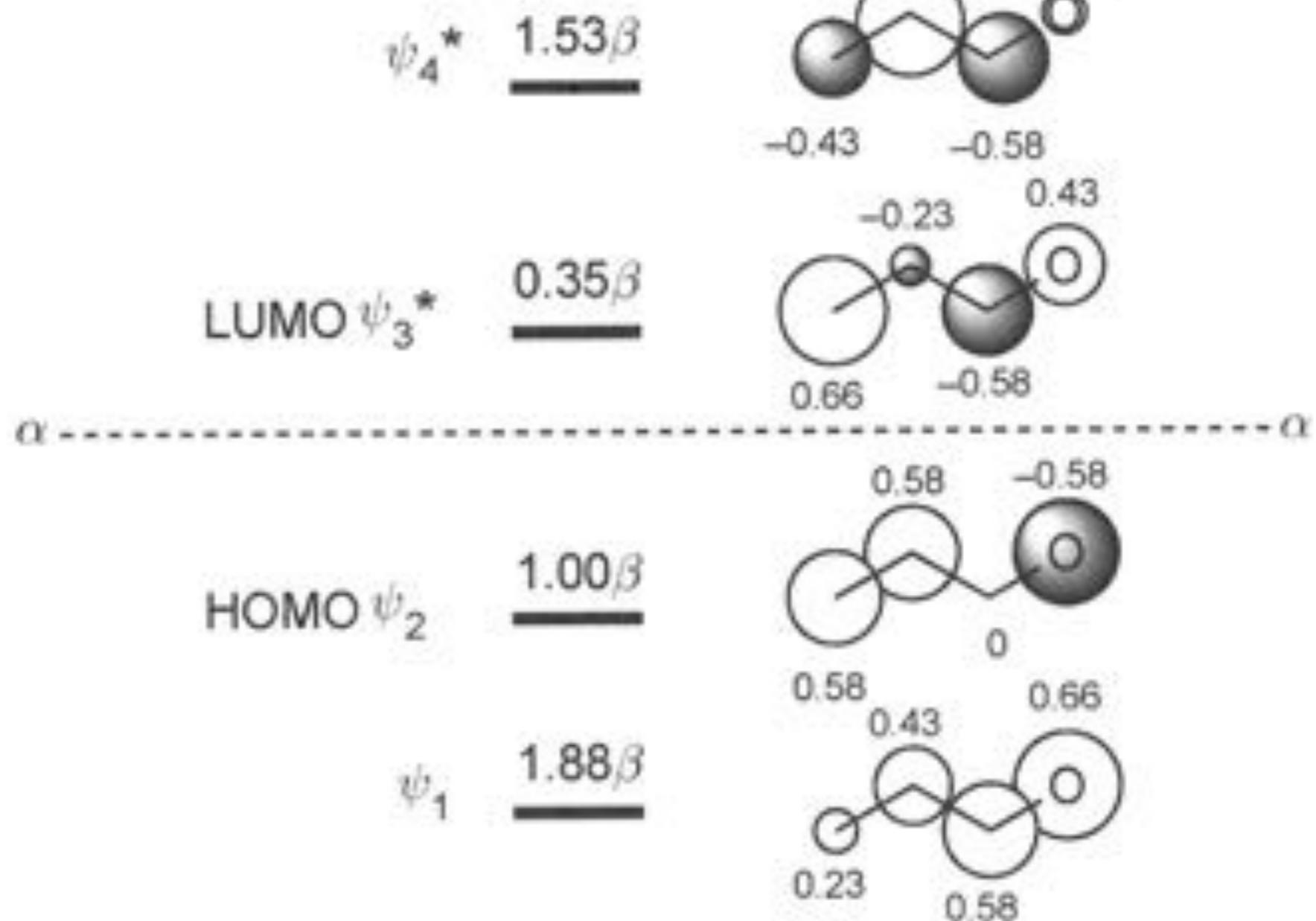


*Z-Substituents.*  
 $\pi$  acceptors  
and  $\sigma$  acceptors

C=C → C=C-Z   Z: CH=O  
ethylene   acrolein



2.1



*X*-substituents



$\pi$  donors  
but  $\sigma$  acceptors

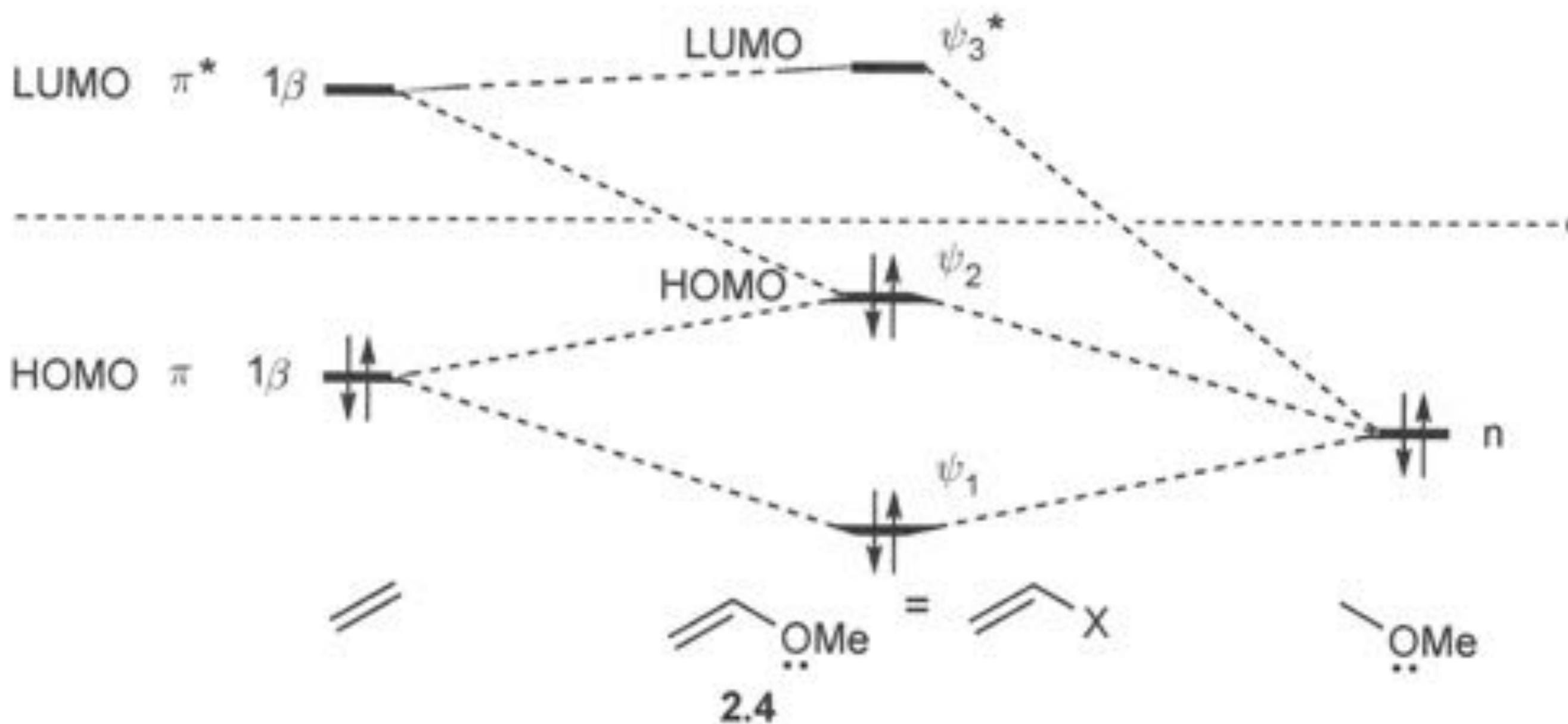


Fig. 2.5 Energies of the  $\pi$  orbitals of an  $X$ -substituted alkene

# The Effect of Substituents on the Stability of Carbocations

Z-Substituents.



$\pi$  acceptors  
and  $\sigma$  acceptors

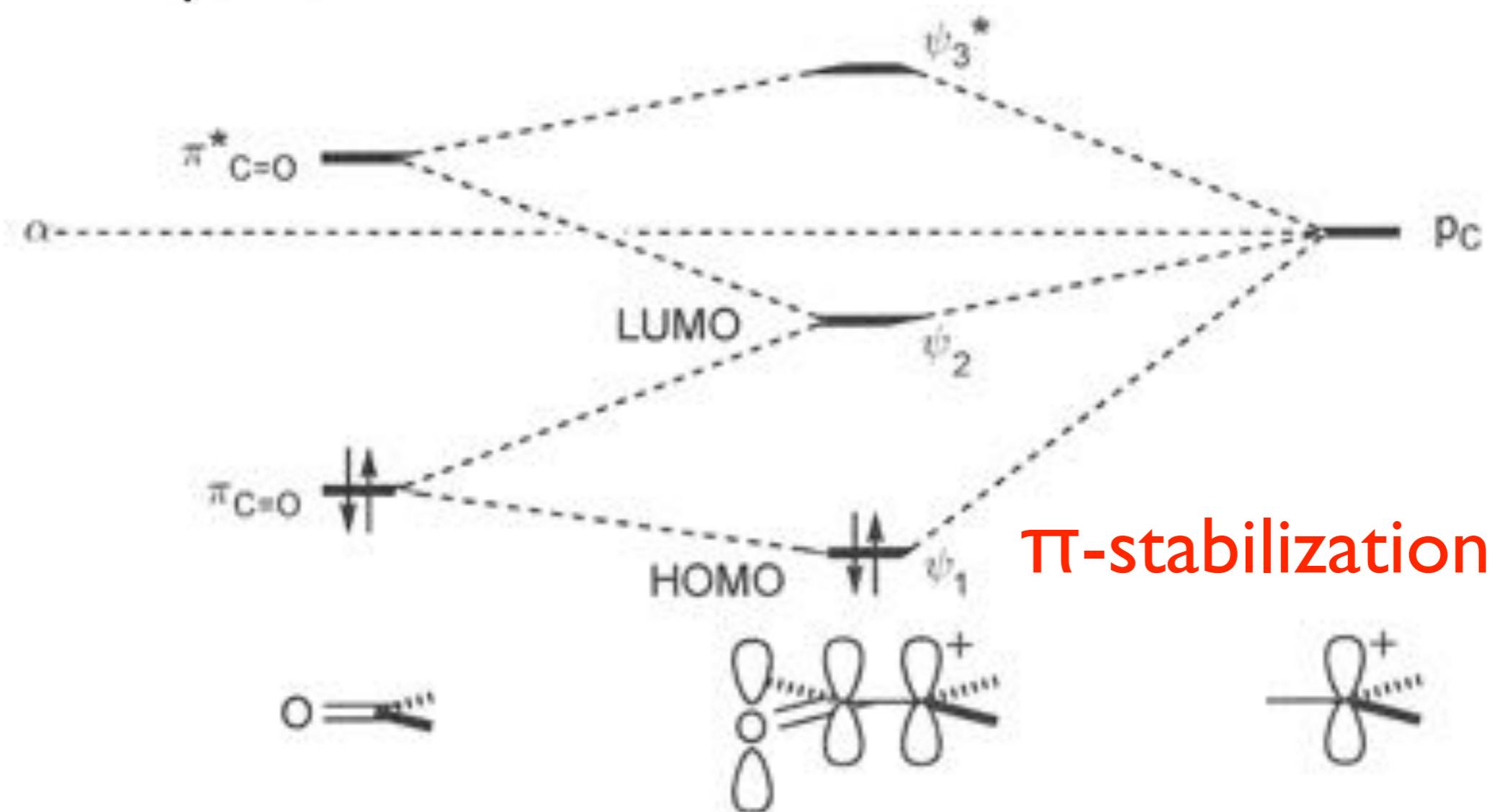


Fig. 2.7 The  $\pi$  orbitals of a carbocation conjugated to a Z-substituent

# $\sigma$ Conjugation—Hyperconjugation 超共役

$C-H$  Hyperconjugation

bond lengthening

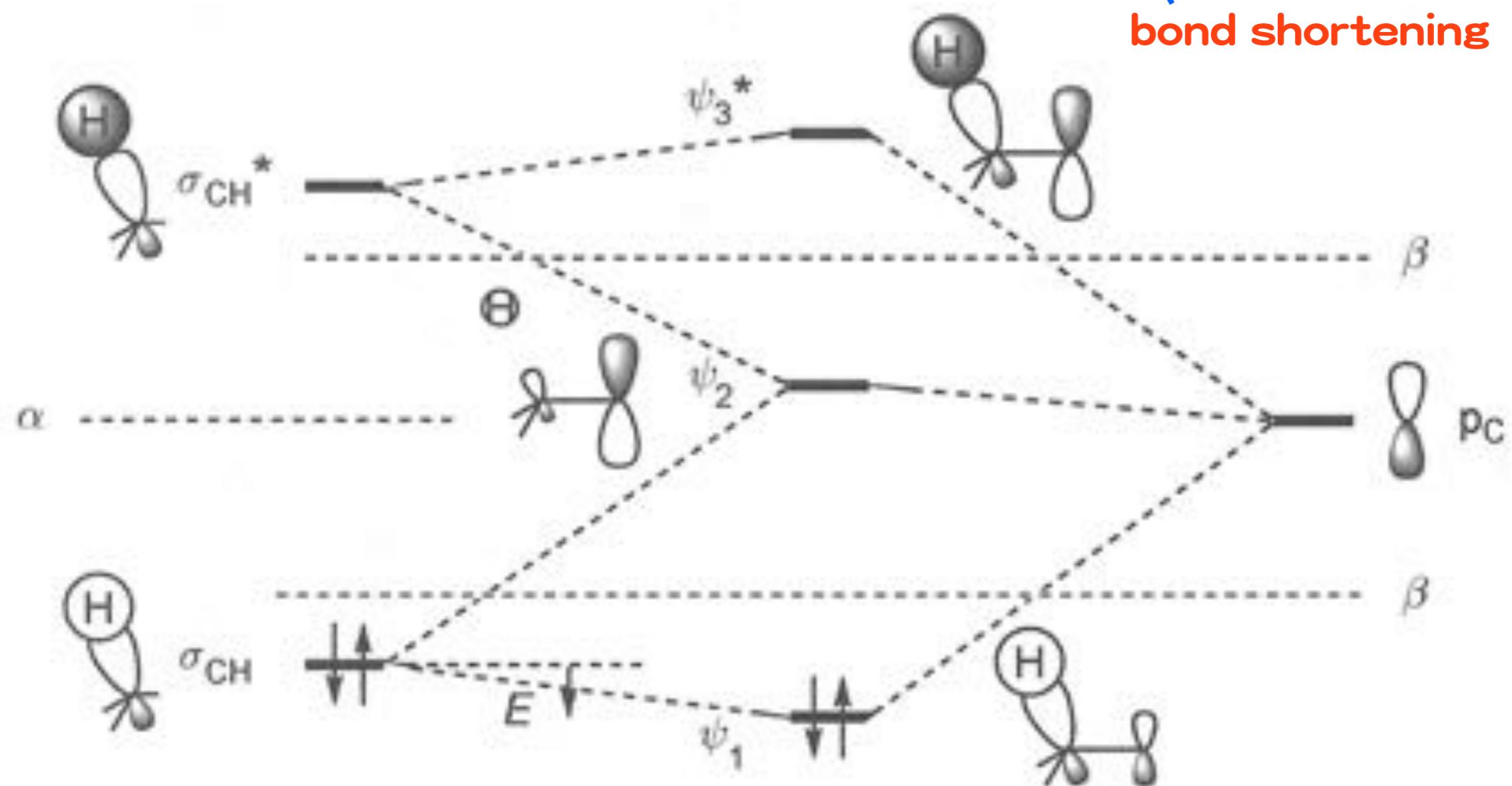
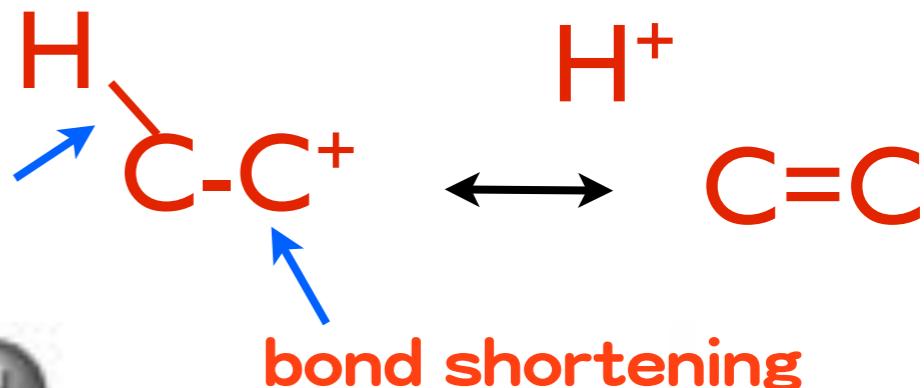
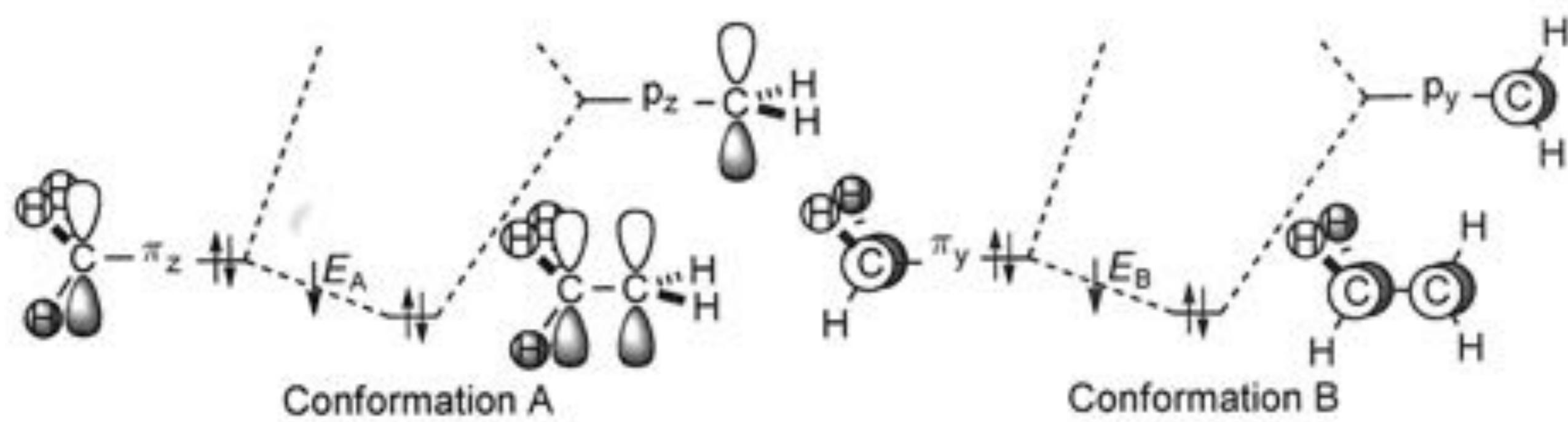


Fig. 2.9 Interaction of the orbitals of a  $\sigma$  C—H bond with an empty p orbital on carbon

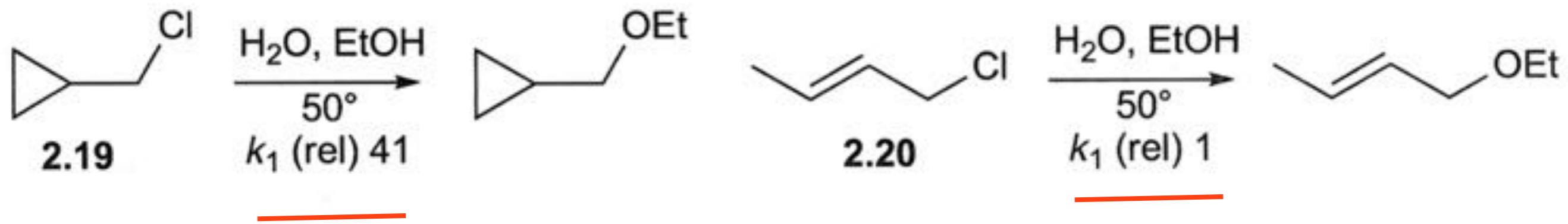
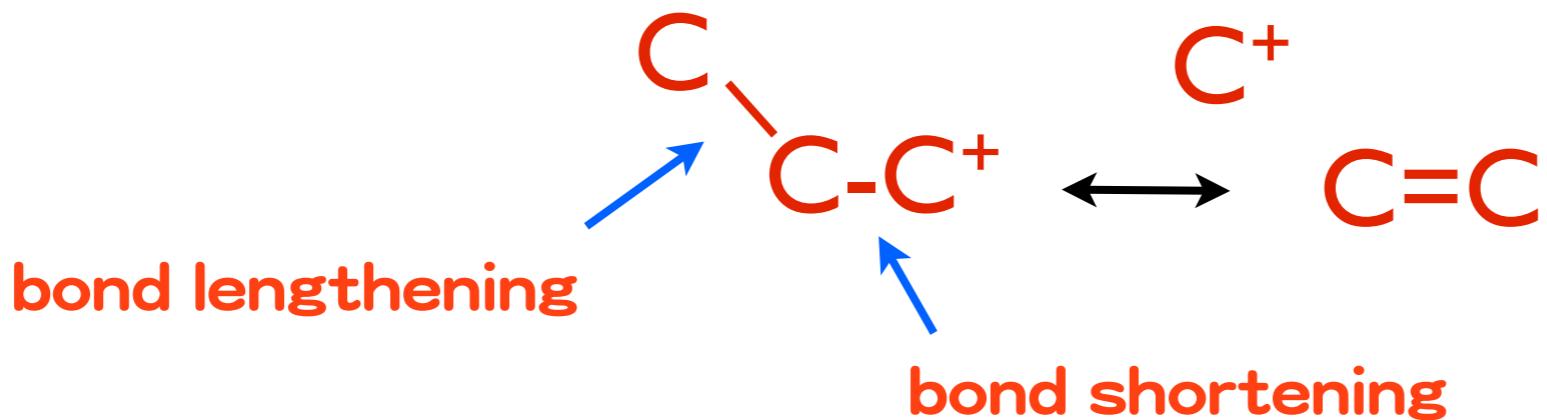
# $C-H$ Hyperconjugation 超共役



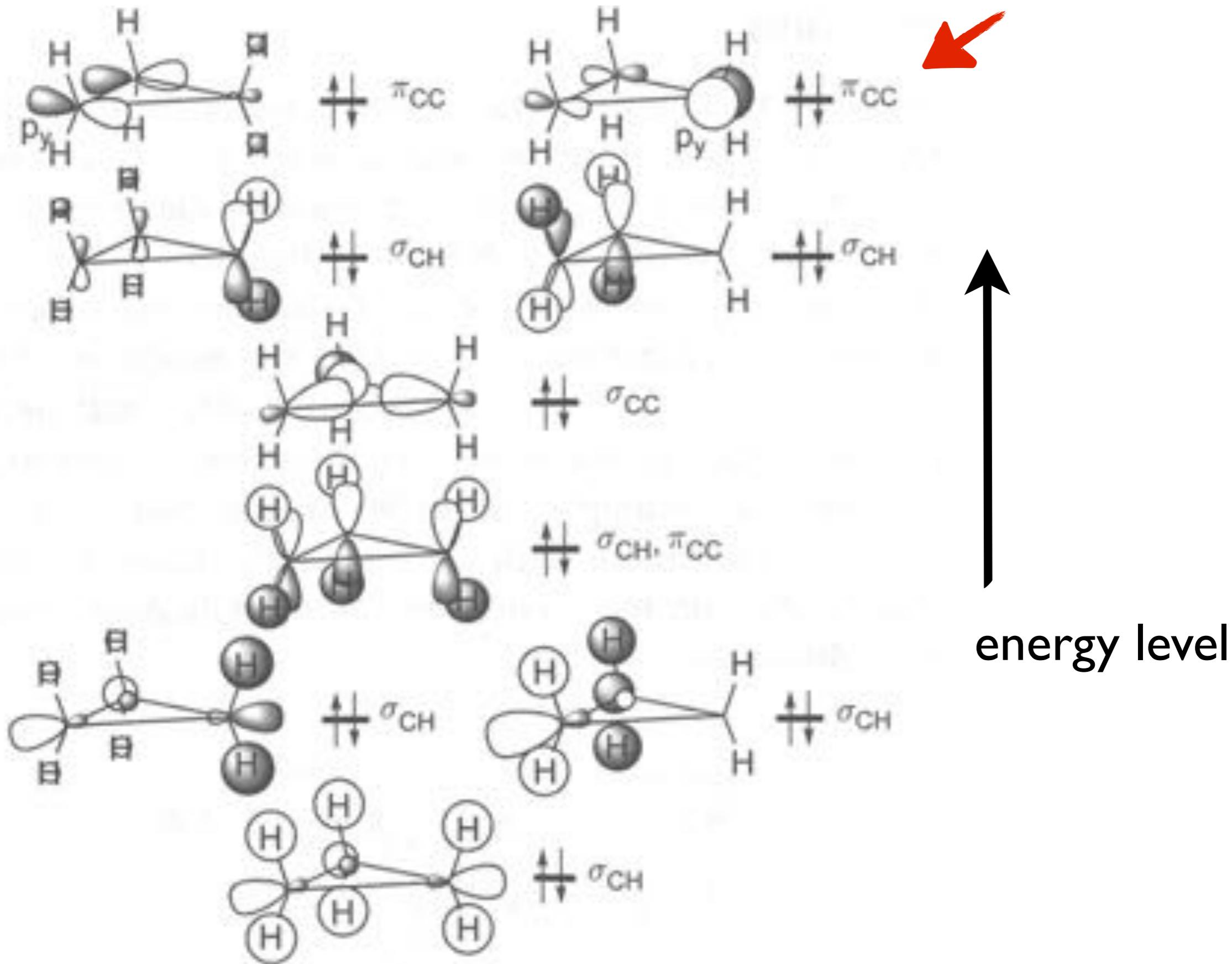
**Fig. 2.10** Orbital interactions stabilising two conformations of the ethyl cation

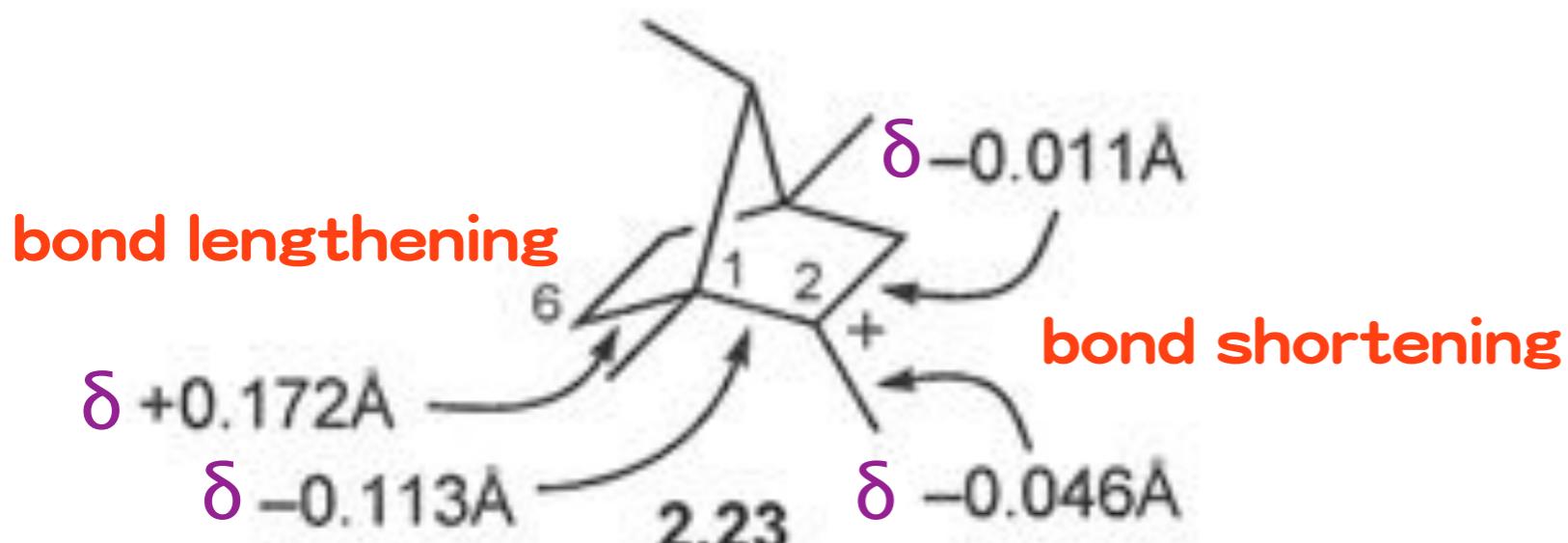
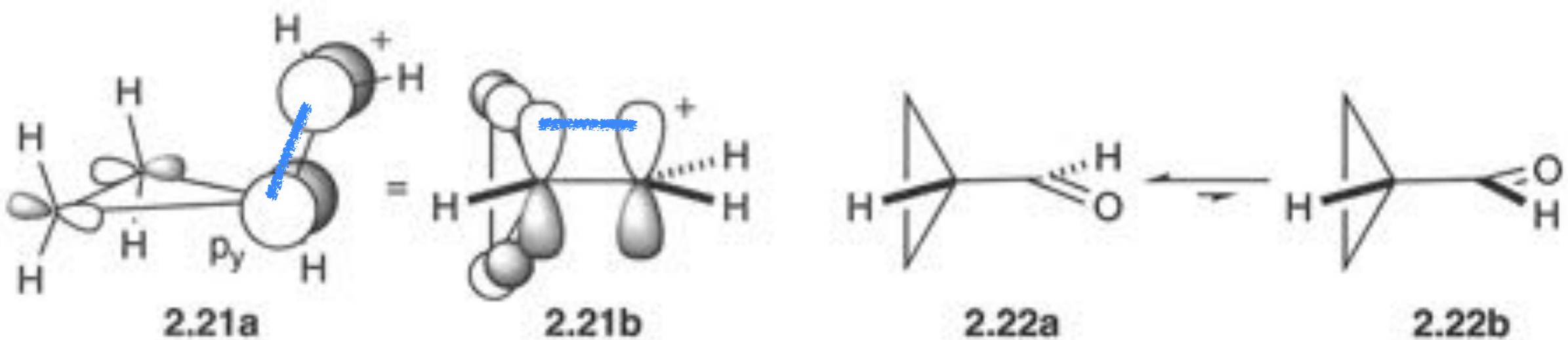
# *C—C Hyperconjugation*

超共役



# Walsh Orbitals of Cyclopropane



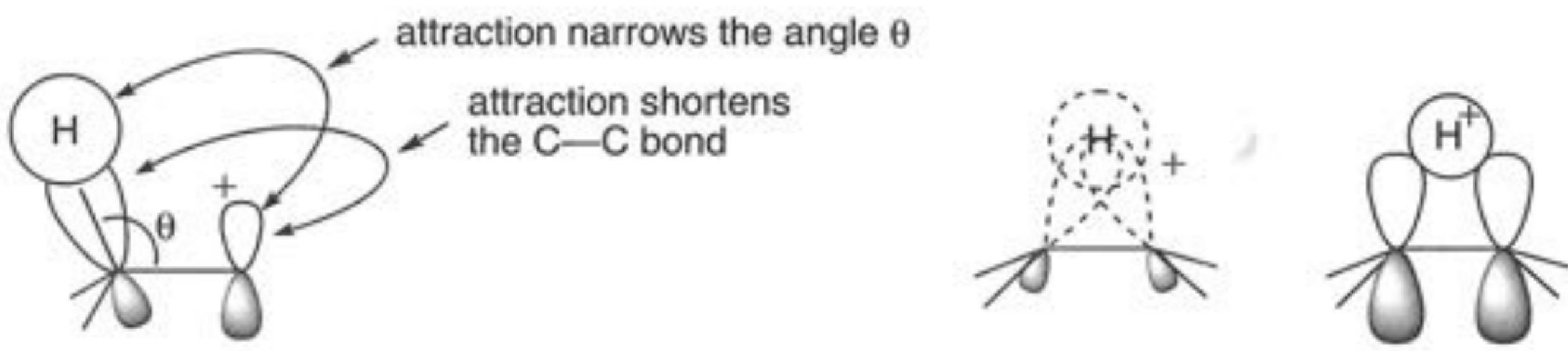


## bicyclo[2.2.1]heptyl cation

typical C(sp<sup>3</sup>)-C(sp<sup>2</sup>): 1.522 Å

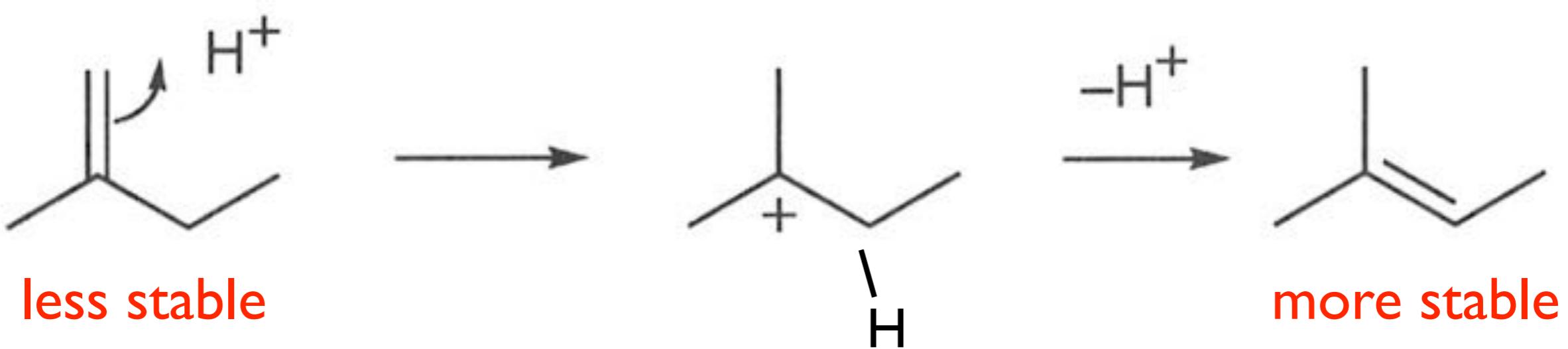
typical C(sp<sup>3</sup>)-C(sp<sup>3</sup>): 1.538 Å

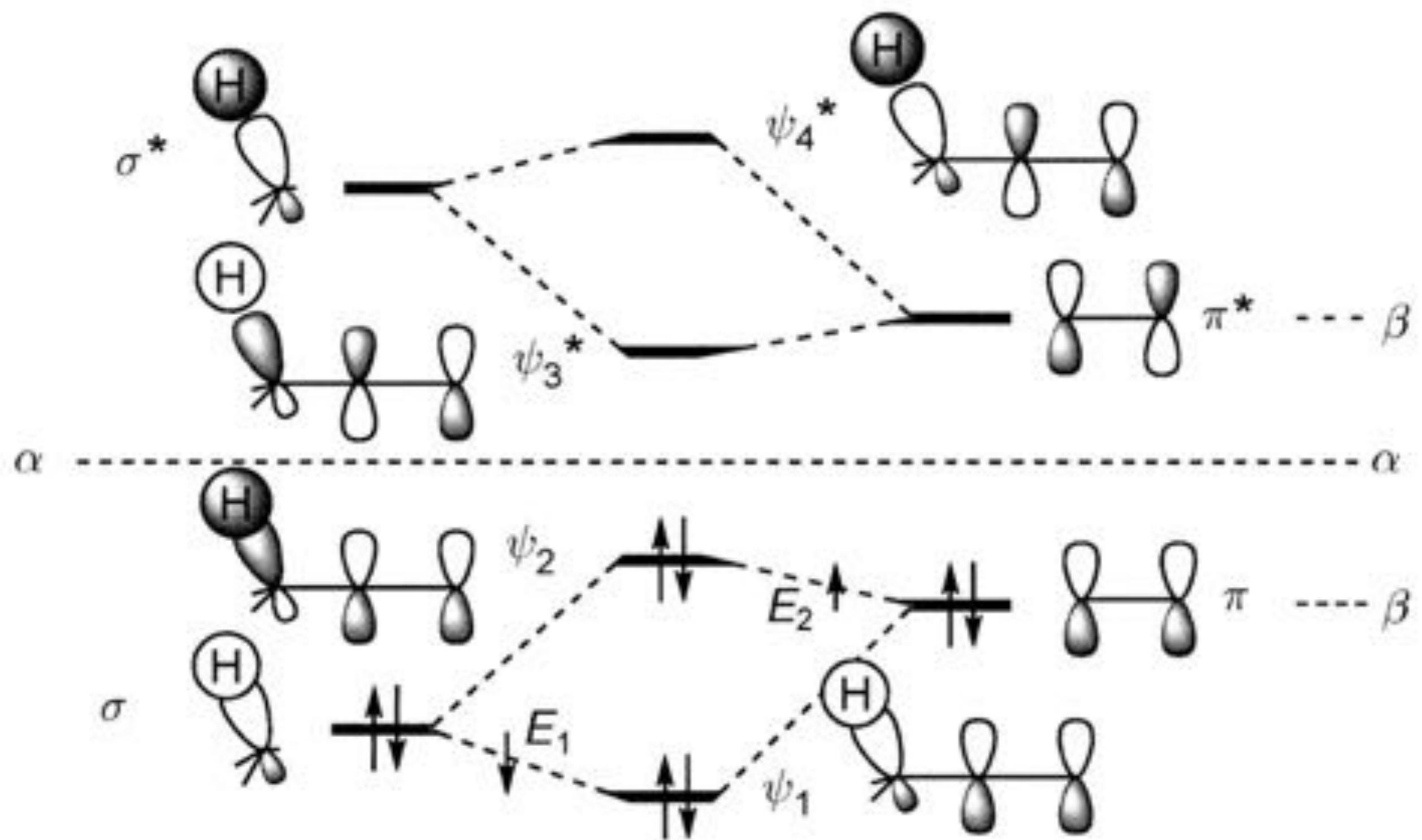
## Structure of Carbocations



Cf: Transition State Structures of Carbocations  
in 1,2-Hydride shift and Wagner-Meerwein Type  
Rearrangement

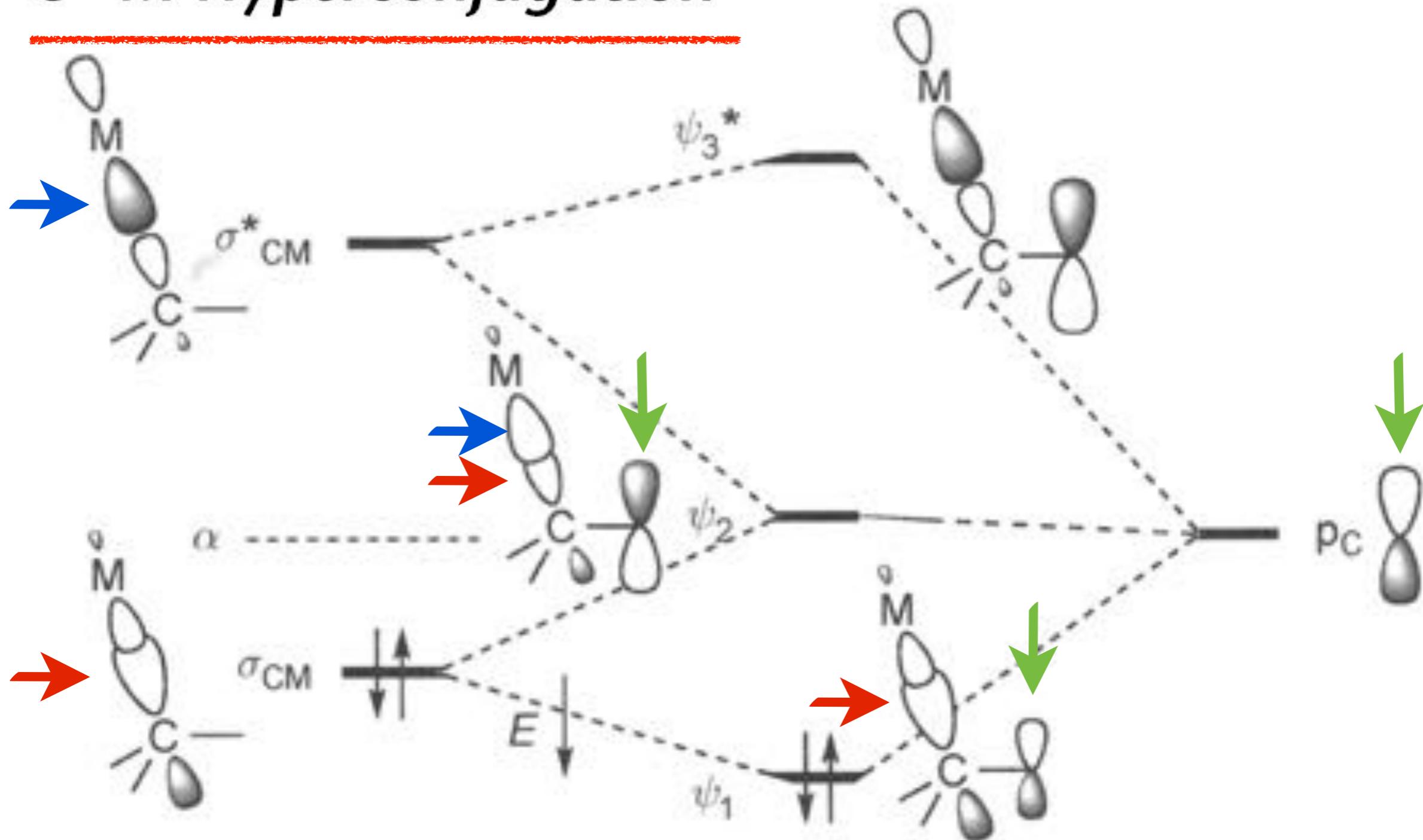
## Proton-catalysed Isomerisation of Olefins





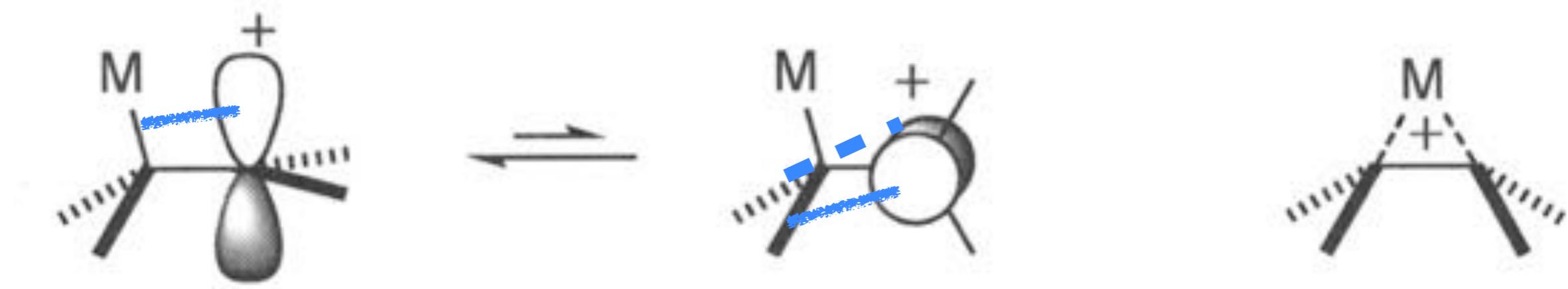
**Fig. 2.11** Hyperconjugative stabilisation of a  $\text{C}=\text{C}$   $\pi$  bond

## C—M Hyperconjugation



**Fig. 2.13** Interaction of the orbitals of a carbon-metal bond with an empty p orbital on carbon

**Interaction of carbocation with  $\beta$ -C-M bond is stronger than  $\beta$ -C-H and C-C bonds**



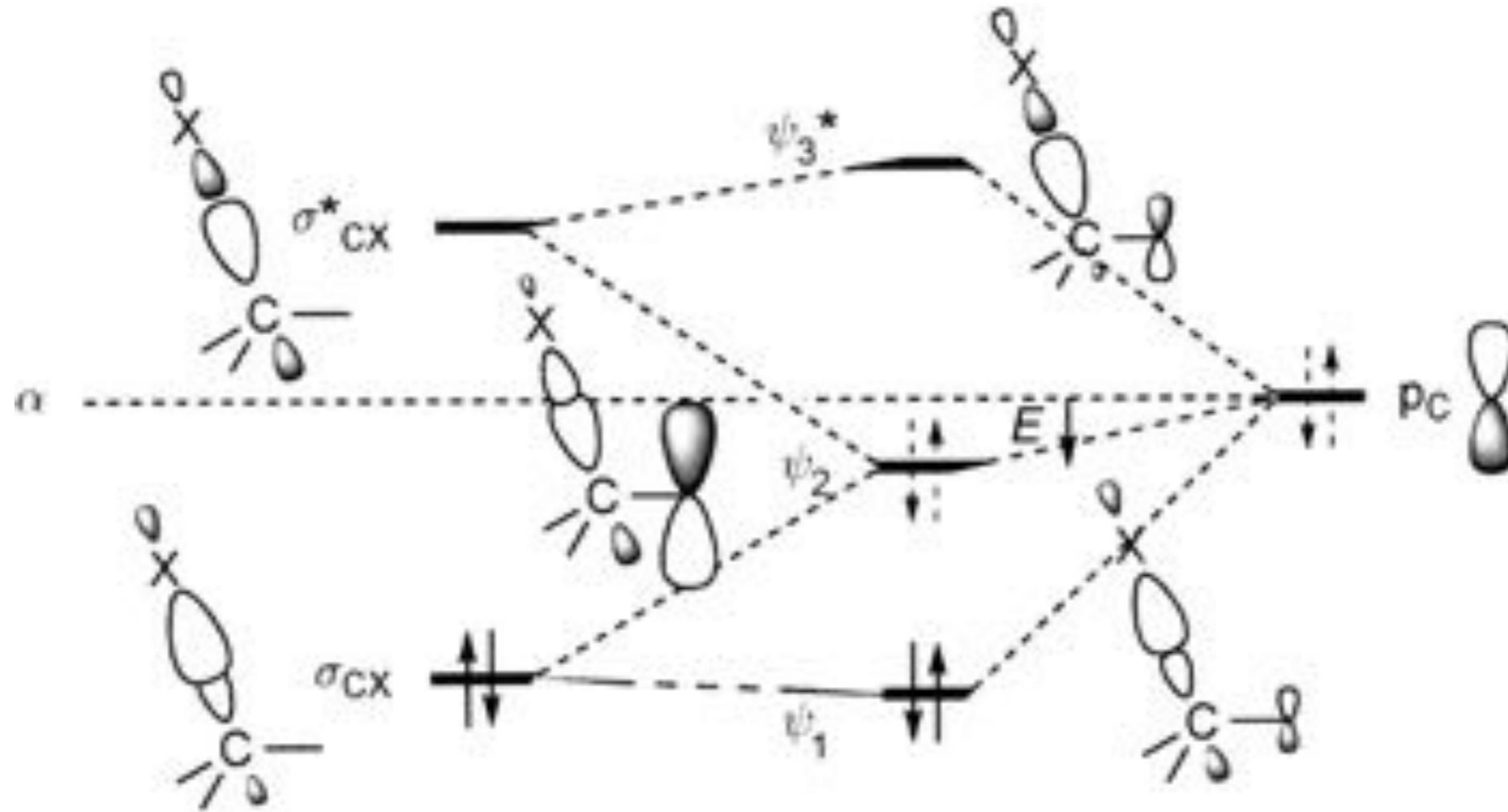
**more stable**

**bridged structure  
only with ethyl cation  
substituted by SiMe<sub>3</sub>**

**most of metal cations  
bridged with olefins**

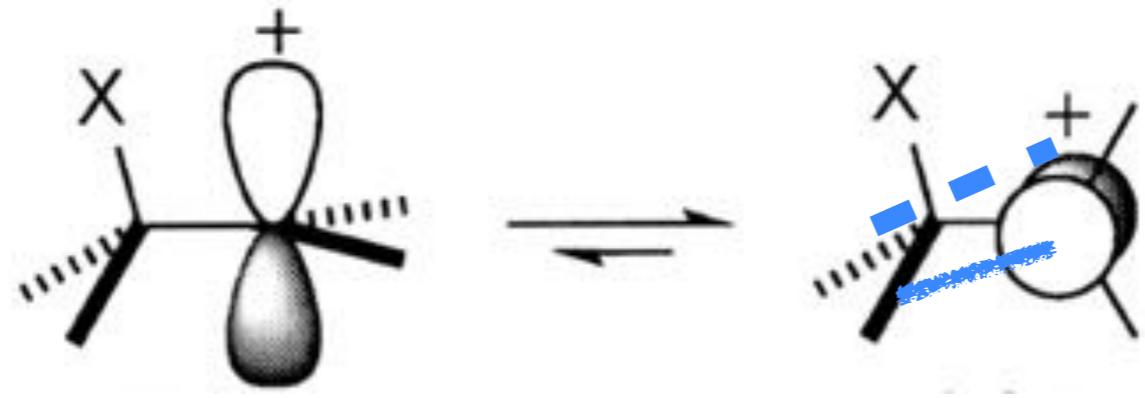
## Negative Hyperconjugation

*Negative Hyperconjugation with a Cation.*



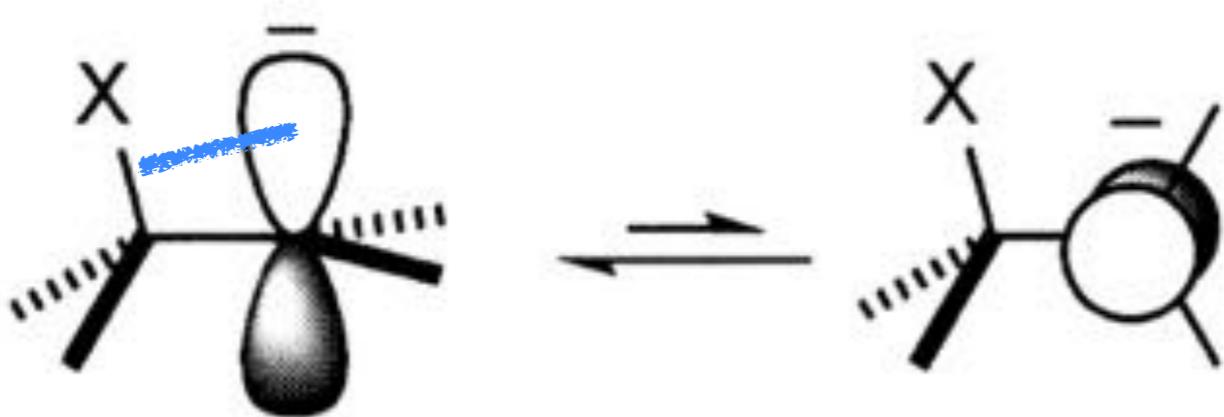
Interaction of the orbitals of a bond between carbon and an electronegative element X with a p orbital on carbon

**little energy-lowering effect on a cation by low lying  $\sigma$  C-X bond**

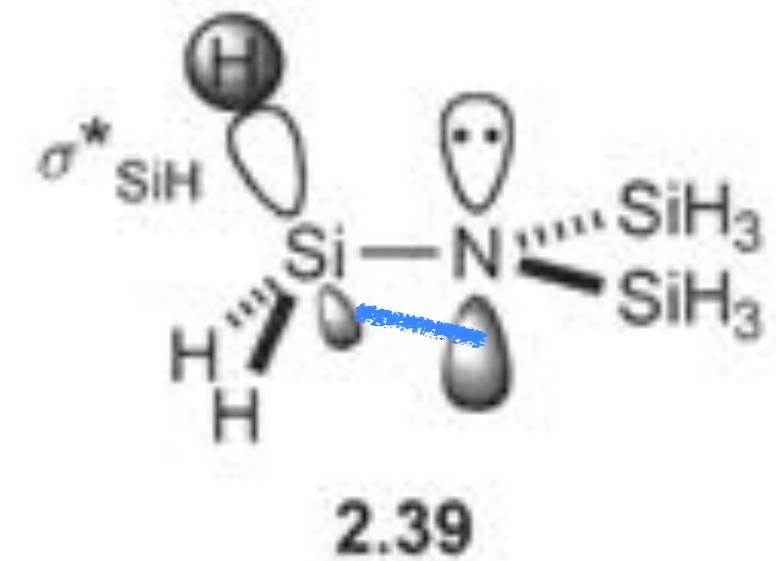
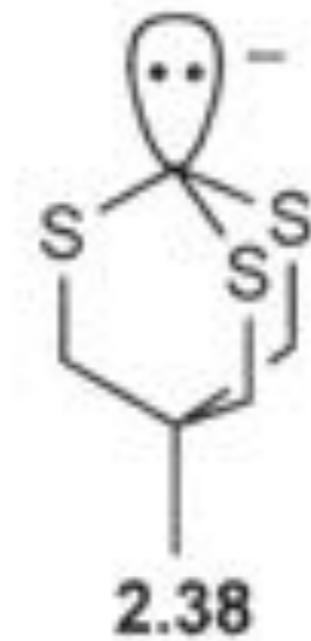
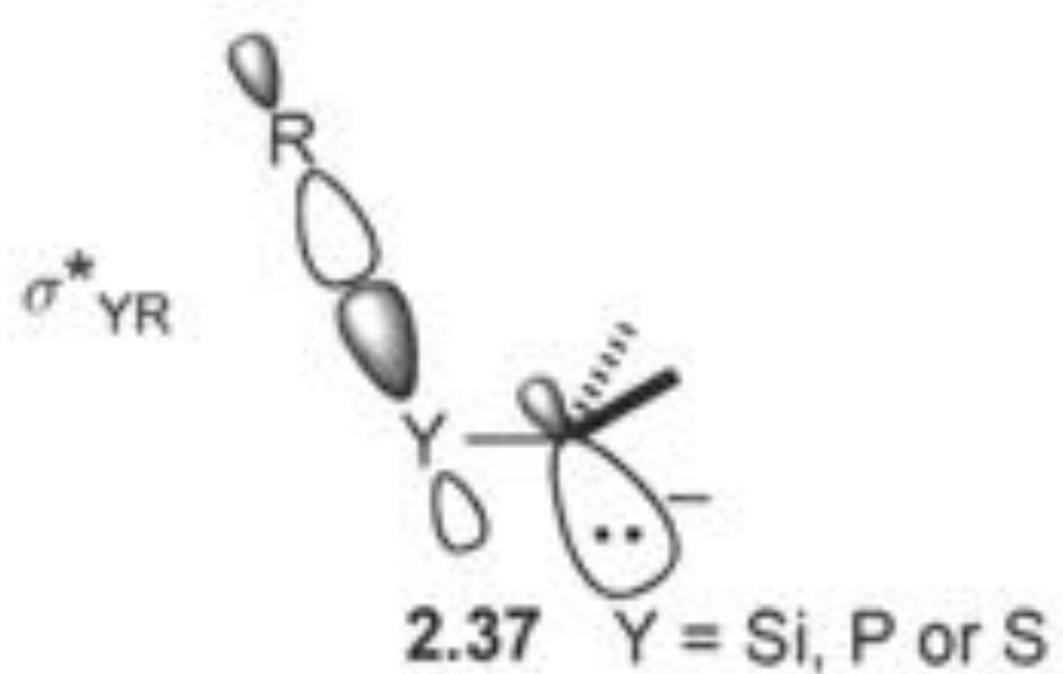


more stabilised by hyperconjugation  
with  $\beta$ -C-H and/or C-C bonds

VS

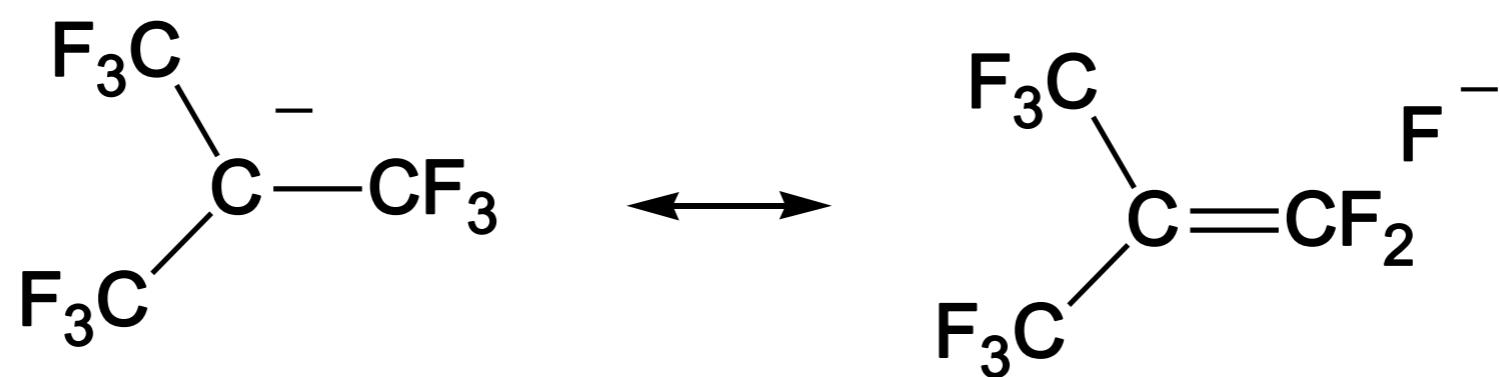


large energy-lowering effect on a carbanion by  $\sigma^*$  C-X bond



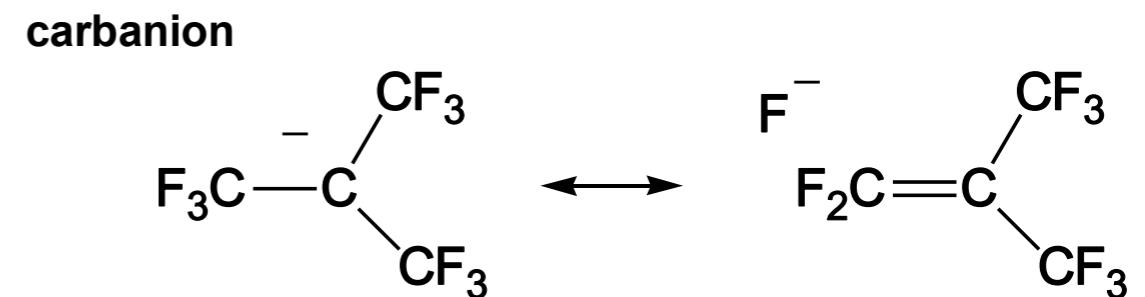
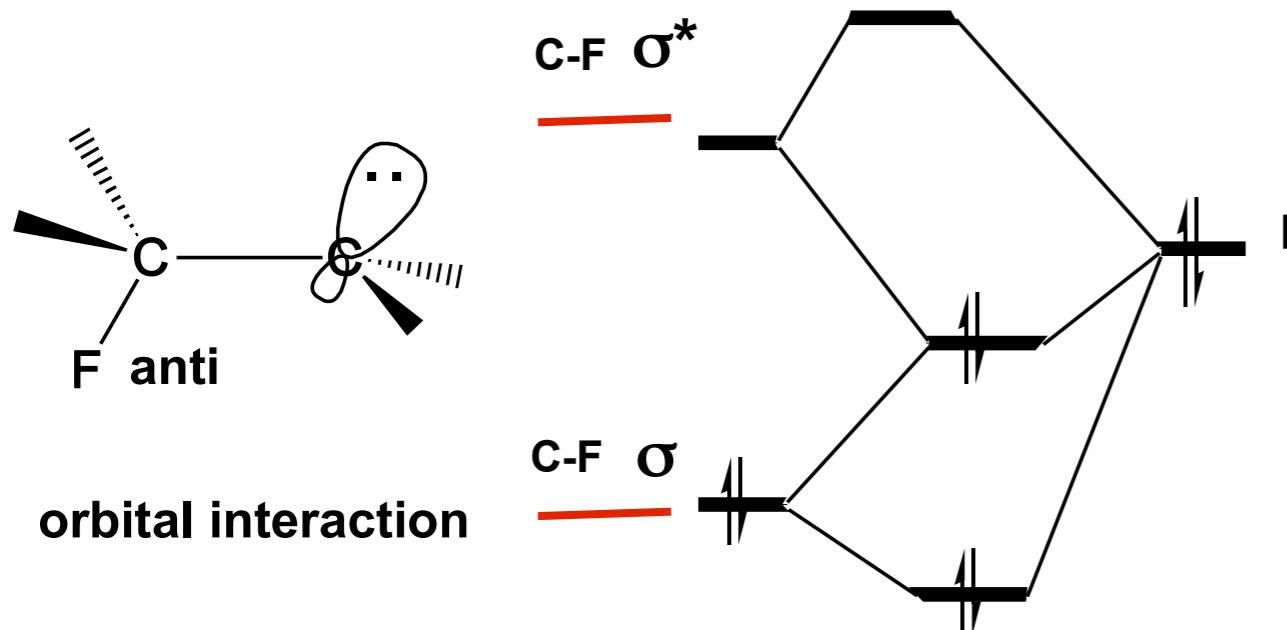
**antiperiplanar**

**planar trigonal  
nitrogen atom**



**Anionic( Fluorine) Hyperconjugation  
( Negative Hyperconjugation)**

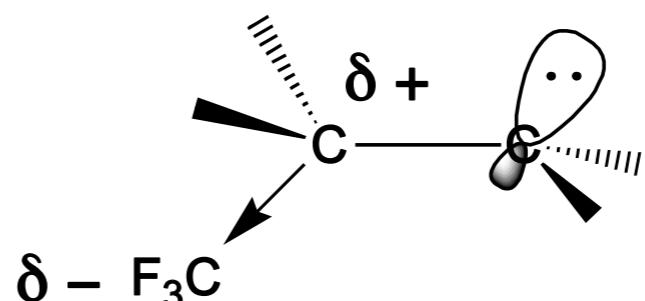
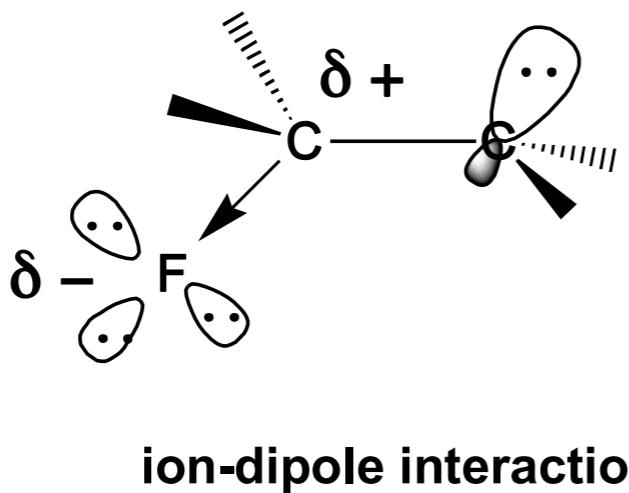
## Anionic negative (Fluorine) hyperconjugative interaction between anionic center and C-F bonds at the $\beta$ - position



C-C bond shortening  
C-F bond elongation  
charge delocalization to F atom

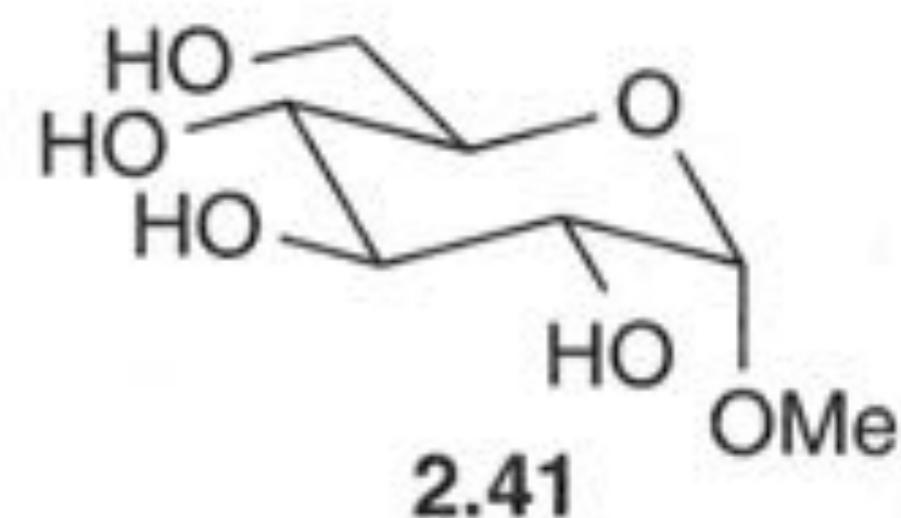
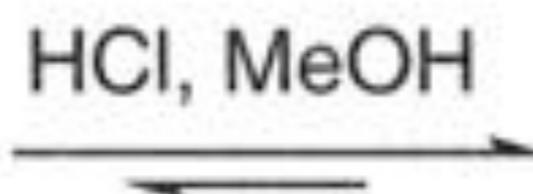
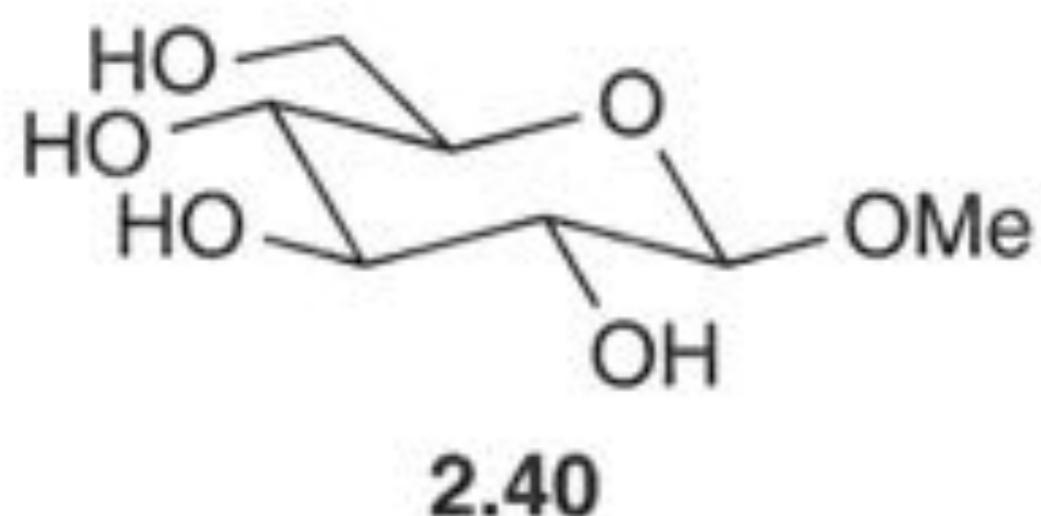
## Ion-dipole interaction between anionic center and C-F and $\text{CF}_3$ bonds (inductive and field effect)

electrostatic interaction??

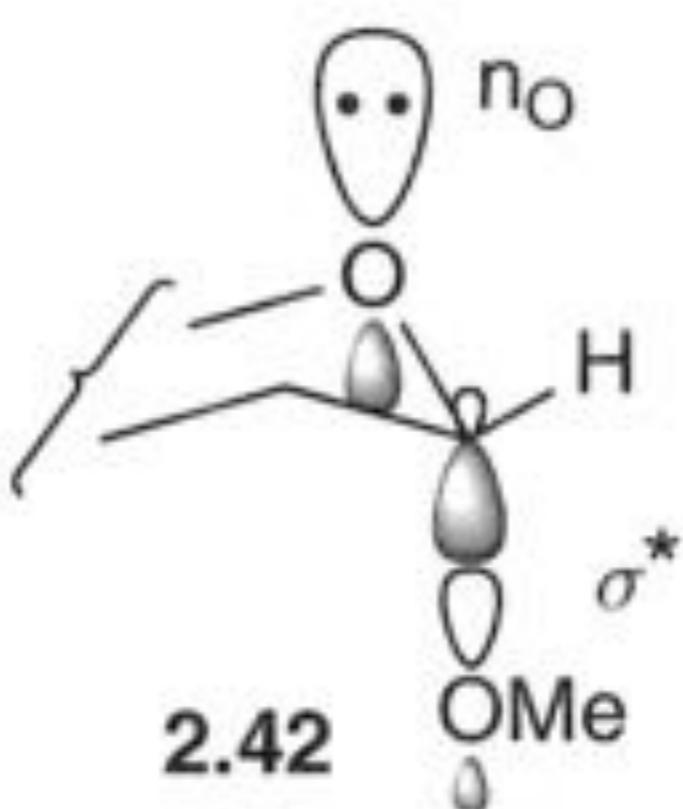


No  $\text{C-CF}_3 \sigma^*$  hyperconjugation??

## *The Anomeric Effect.*



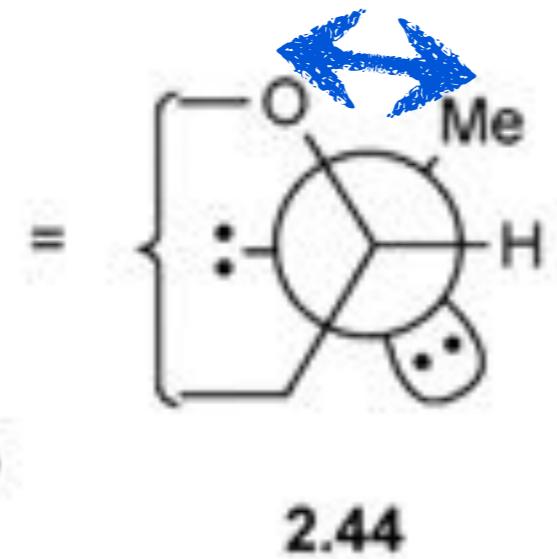
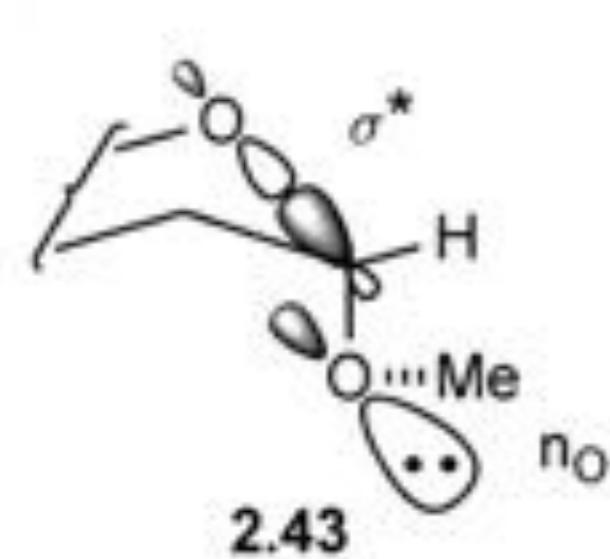
**more stable**



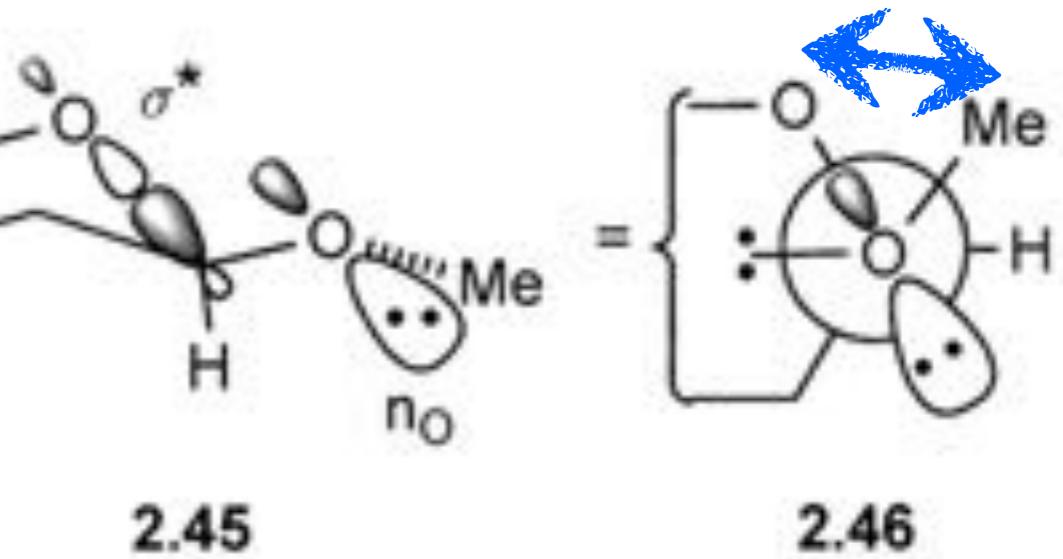
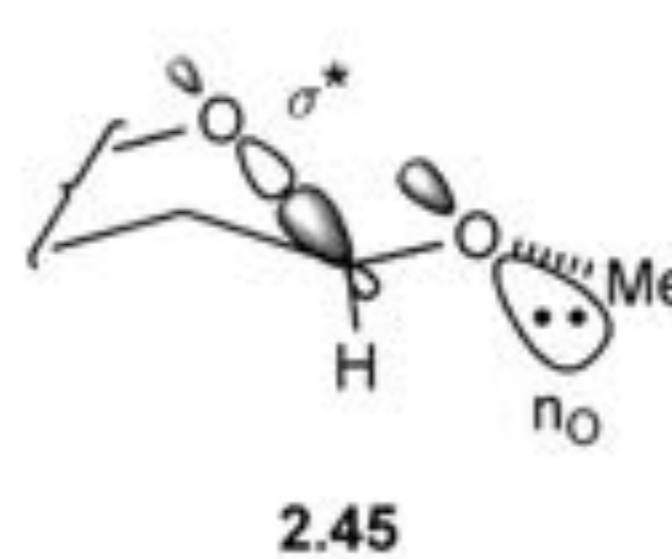
**negative hyperconjugation of  $\sigma^*$  C-X bond  
with lone pair electrons**

## exo anomeric effect

preference for gausche orientation



axial conformer

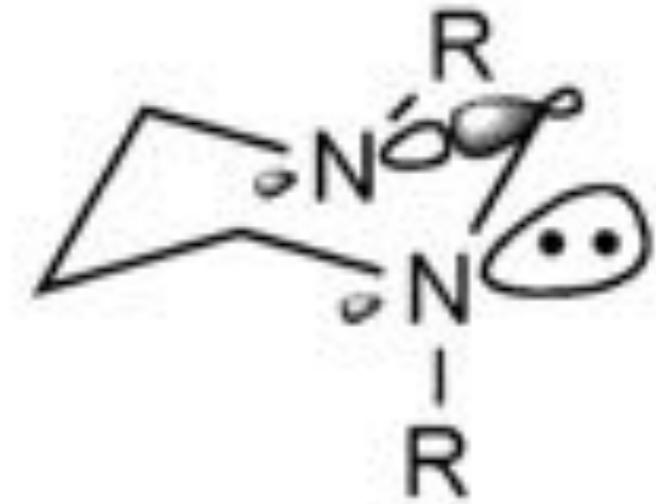
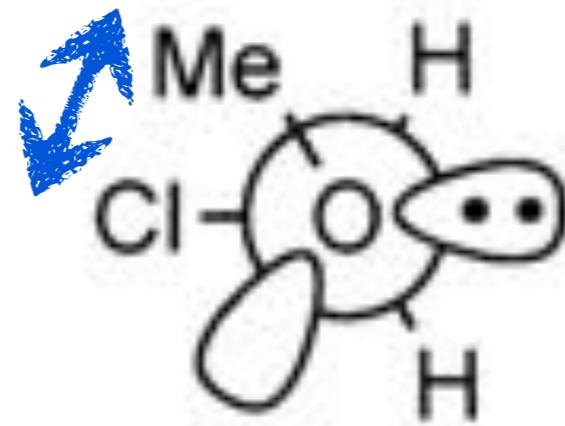
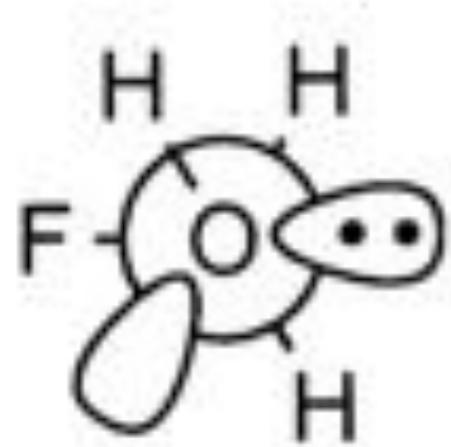


equatorial conformer

preferential orientation of exocyclic oxygen lone pair  
antiperiplanar to endocyclic  $\sigma^*$  C-O bond in both of  
axial and equatorial conformers

# Most Stable Conformer with *anti-Periplanar Orientation*

gausche orientation



axial conformer

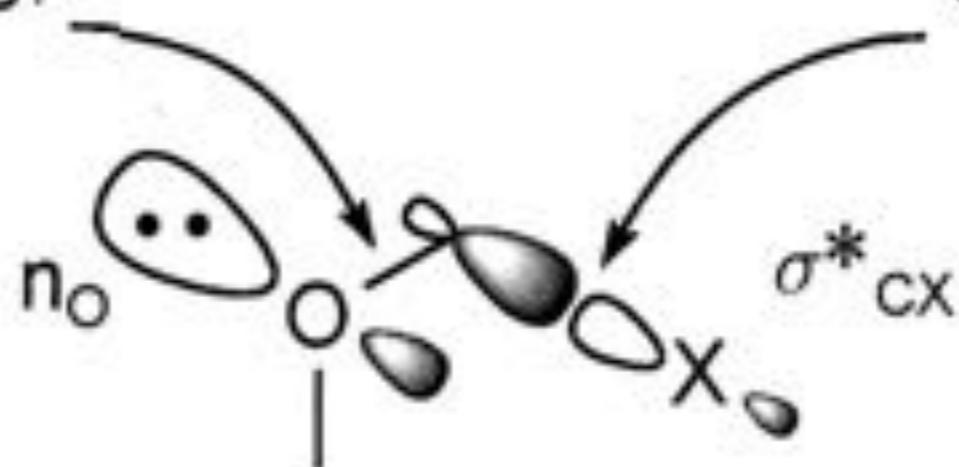
fluoromethanol

methoxymethyl chloride

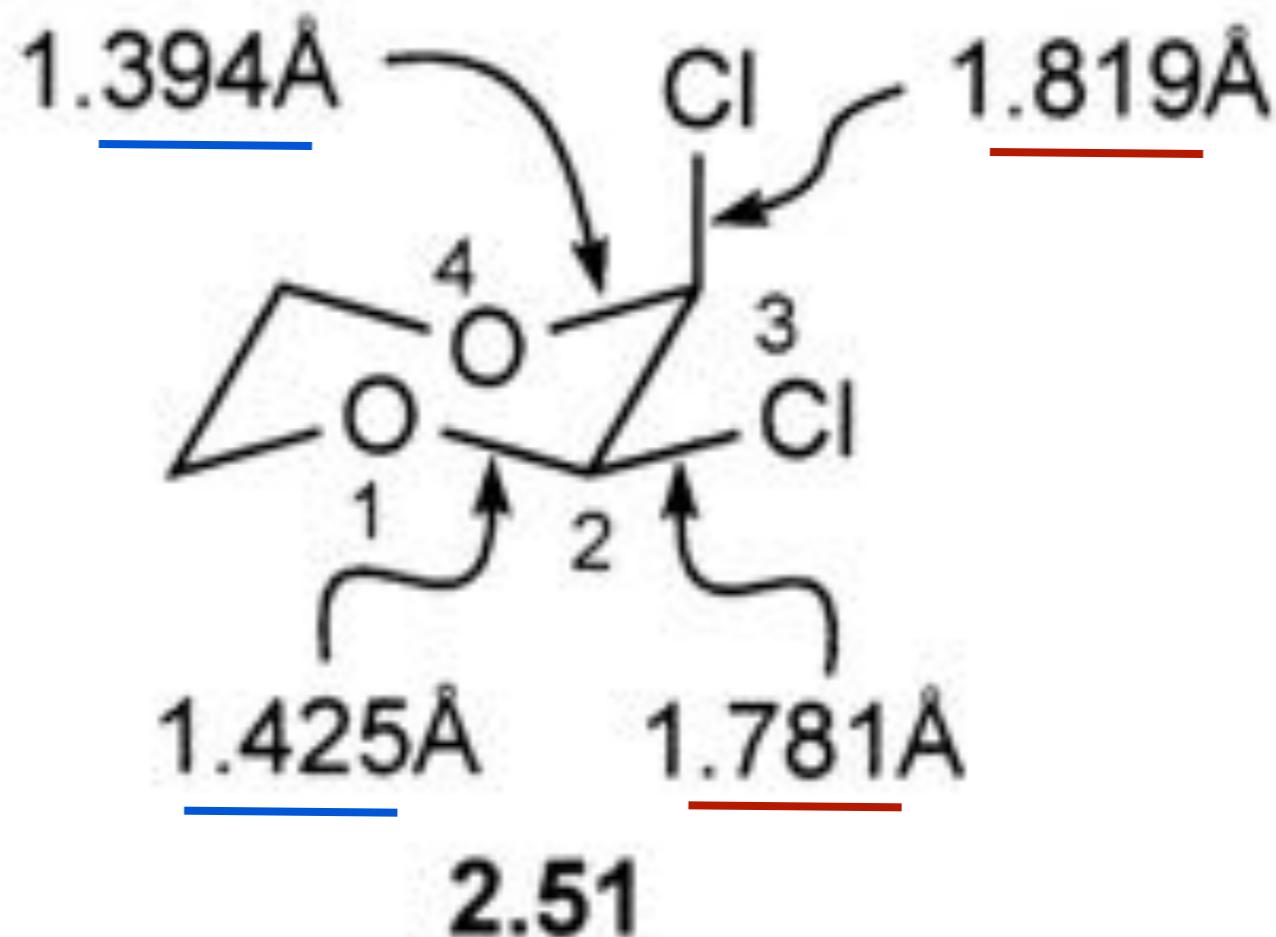
N,N-dialkyl-1,3-diazacyclohexane

increased bonding,  
bond-shortening

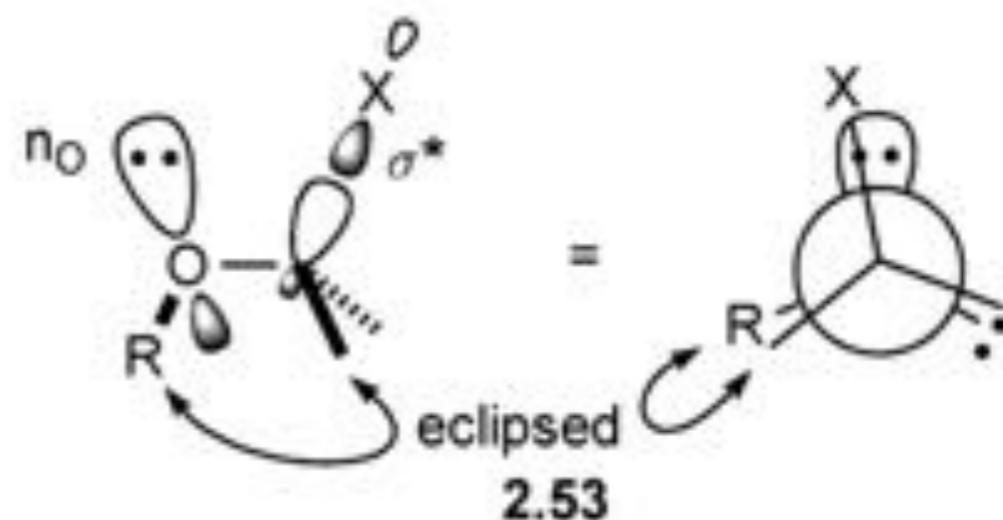
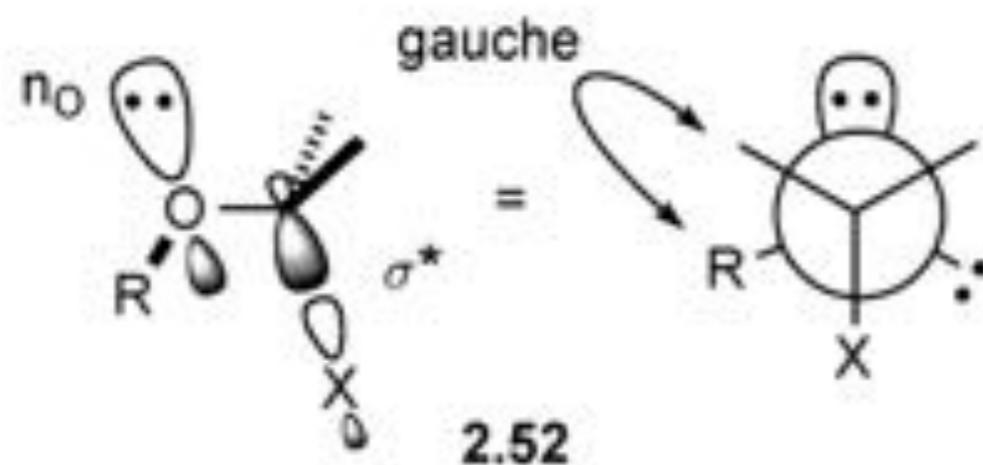
decreased bonding,  
bond-lengthening



*anti-periplanar*



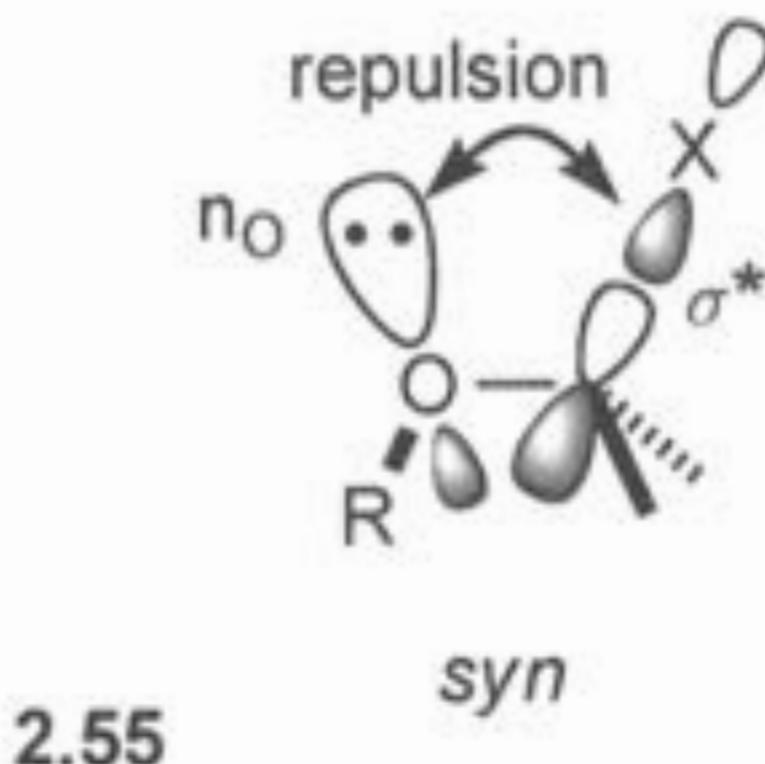
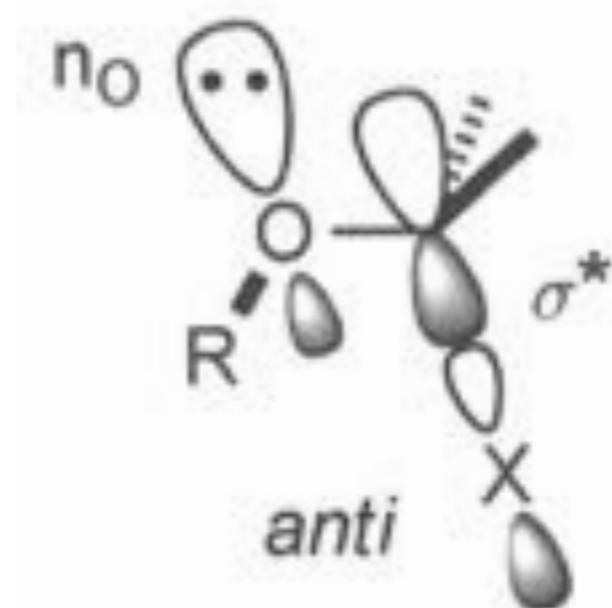
# *Syn-coplanar and Anti-Periplanar Overlap.*

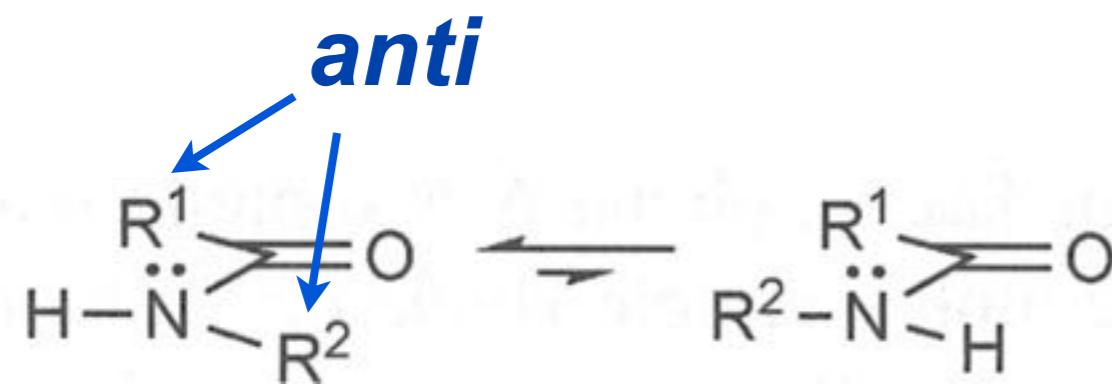


*anti-periplanar*

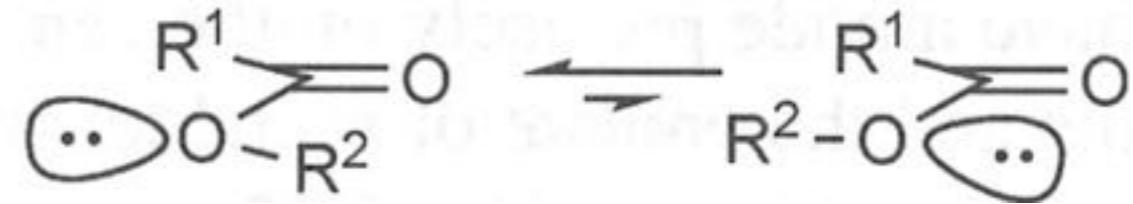
**vs**

*syn-coplanar*





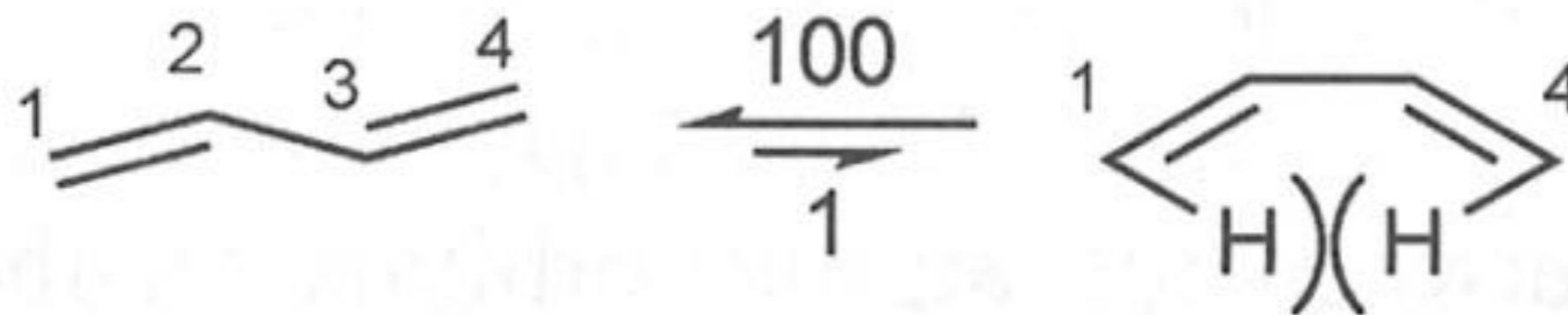
*more stable*

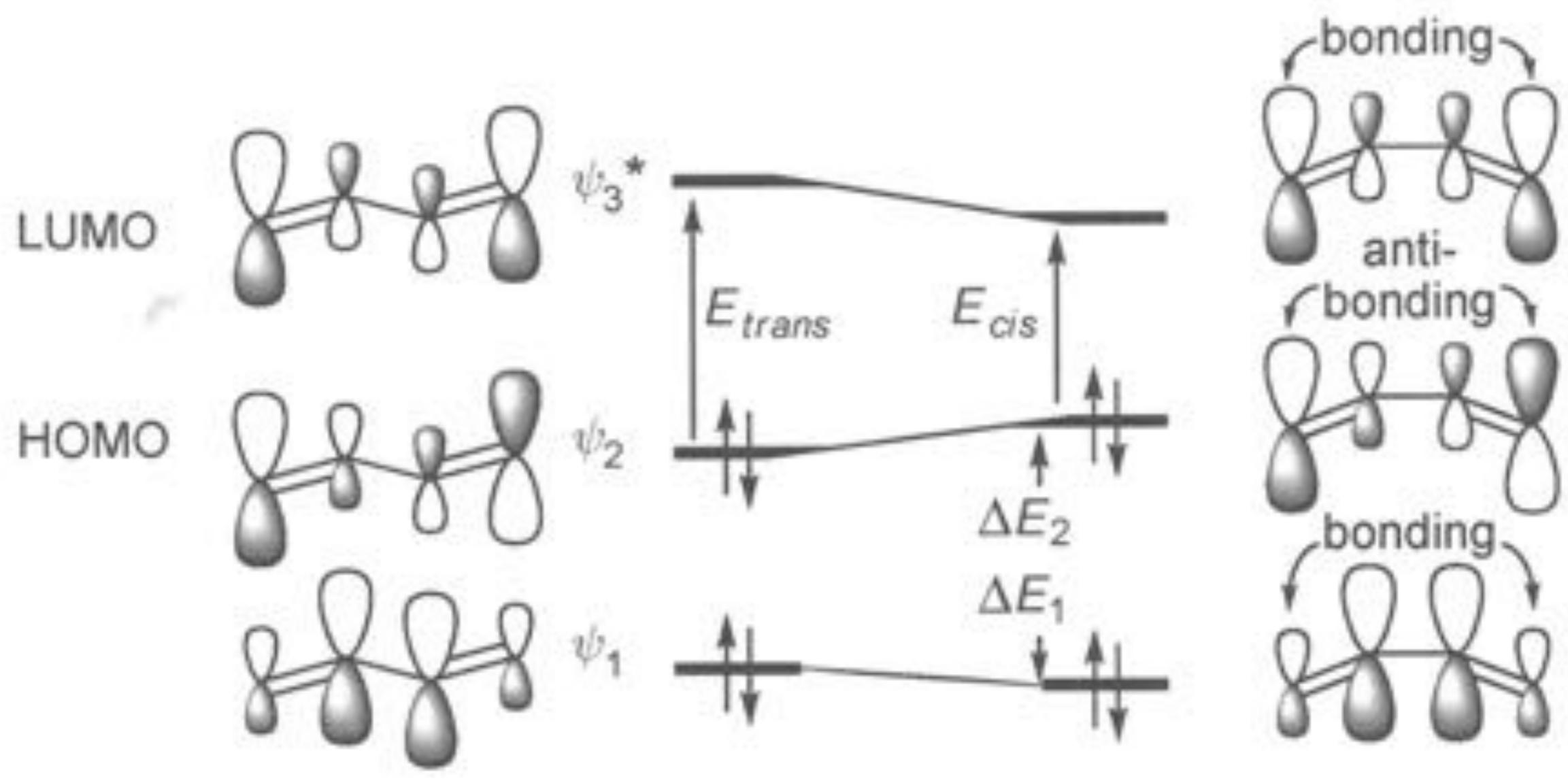


**anomeric effect :**  
A lone pair of oxygen atom is anti-periplanar to  $\sigma^*$  C-O bond of C=O group.

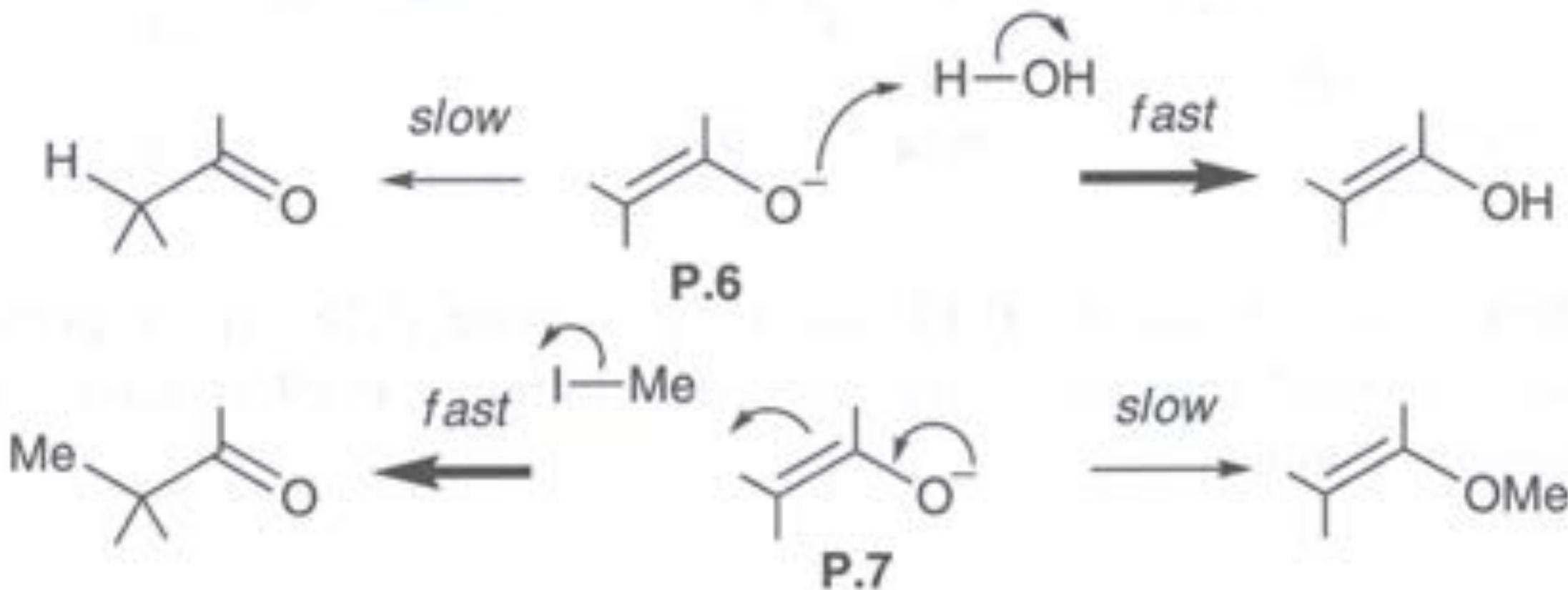
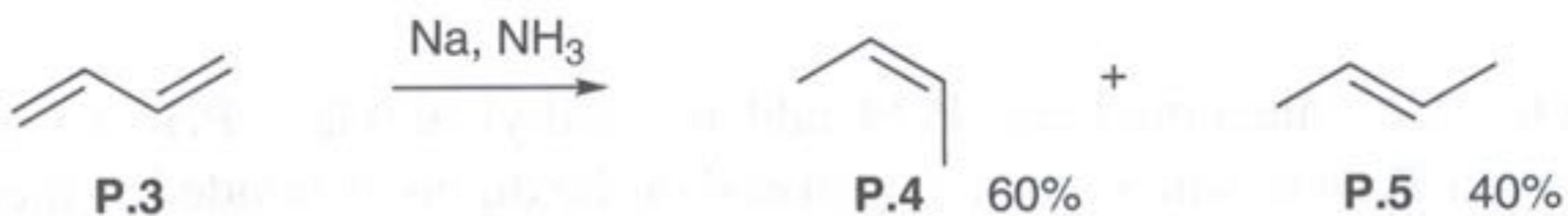
*Cyclic lactones are more reactive compared with open-chain esters.*

## Butadiene





**Fig. 2.16** Differences in  $\pi$  orbital energies for *s-trans* and *s-cis* butadiene



# Exercises

- 1) Explain why  $\text{B}(\text{OMe})_3$  is much less Lewis acidic than  $\text{BX}_3$  by considering the energies of the interacting p orbitals.



- 2) Explain the substituent effects on the following conformation equilibrium.



preferred for  $\text{Y} = \text{alkyl}$

preferred for  $\text{Y} = \text{CO}_2\text{Et}$  or  $\text{C}\equiv\text{N}$

# Ian Fleming's Molecular Orbitals and Organic Chemical Reactions

## Preface

- 1 Molecular Orbital Theory**
- 2 The Structures of Organic Molecules**
- 3 Chemical Reactions—How Far and How Fast**

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- 4 Ionic Reactions—Reactivity**
- 5 Ionic Reactions—Stereochemistry**
- 6 Thermal Pericyclic Reactions**
- 7 Radical Reactions**
- 8 Photochemical Reactions**

# Physical Organic Chemistry 物理有機化学

物理有機化学においては、有機化学の諸現象を物理化学的概念を用いて理解する。

有機化合物の分子構造から、その化合物の物性や反応性を論じ、反応中間体や反応機構を予測する。

化学結合の基本原理や有機反応論を理解すると、反応速度の予測や選択的合成反応を実現するための反応条件の予測が自ずから可能となる。

物理有機化学における研究対象は、有機分子の構造と物性反応相関に関する基礎研究から、機能分子の合成設計研究や、生体分子系の生命現象の機構解析まで、広い研究範囲におよんでいる。

## 参考書：



**基本有機反応機構**  
Peter Sykes (著)  
奥山 格 (翻訳)

東京化学同人  
(1996/03)



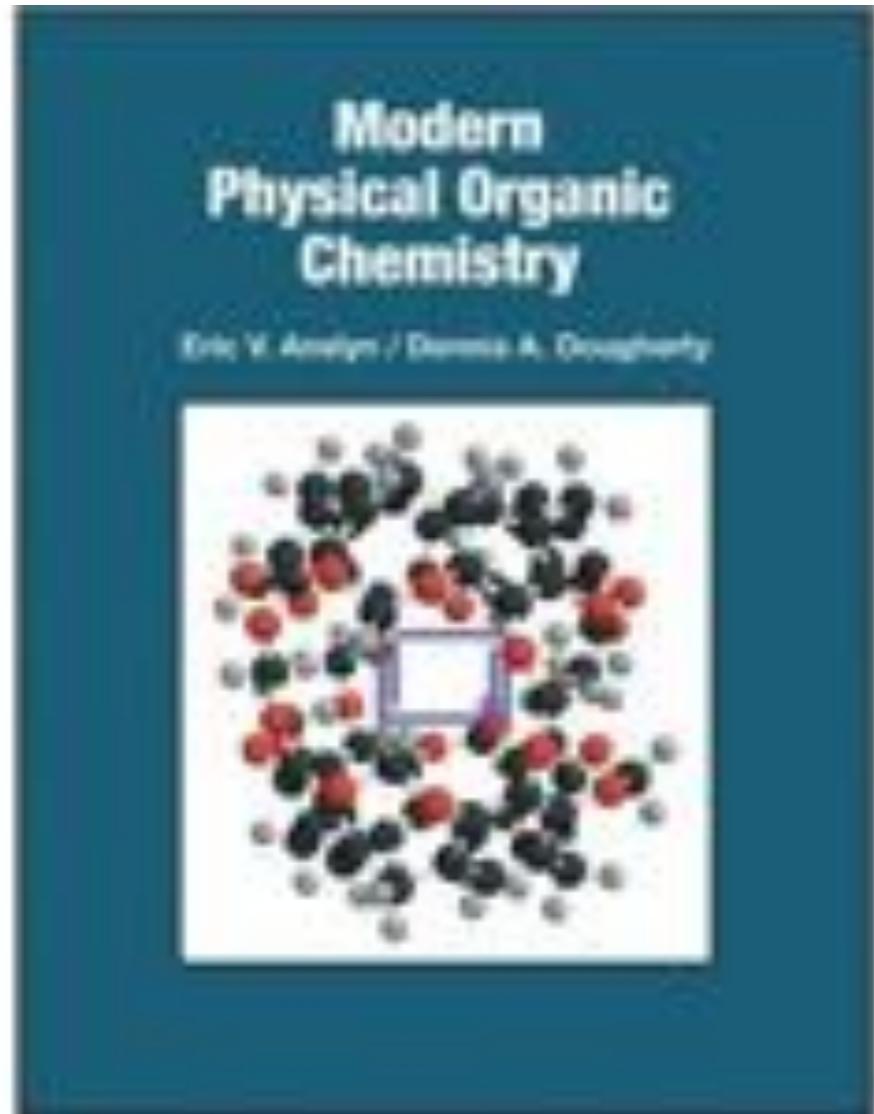
**有機反応機構**  
Peter Sykes (著),  
久保田 尚志 (翻訳)

東京化学同人;  
第5版 (1984/01)



**有機反応機構の書き方**  
**基礎から有機金属反応**  
Robert B. Grossman (著),  
奥山 格 (翻訳)

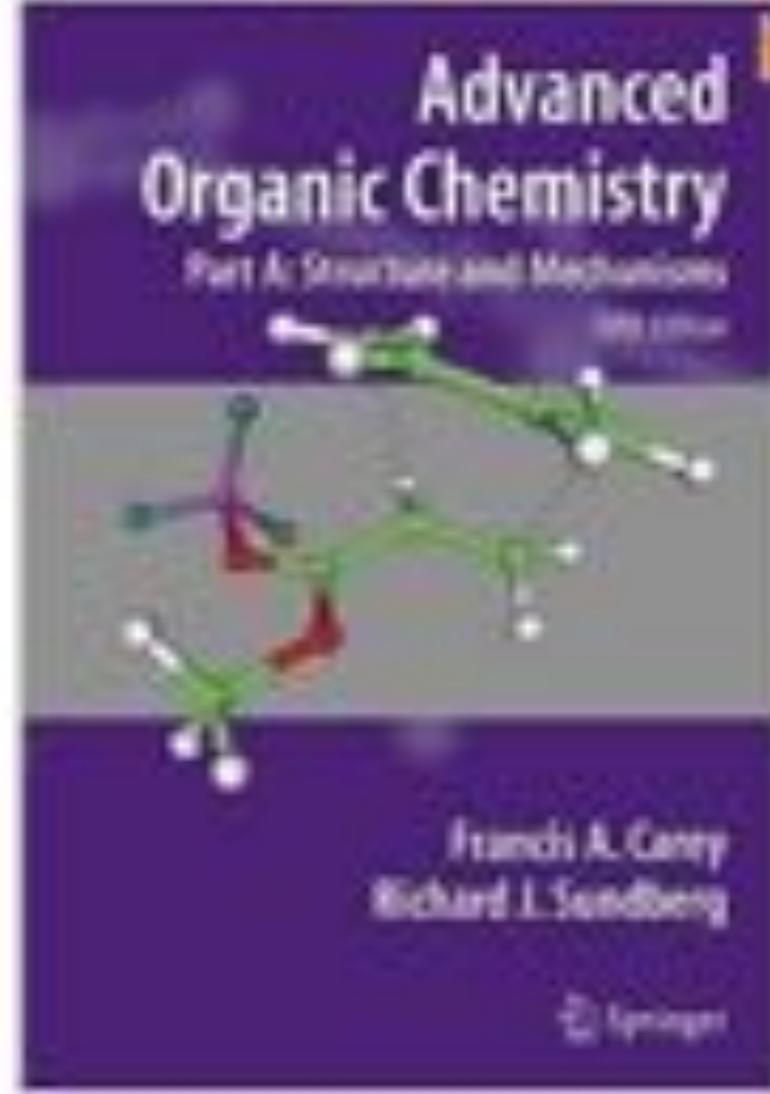
丸善 (2010/1/22)



## Modern Physical Organic Chemistry

Eric V. Anslyn (著),  
Dennis A. Dougherty (著)  
ハードカバー: 1104ページ (¥ 10,786)

Univ Science Books;  
illustrated edition版 (2005/07)



## Advanced Organic Chemistry:

### Part A: Structure and Mechanisms

Francis A. Carey (著),  
Richard J. Sundberg (著)  
ペーパーバック: 1199ページ(¥ 5,678)

Springer; 5th ed. 2007.  
Corr. 2nd printing版 (2007/6/13)

# 化学反応における結合開裂様式

1. ヘテロリシス(heterolysis) hetero(不均一)+lysis(分解)

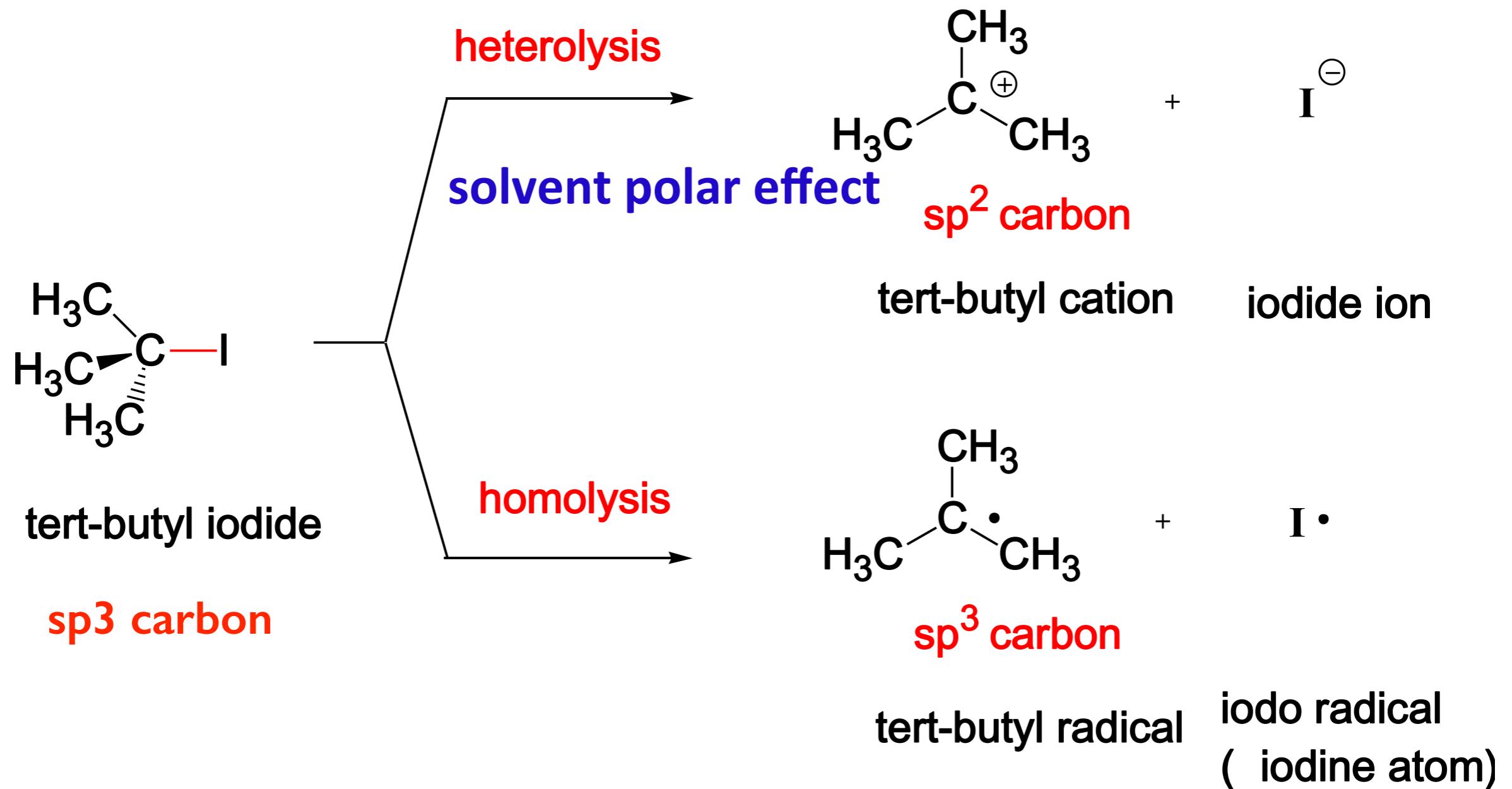


2. ホモリシス(homolysis) homo(均一)+lysis(分解)

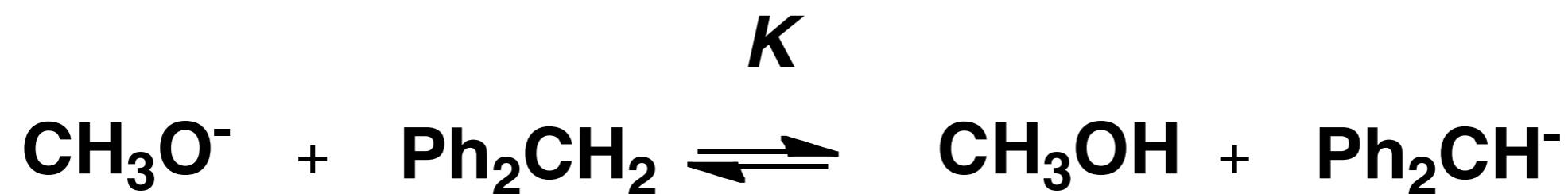


結合解離エネルギー(Bond Dissociation Energy)





## 酸性度におよぼす溶媒効果 Solvent Effect on Acidity



Solvent	$K$
$\text{H}_2\text{O}$	$10^{-18}$
gas-phase	$10^{11}$

$\text{CH}_3\text{O}$ アニオンは水和によって大きく安定化するが、  
非局在安定化した $\text{Ph}_2\text{CH}$ アニオンはほとんど水和されない！

CH<sub>3</sub>-OH

291 kJ mol-1

H-Br

366 kJ mol-1

CH<sub>3</sub>-Br

291 kJ mol-1

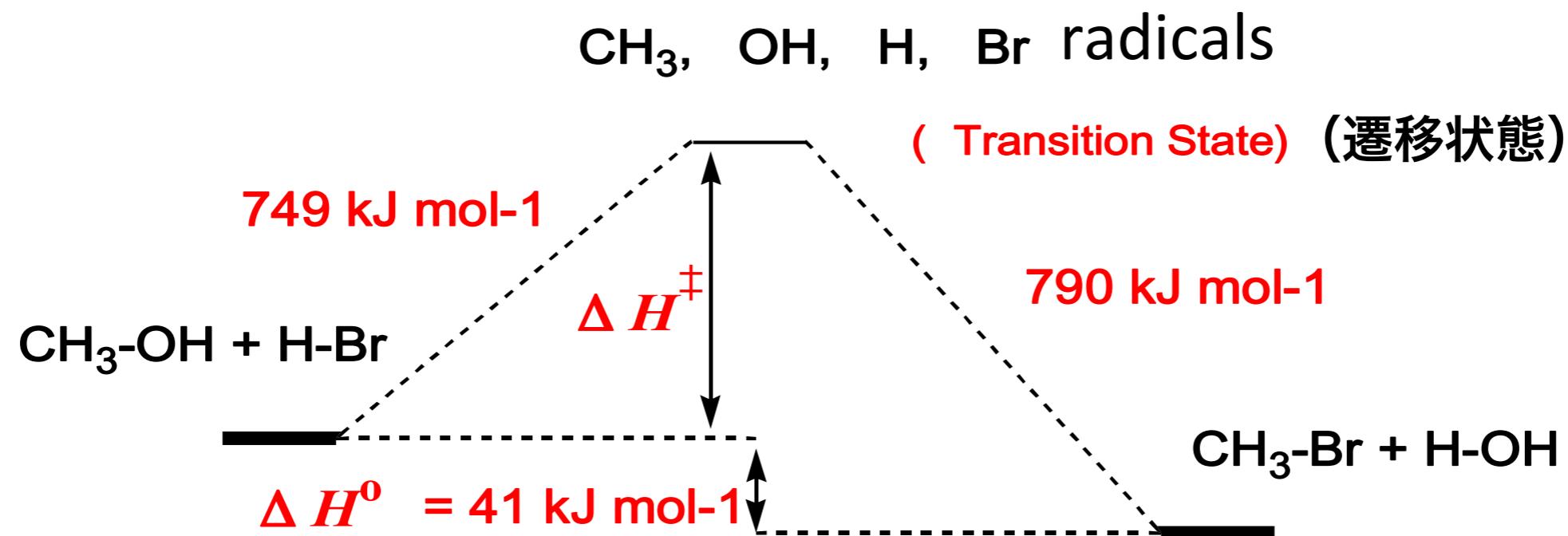
H-OH

499 kJ mol-1

開裂する結合エネルギー 749 kJ mol-1

生成する結合エネルギー 790 kJ mol-1

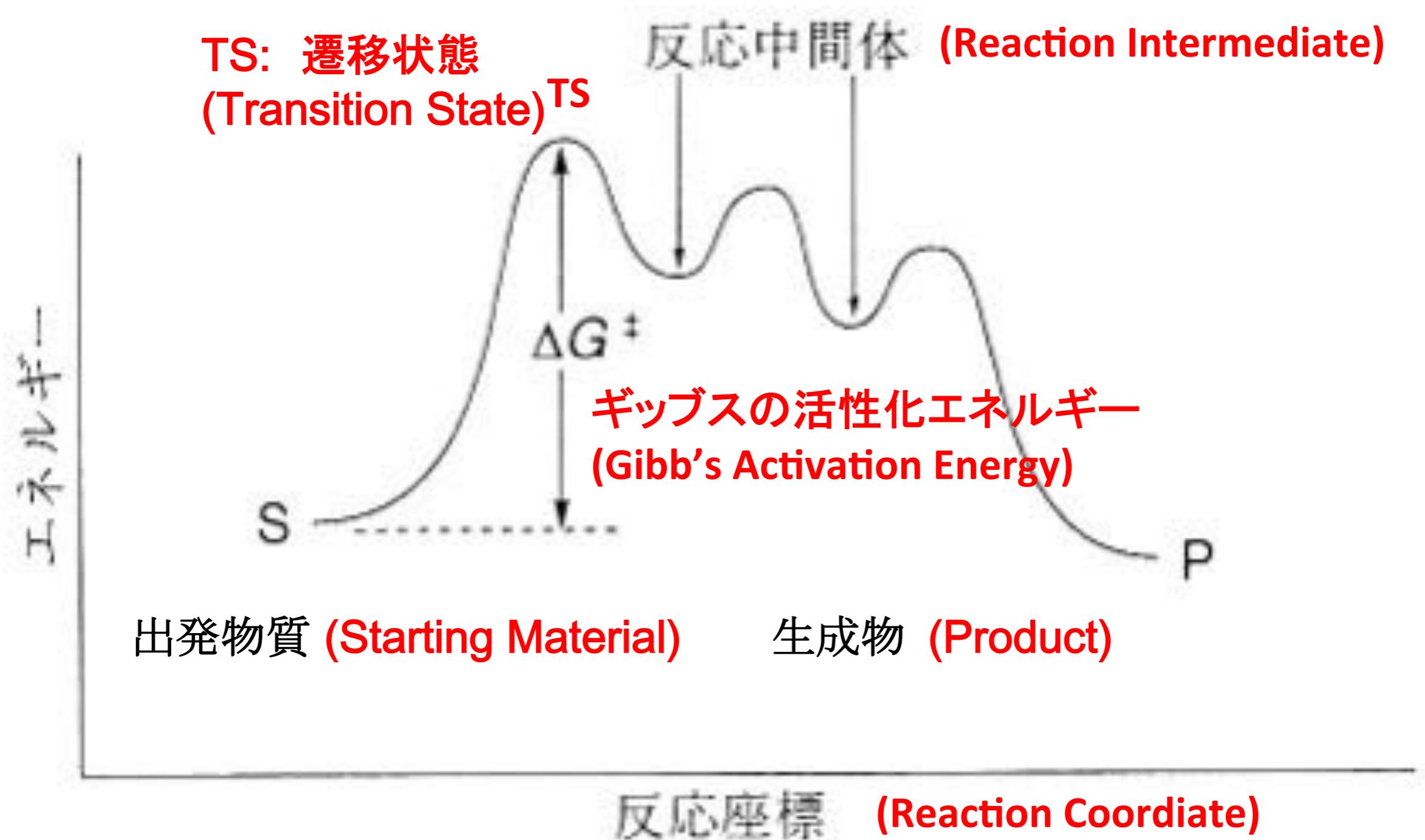
反応熱(Heat of Reaction)  $\Delta H^\circ = 749 - 790 = -41 \text{ kJ mol-1}$



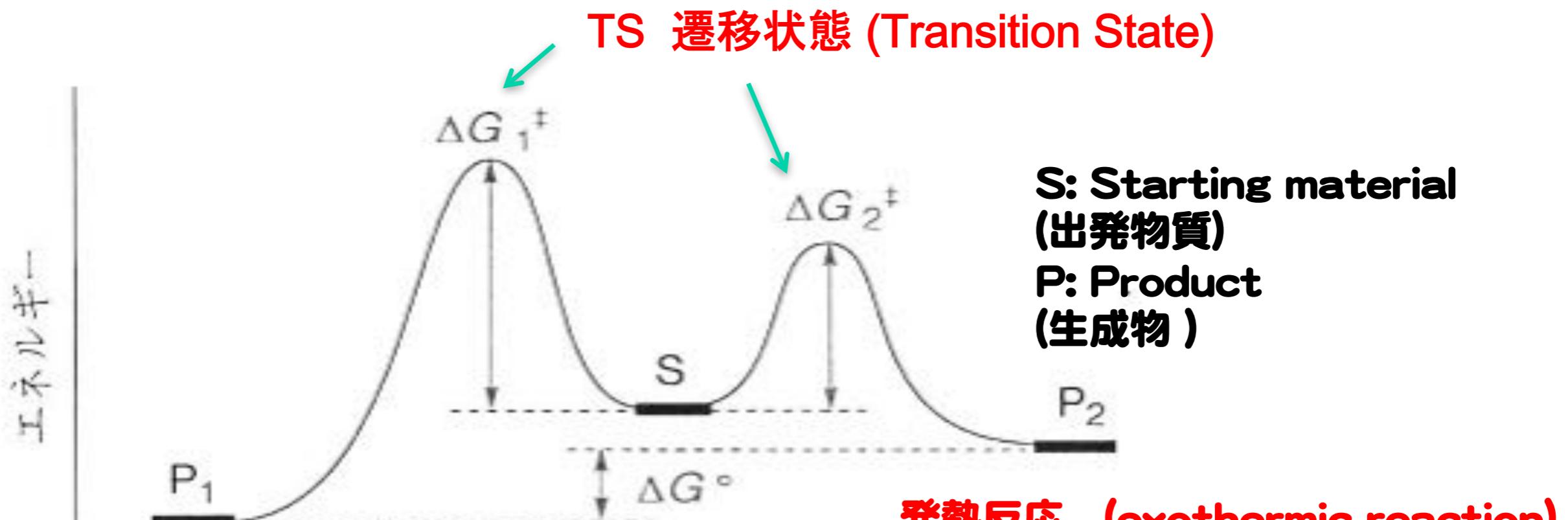
$$\Delta G^\ddagger = \Delta H^\ddagger - T\Delta S^\ddagger$$

Gibb's Free Energy Change for Transition State of Reaction

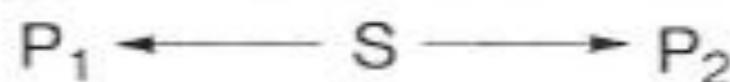
# 有機反応機構 (Organic Reaction Mechanism)



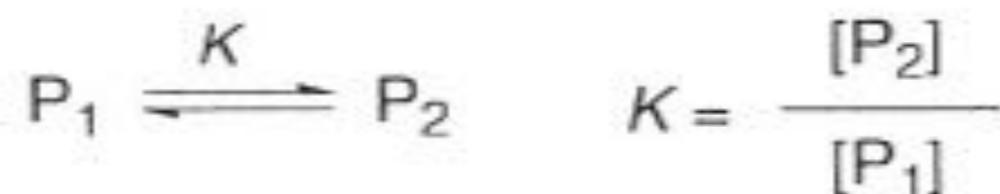
## 速度支配と熱力学支配 (Kinetic Control and Thermodynamic Control)



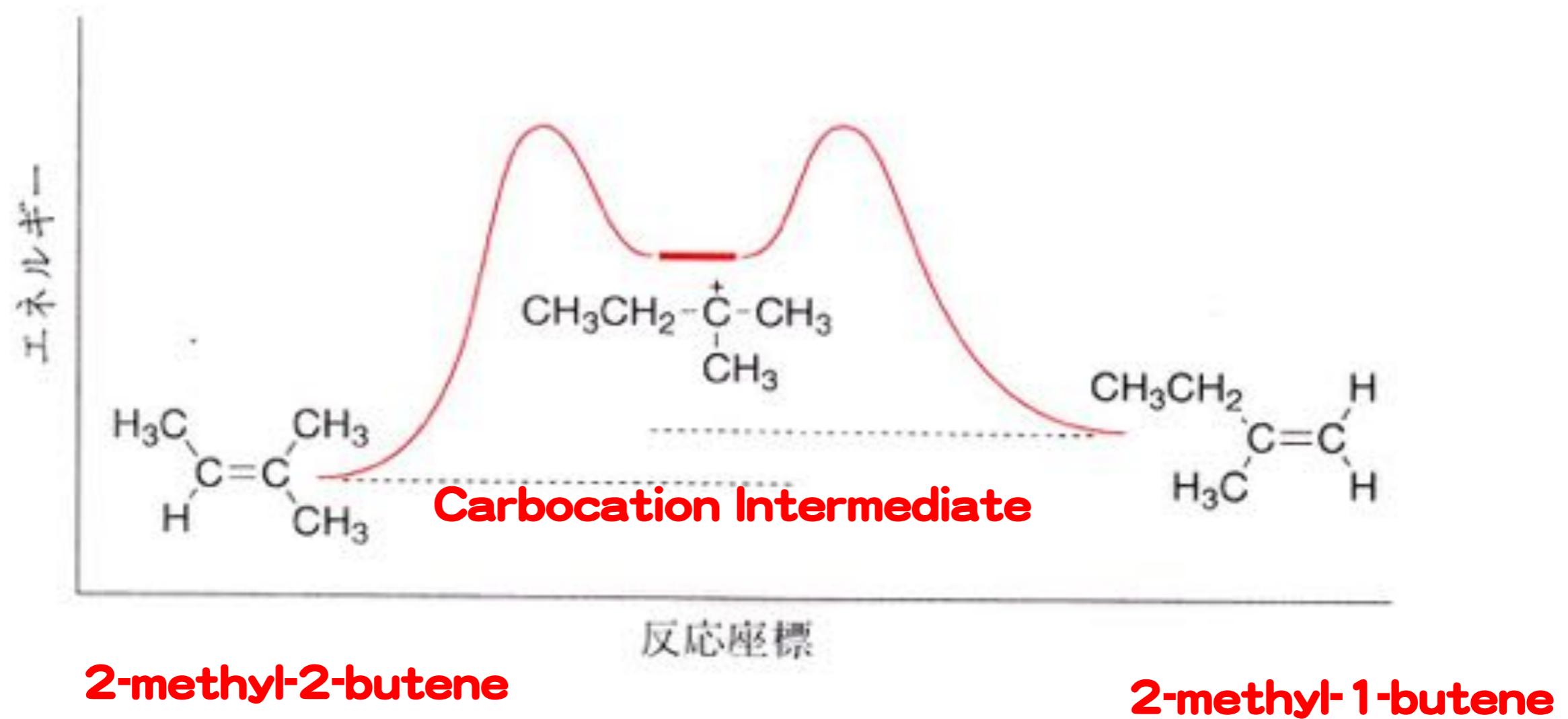
発熱反応 (exothermic reaction)



反応座標



## 熱力学的に支配された反応 (Thermodynamically Controlled Reaction)



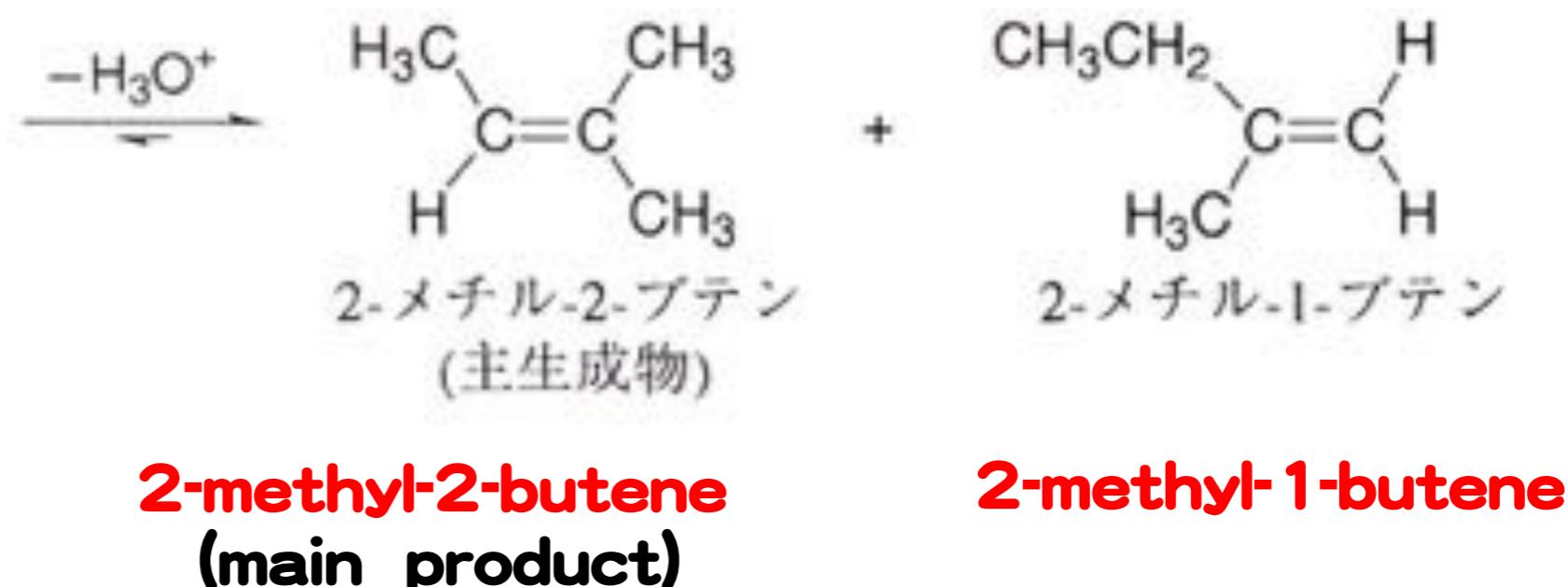
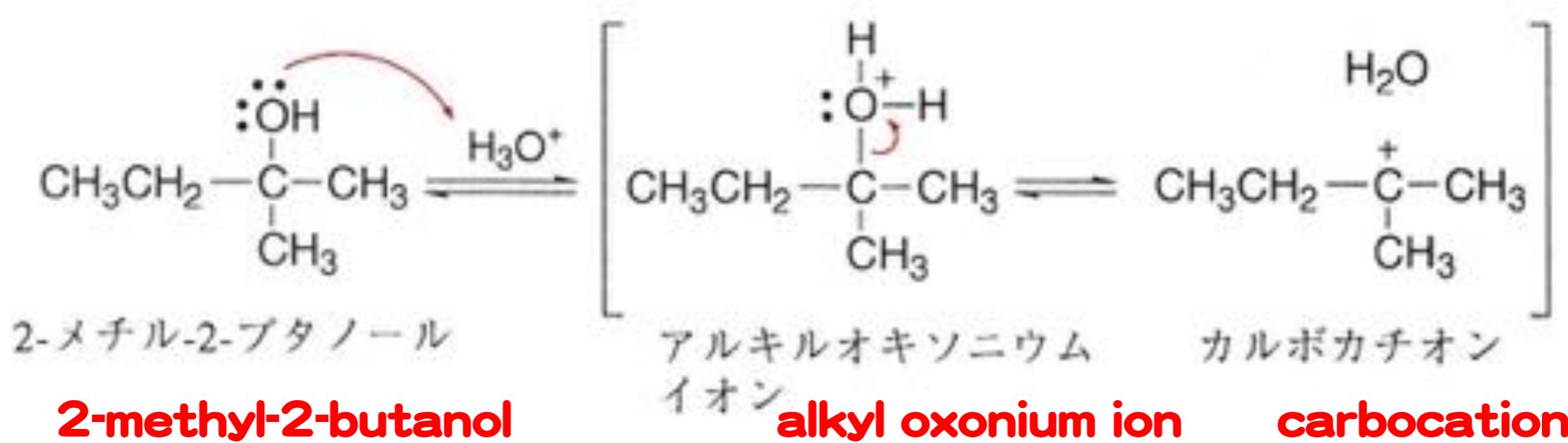
Saytzeff 則 :

脱離反応では多置換アルケンがより優先して生成する。

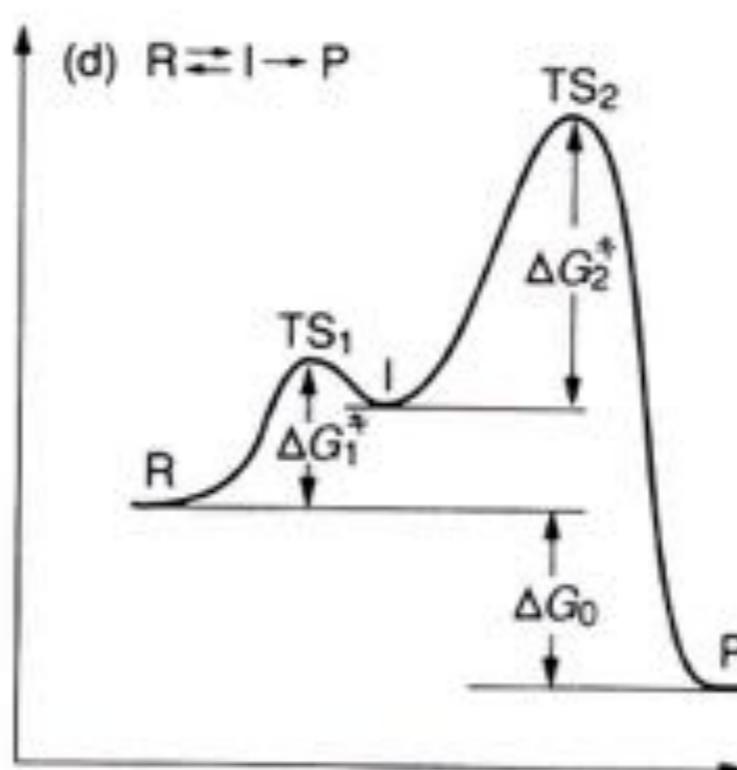
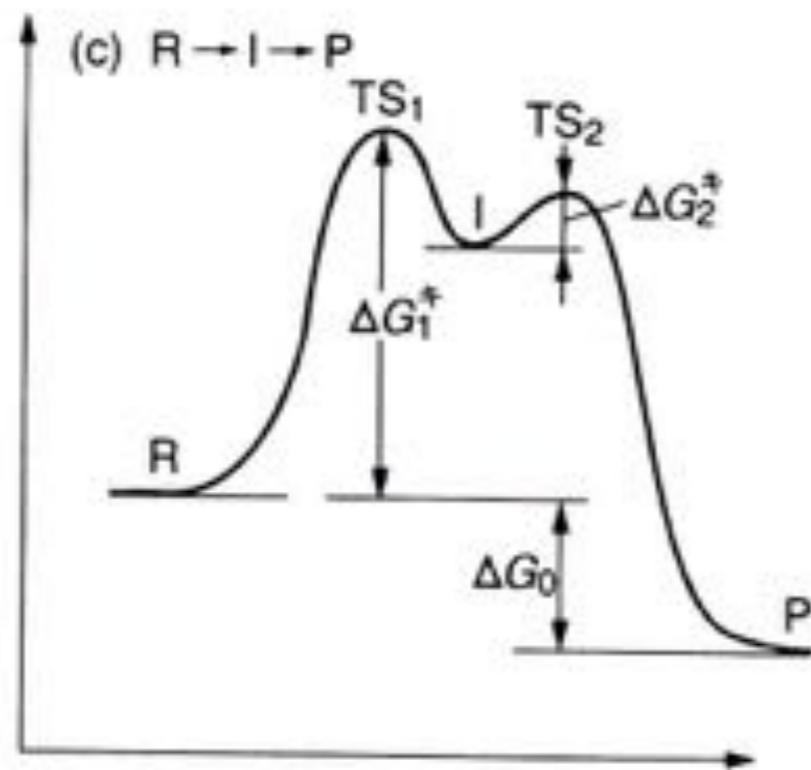
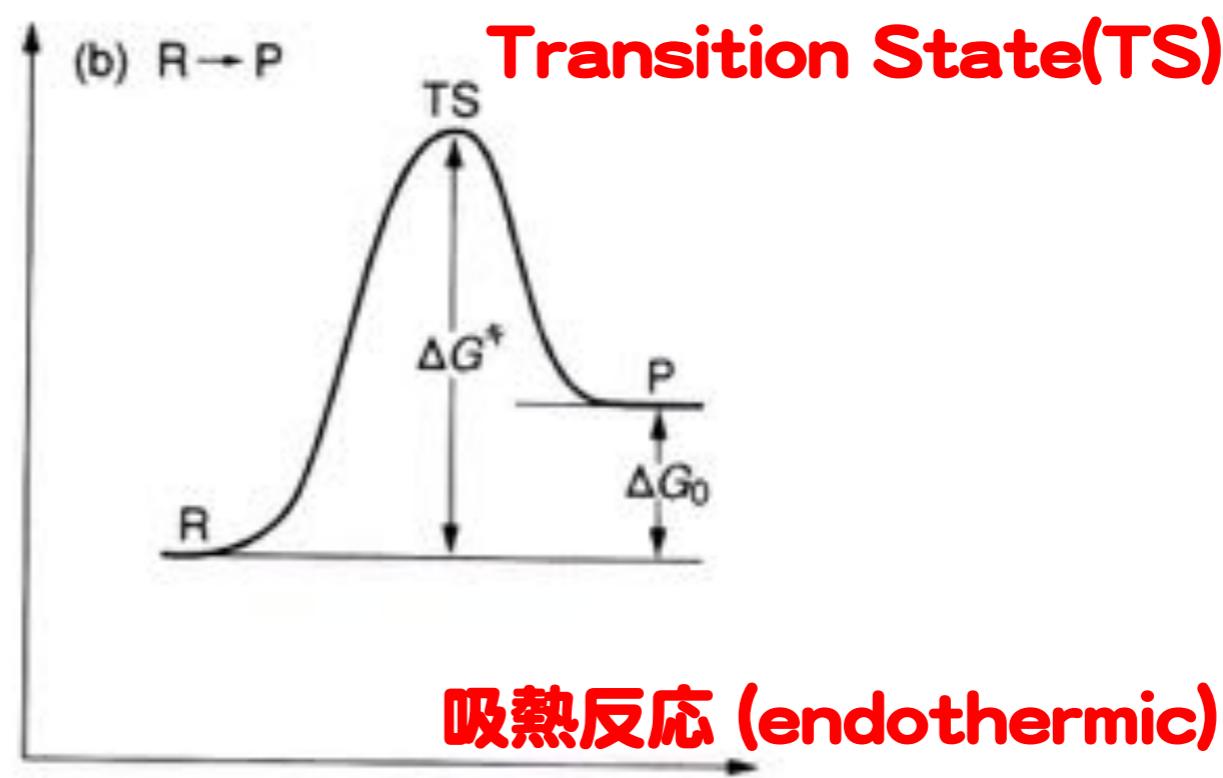
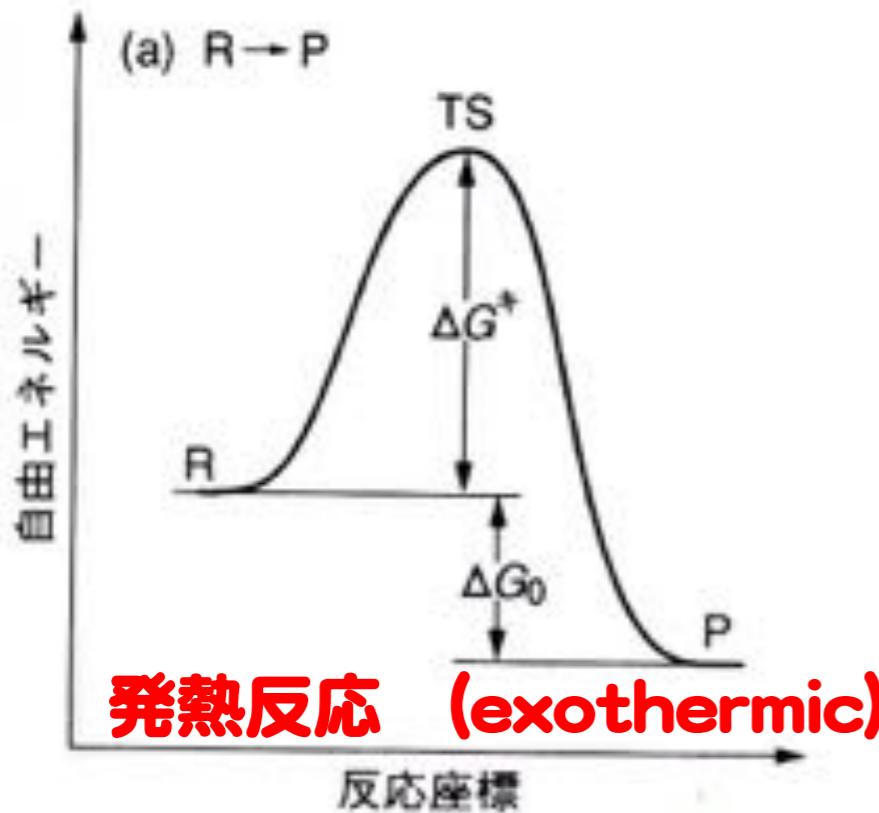
2-methyl-1-butene に比べて 2-methyl-2-buteneの方がより安定なのは何故か？

## Alkene Formation by Dehydration(脱水によるアルケンの生成)

### Dehydration (脱水)

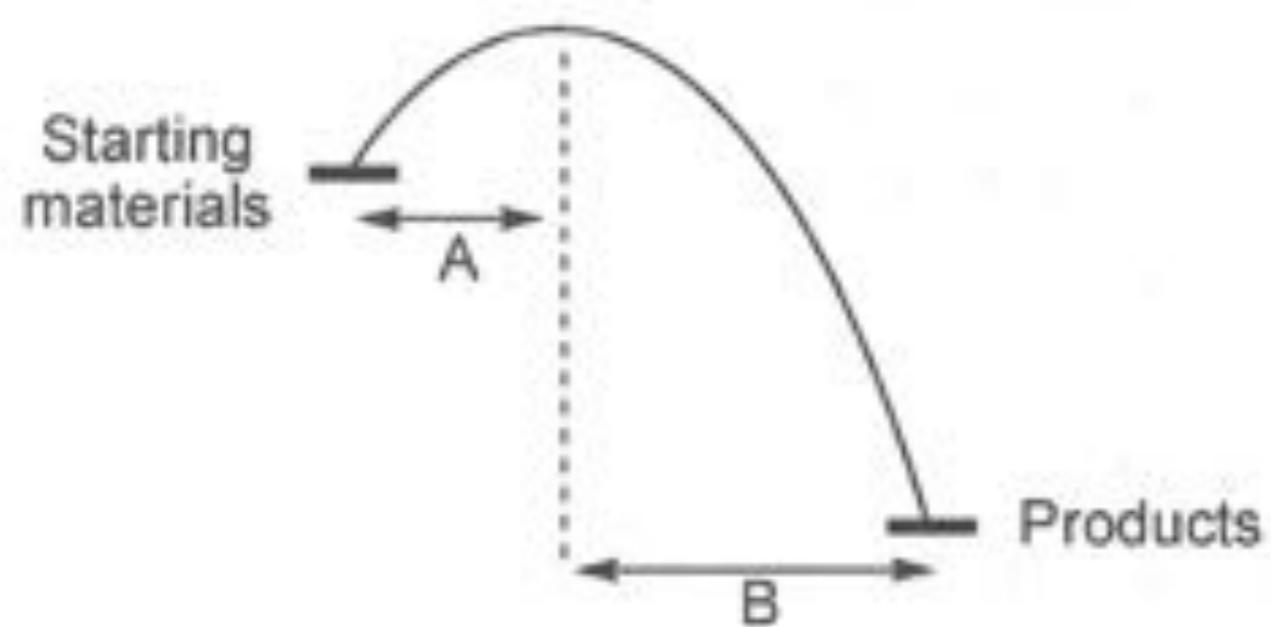


# Transition State(TS) and Intermediate(I) (遷移状態と中間体)



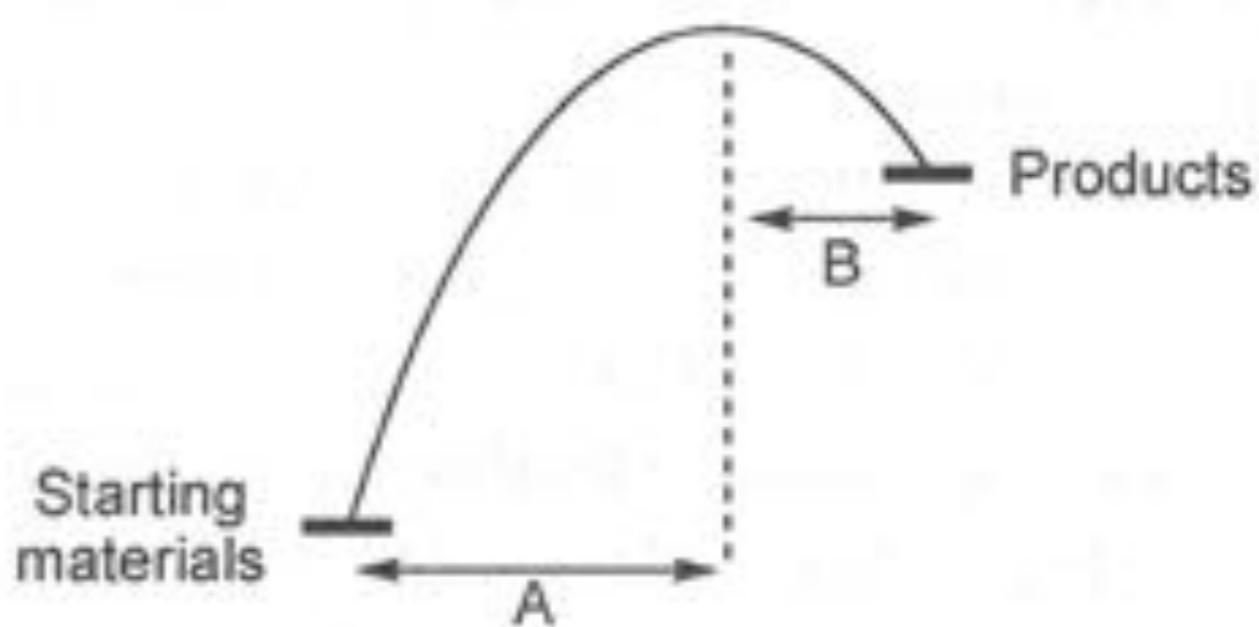
R : 出発物質  
P : 生成物  
I : 中間体  
TS : 遷移状態

early TS



(a) An exothermic reaction, with a reactant-like transition structure

late TS



(b) An endothermic reaction, with a product-like transition structure

The Hammond postulate (仮説)

Lewis 酸(A) :電子対を受け取るもの (電子対受容体) electron-pair Acceptor  
Lewis 塩基(B) :電子対を与えるもの (電子対供与体) electron-pair Donor



電荷移動相互作用 : Charge-Transfer(CT) Interaction

ドナー・アクセプター相互作用 : Donor-Acceptor(DA) Interaction

Lewisの酸塩基相互作用 (1923)

金属錯体の配位結合(Coordinate Bond of Metal Complex)

超共役相互作用(Hyperconjugation Interaction)

アンチペリプランー効果 ((anti-periplanar Effect, 超共役の一種)

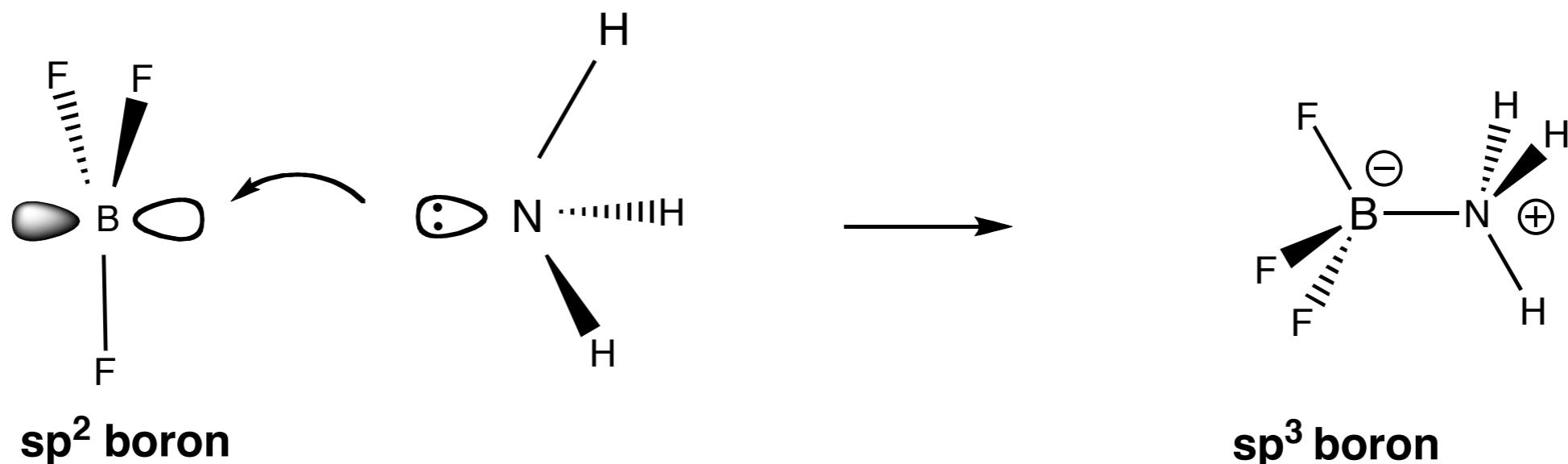
π-錯体( $\pi$ -complex)の形成

水素結合(Hydrogen Bond)

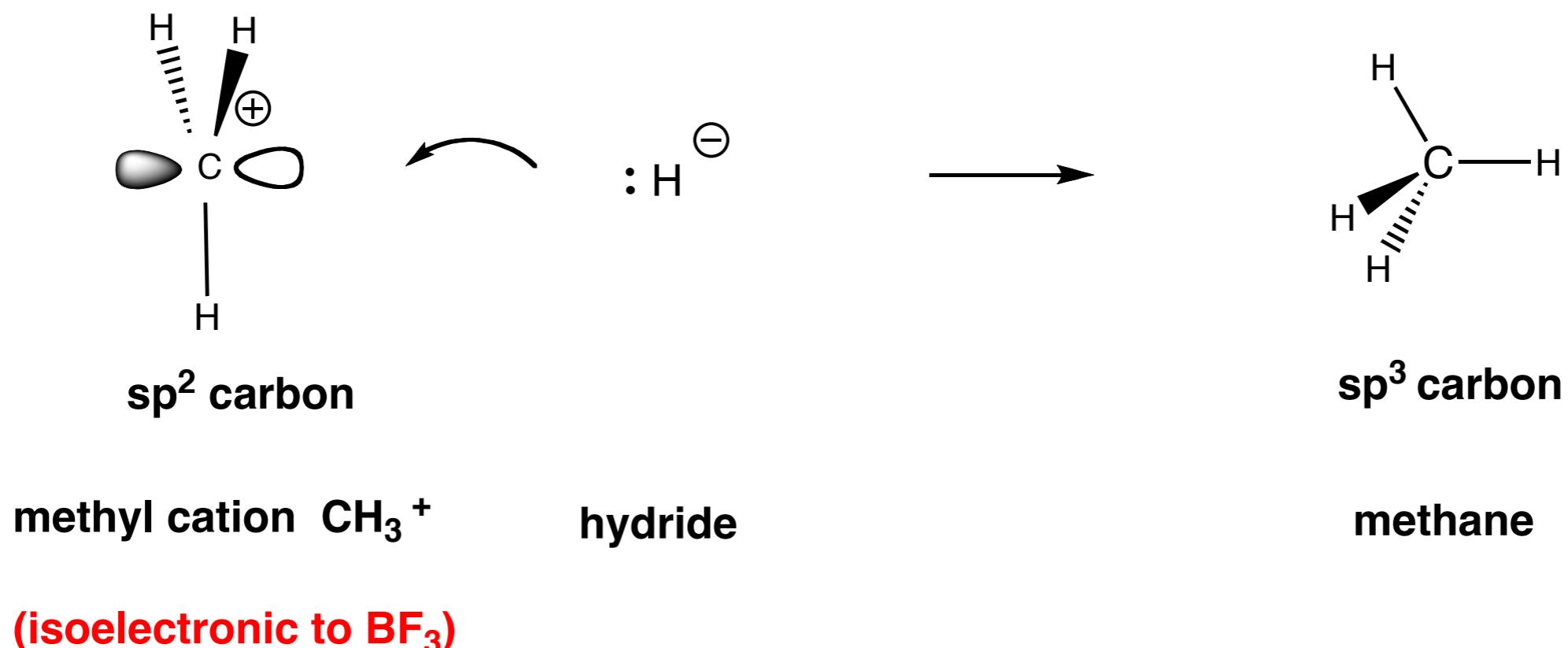
化学反応の初期状態 (pre-association complexes in chemical reactions)

etc.

分子の世界で普遍的に働く安定化相互作用であり、電子の非局在化機構の一一種として非常に重要な役割を果たしている。

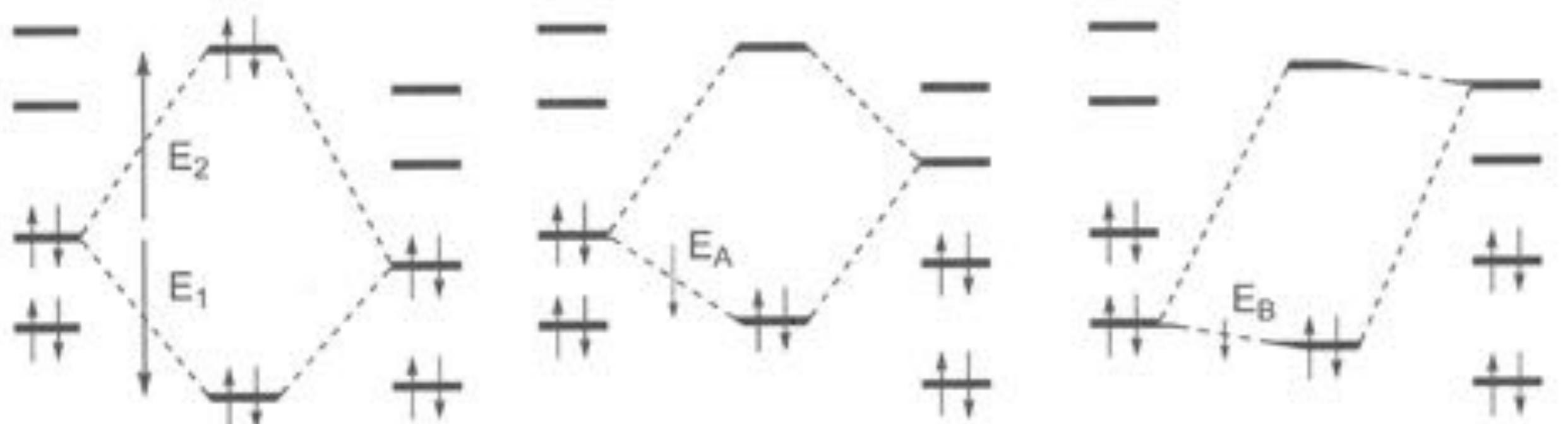


**trifluoroborane  $\text{BF}_3$**       **ammonia  $\text{NH}_3$**       **Lewis acid-base complex**



# The Perturbation Theory of Reactivity

## 反応性の摂動理論



(a) The interaction of one filled orbital with another

(b) The interaction of the HOMO with the LUMO

(c) The interaction of a lower filled MO with a higher unfilled MO

Fig. 3.3 The interactions of the molecular orbitals of one molecule with the molecular orbitals of another

# The Salem-Klopman Equation

$$\Delta E = \underbrace{- \sum_{ab} (q_a + q_b) \beta_{ab} S_{ab}}_{first\ term} + \underbrace{\sum_{k < l} \frac{Q_k Q_l}{\varepsilon R_{kl}}}_{second\ term} + \underbrace{\sum_r^{\text{occ.}} \sum_s^{\text{unocc.}} - \sum_s^{\text{occ.}} \sum_r^{\text{unocc.}} \frac{2(\sum_{ab} c_{ra} c_{sb} \beta_{ab})^2}{E_r - E_s}}_{third\ term}$$

各原子の  
内核電子間反発部分

静電力  
相互作用部分

占有軌道-非占有軌道  
相互作用部分

*The first term* is the first-order, closed-shell repulsion term (Fig. 3.3a).

*The second term* is simply the Coulombic repulsion or attraction.

*The third term* represents the interaction of all the filled orbitals with all the unfilled of correct symmetry (Fig. 3.3b and 3.3c).

# The Salem-Klopman Equation

$$\Delta E = \underbrace{- \sum_{ab} (q_a + q_b) \beta_{ab} S_{ab}}_{first\ term} + \underbrace{\sum_{k < l} \frac{Q_k Q_l}{\varepsilon R_{kl}}}_{second\ term} + \underbrace{\sum_r^{\text{occ.}} \sum_s^{\text{unocc.}} - \sum_s^{\text{occ.}} \sum_r^{\text{unocc.}} \frac{2(\sum_{ab} c_{ra} c_{sb} \beta_{ab})^2}{E_r - E_s}}_{third\ term}$$

各原子の  
内核電子間反発部分

静電力  
相互作用部分

占有軌道-非占有軌道  
相互作用部分

As two molecules collide, three major forces operate.

- The occupied orbitals of one repel the occupied orbitals of the other.*
- Any positive charge on one attracts any negative charge on the other (and repels any positive).*
- The occupied orbitals (especially the HOMOs) of each interact with the unoccupied orbitals (especially the LUMOs) of the other, causing an attraction between the molecules.*

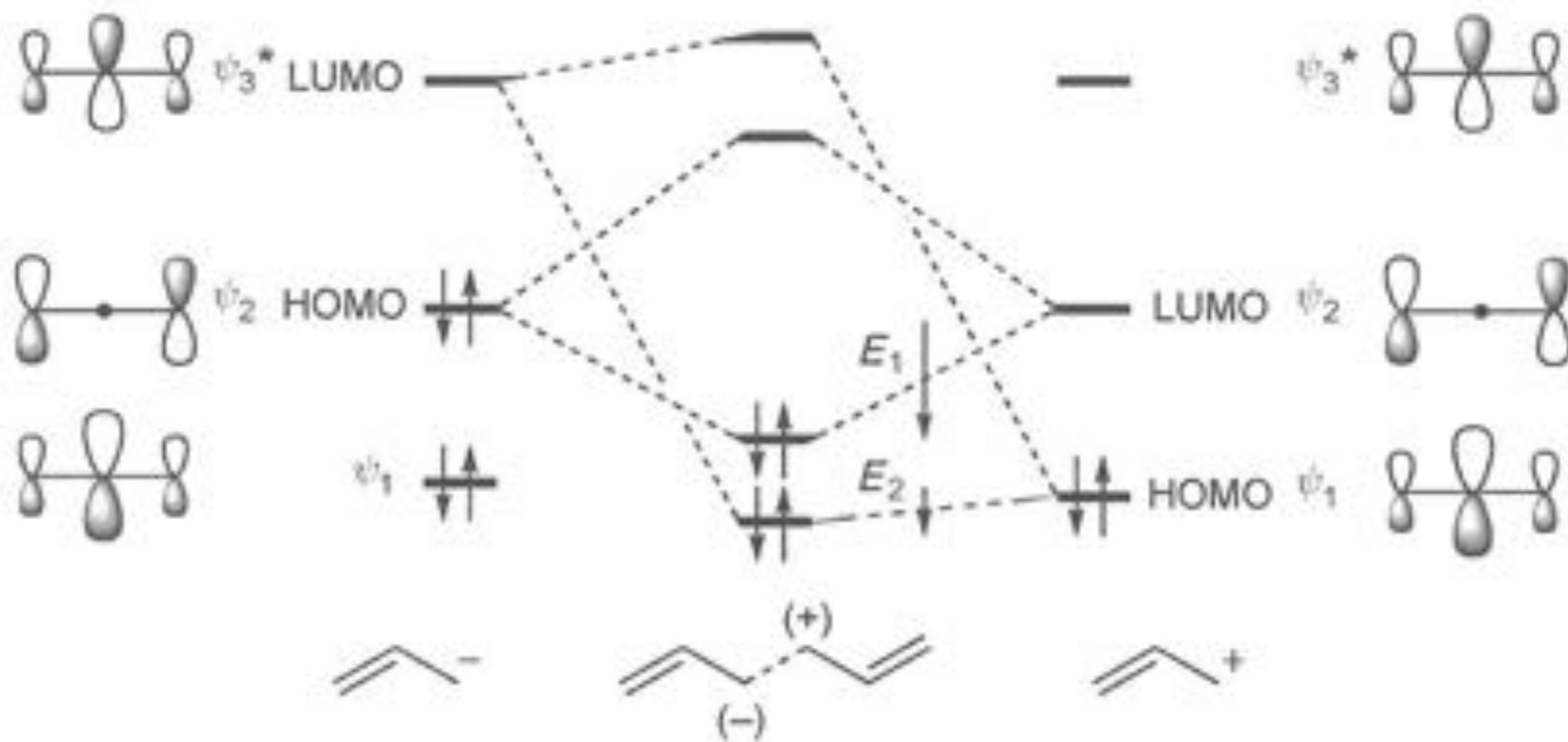
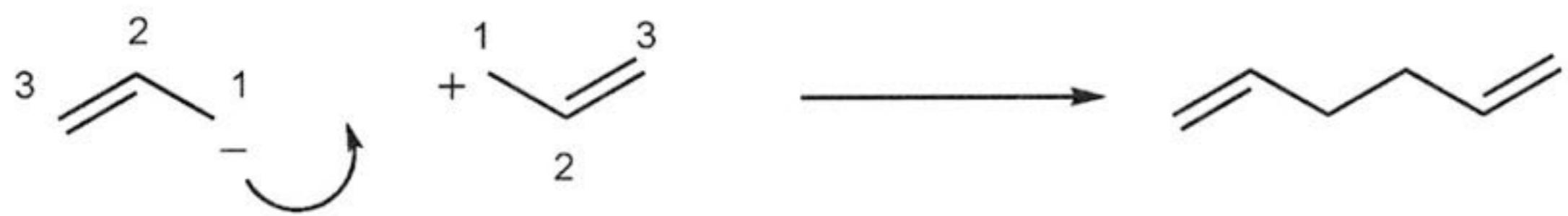
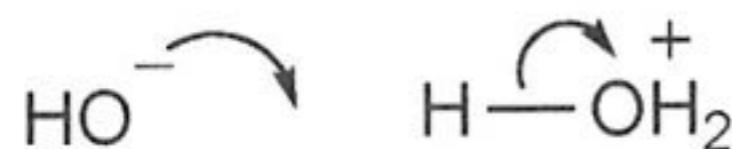


Fig. 3.4 Orbital interactions in the reaction of the allyl anion with the allyl cation

# Hard and Soft Nucleophiles and Electrophiles



**hard base and hard acid**



**hard base and soft acid**



**soft base and soft acid**



**soft base and hard acid**

*Hard nucleophiles have a low-energy HOMO and usually have a negative charge.*

*Soft nucleophiles have a high-energy HOMO but do not necessarily have a negative charge.*

*Hard electrophiles have a high-energy LUMO and usually have a positive charge.*

*Soft electrophiles have a low-energy LUMO but do not necessarily have a positive charge.*

(i) A hard-hard reaction is fast because of a large Coulombic attraction.

**hard-hard** 相互作用は静電相互作用が主要因

(ii) A soft-soft reaction is fast because of a large interaction between the HOMO of the nucleophile and the LUMO of the electrophile.

**soft-soft** 相互作用はHOMO(求核種)—LUMO(親電子種)相互作用が主要因

(iii) The larger the coefficient in the appropriate frontier orbital (of the atomic orbital at the reaction centre), the softer the reagent.

Frotier軌道係数が大きくなるほど試薬はよりsoftになる

## Other Factors Affecting Chemical Reactivity

Strain in the  $\sigma$  framework

○骨格の歪み

Factors which affect the entropy of activation 活性化エントロピー

solvent effects

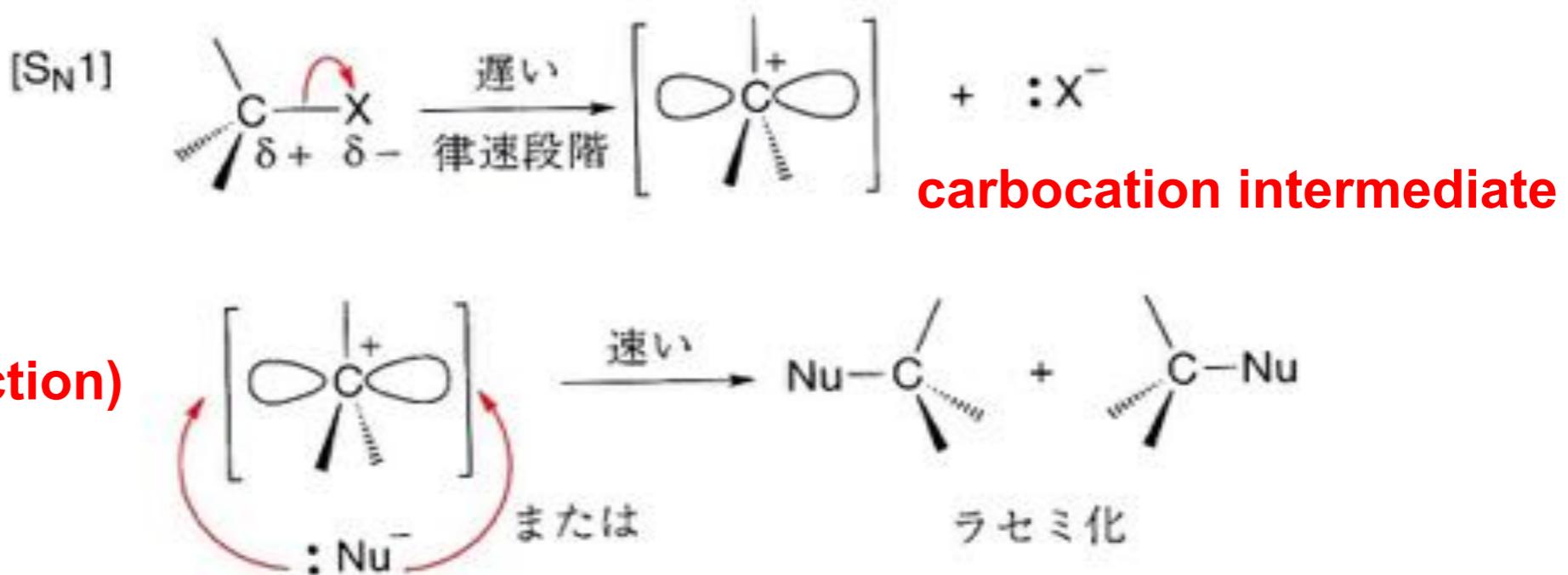
溶媒効果 (分子間相互作用)

# Nucleophilic Substitution Reactions of Alkyl Halide

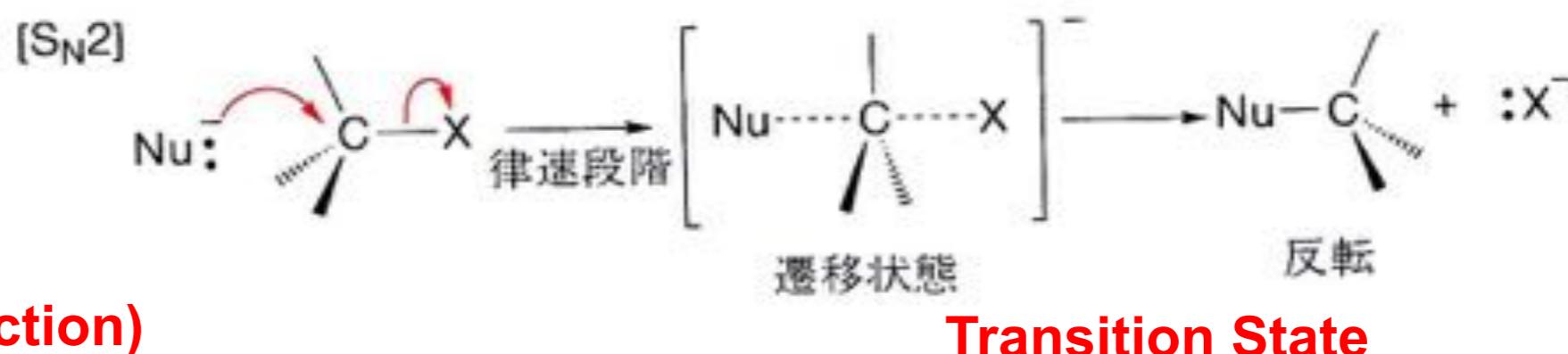
(アルキルハライドの求核置換反応)



**Substitution  
Nucleophilic  
Unimolecular  
(two-step reaction)**



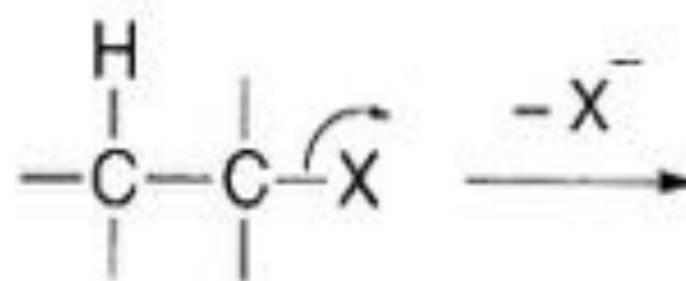
**Substitution  
Nucleophilic  
Bimolecular  
(one-step reaction)**



## $S_N1$ (Substitution Nucleophilic Unimolecular) Reaction vs E1 (Elimination Unimolecular) Reaction

$S_N1$  反応と E1 反応は競争的に起こる

$S_N1$  反応と E1 反応



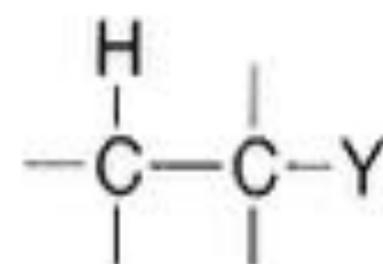
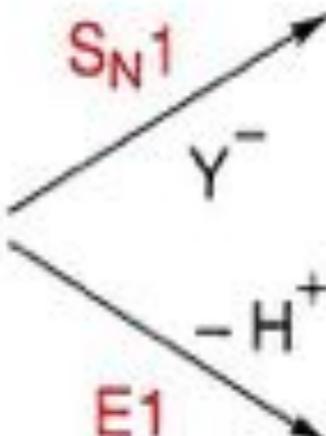
求核性の低い試薬

第三級ハロゲン化アルキル

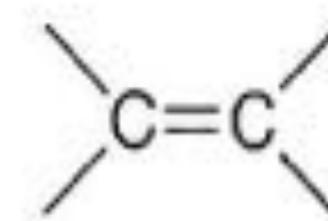
プロトン性極性溶媒

Carbocation  
Intermediate

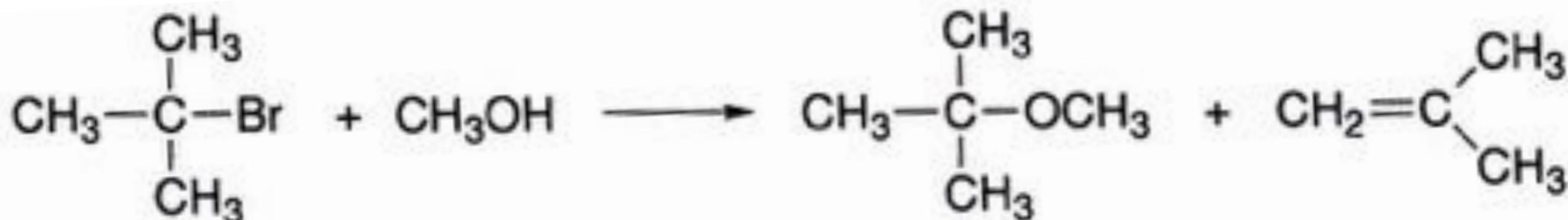
Substitution



Elimination



## Example: S<sub>N</sub>1 vs E1

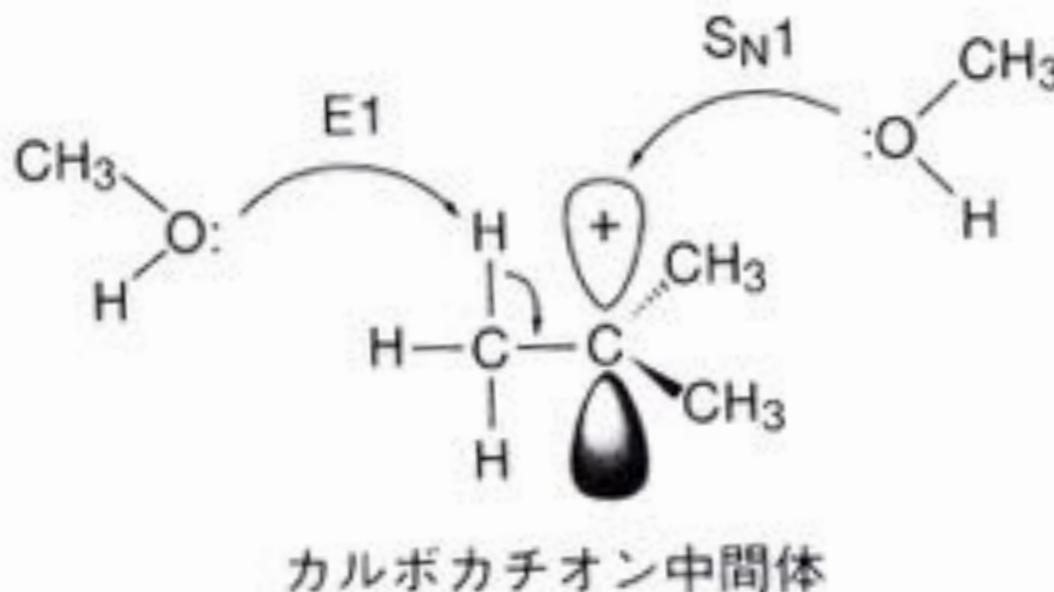


2-ブロモ-2-メチル  
プロパン

2-bromo-2-methylpropane

S<sub>N</sub>1 生成物

E1 生成物



炭素陽イオンはルイス酸として働く

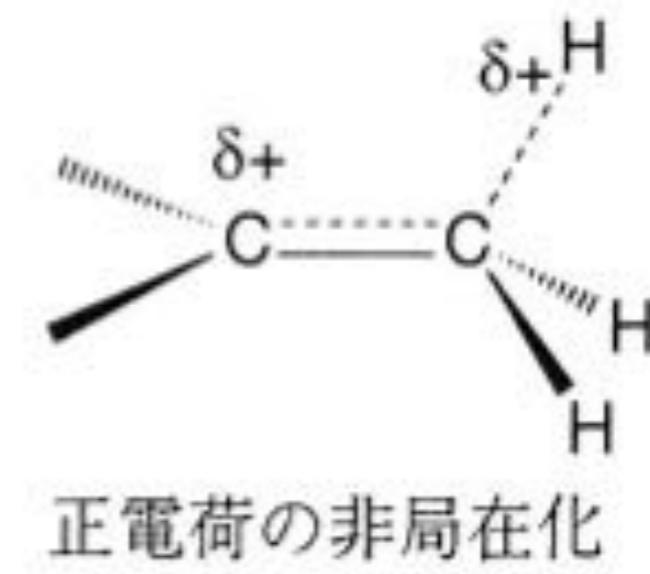
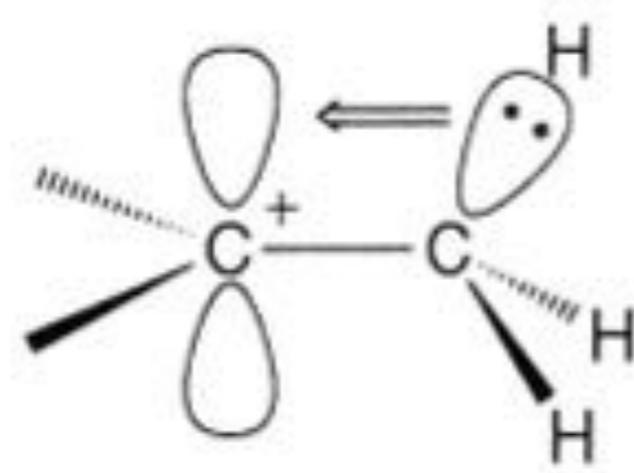
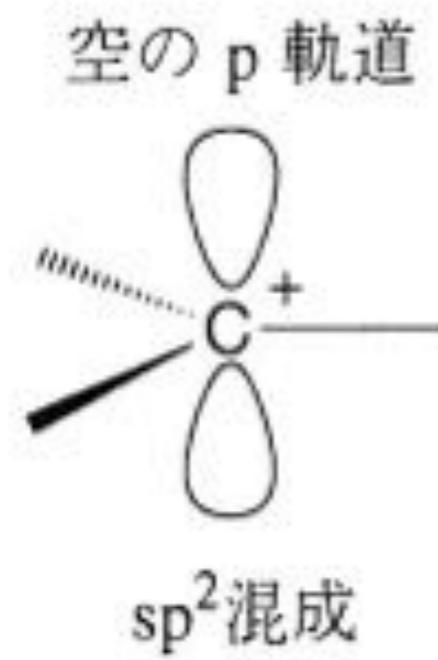
S<sub>N</sub>1: メタノールのOの非共有電子対が求核的攻撃

E1: メタノールのOの非共有電子対が塩基として作用してプロトンを引き抜く

炭素陽イオンはブレンストッド酸として働く

# Hyperconjugative Stabilization in tert-Butyl Cation

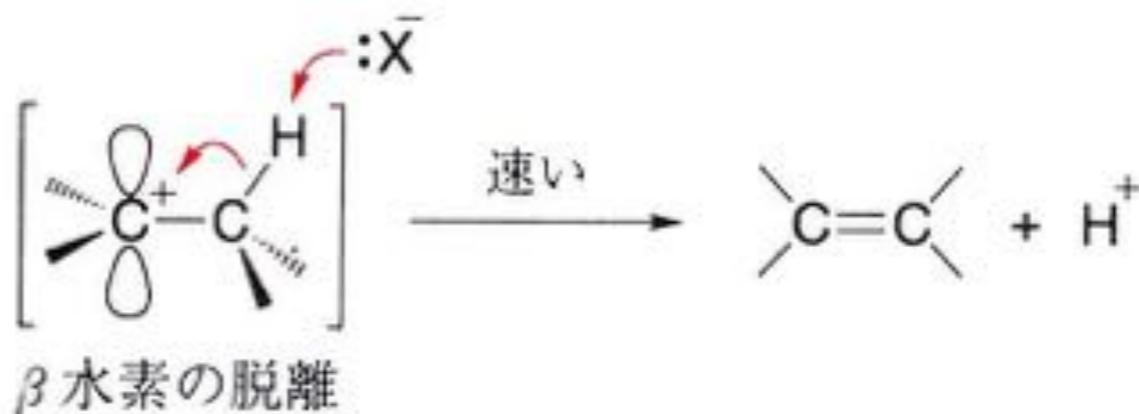
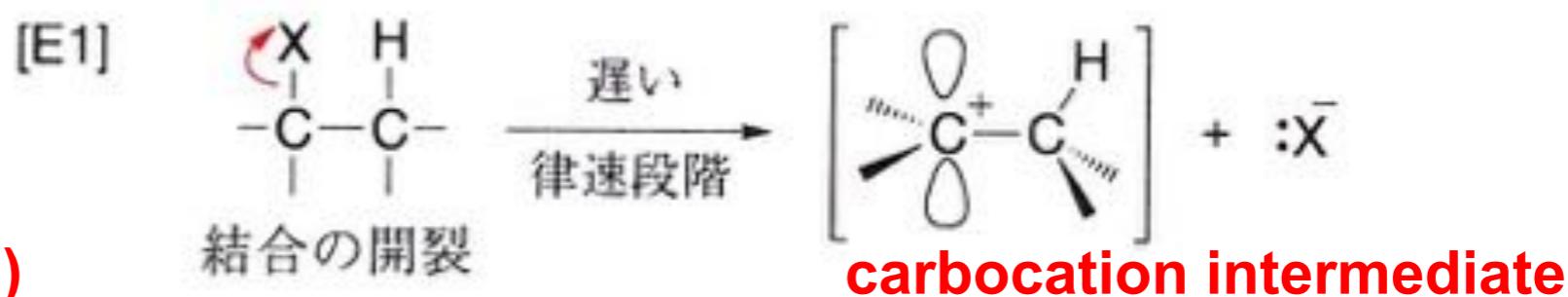
(3級ブチルカチオンにおける超共役安定化)



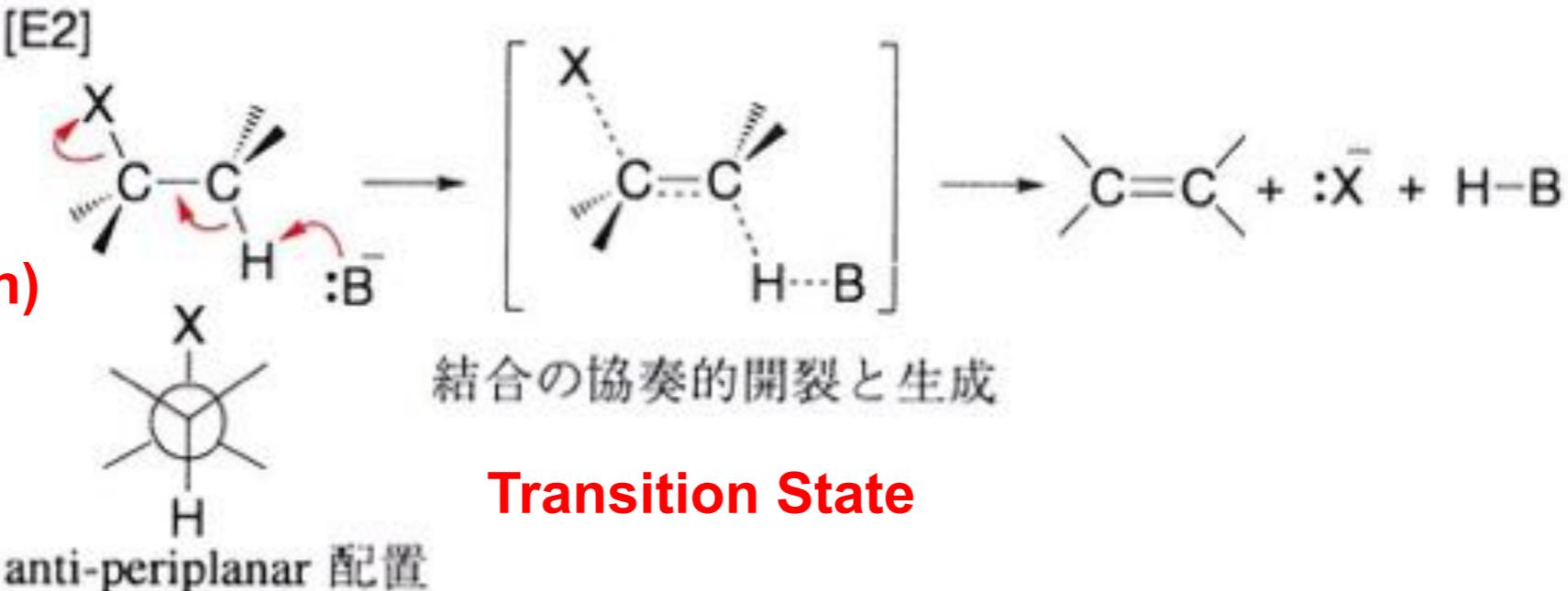
Hyperconjugative Stabilization  
超共役安定化

## Elimination Reactions of Alkyl Halide (アルキルハライドの 脱離反応)

**Elimination  
Unimolecular  
(two-step reaction)**

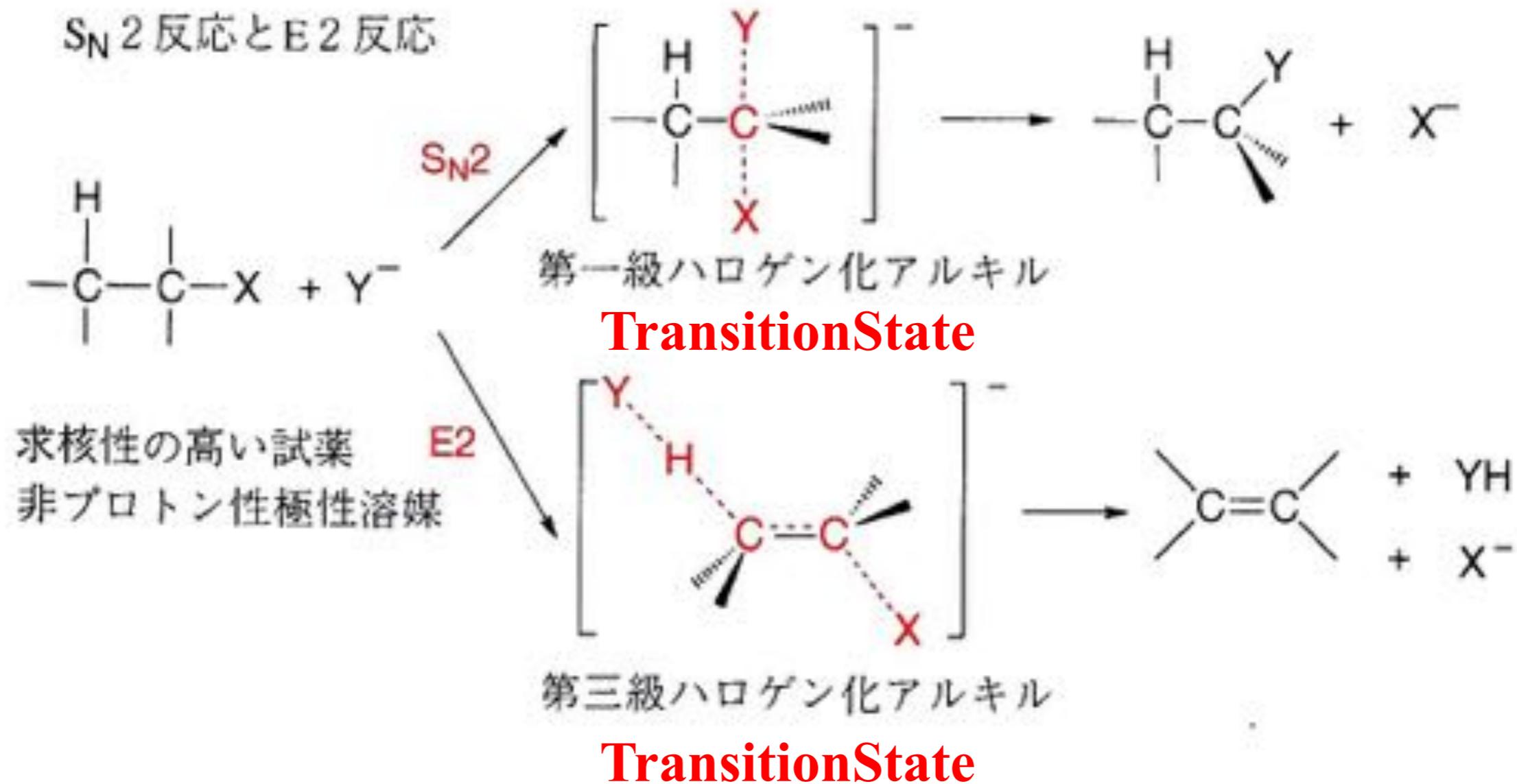


**Elimination  
Bimolecular  
(one-step reaction)**

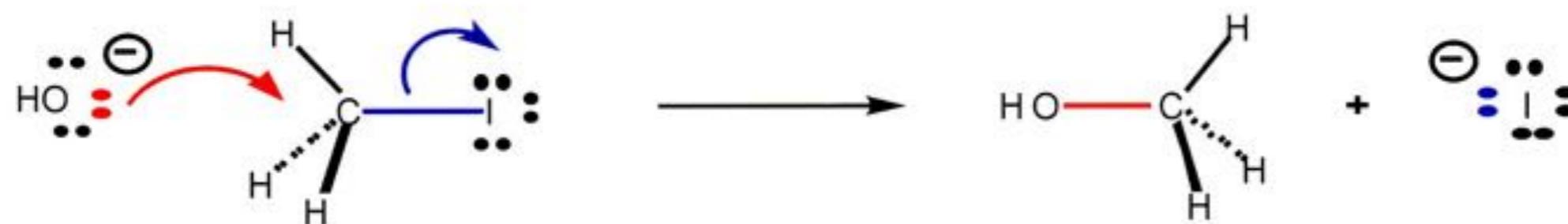


# $S_N2$ (Substitution Nucleophilic Bimolecular) Reaction vs E2 (Elimination Bimolecular) Reaction

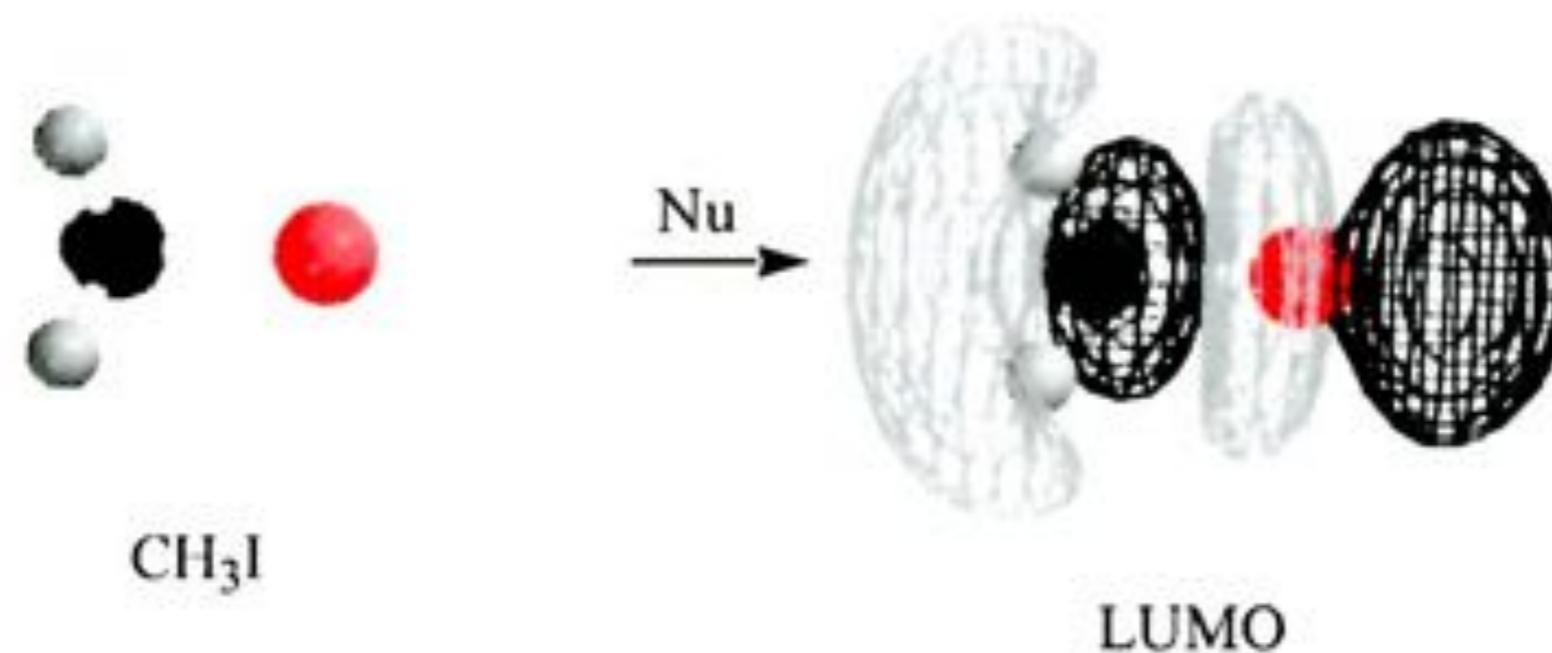
$S_N2$ 反応とE2 反応は競争的に起こる



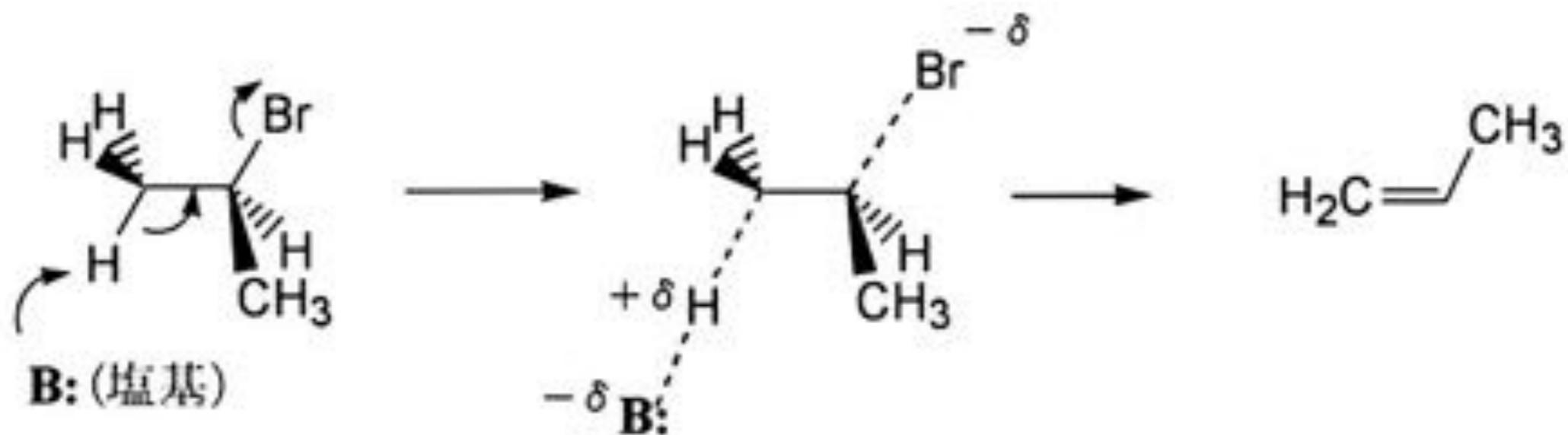
## 有機電子論によるS<sub>N</sub>2反応の説明



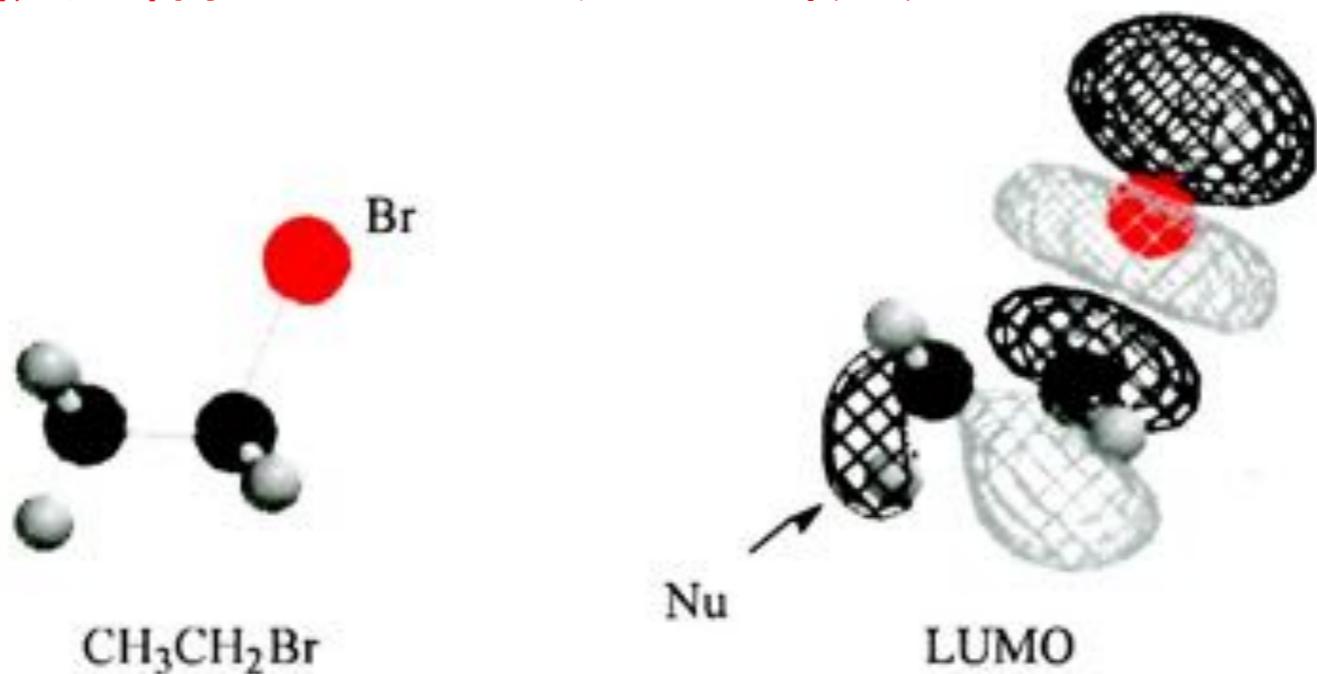
## フロンティア軌道論によるS<sub>N</sub>2反応の説明



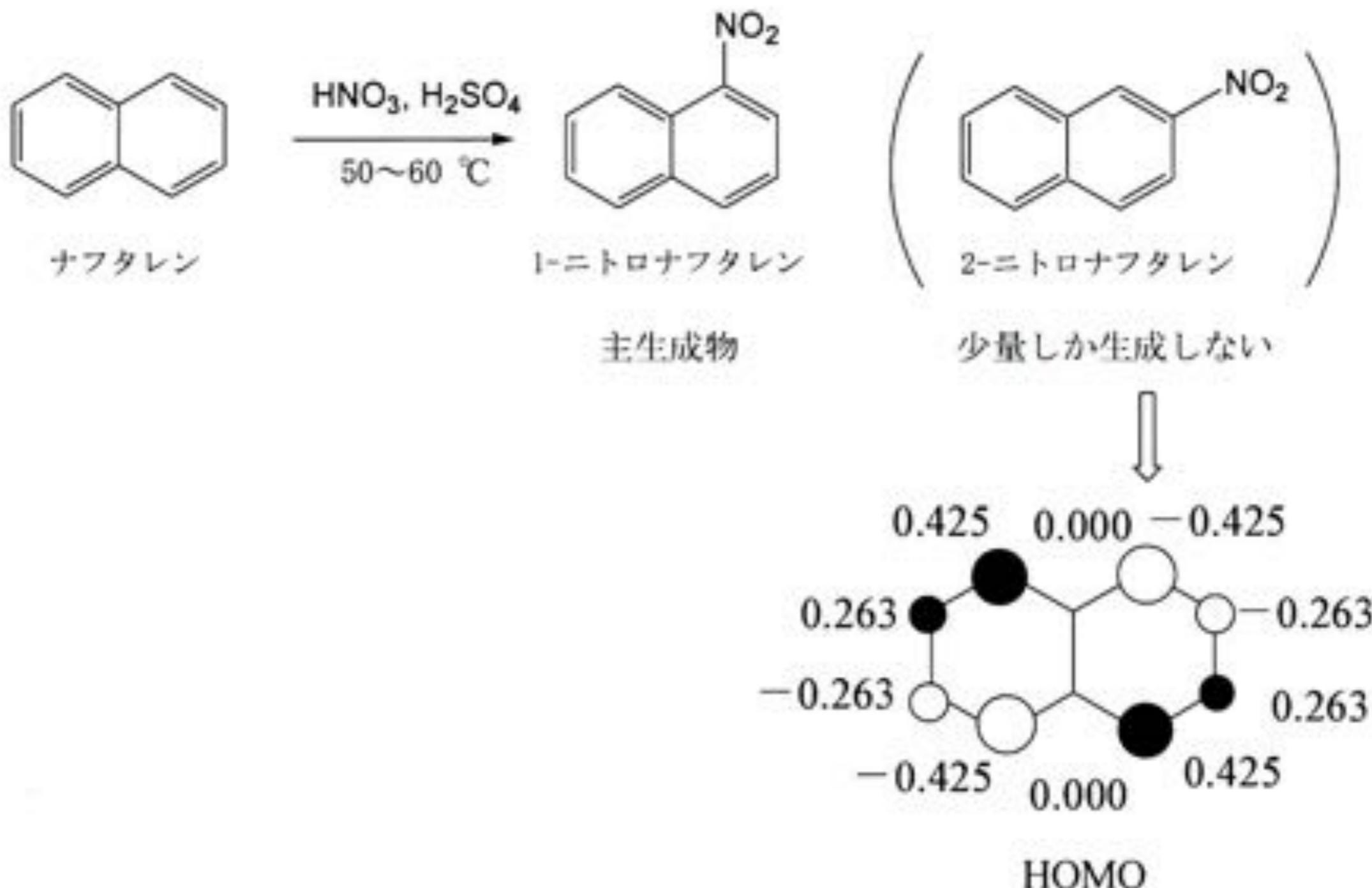
## 有機電子論によるE2 反応の説明



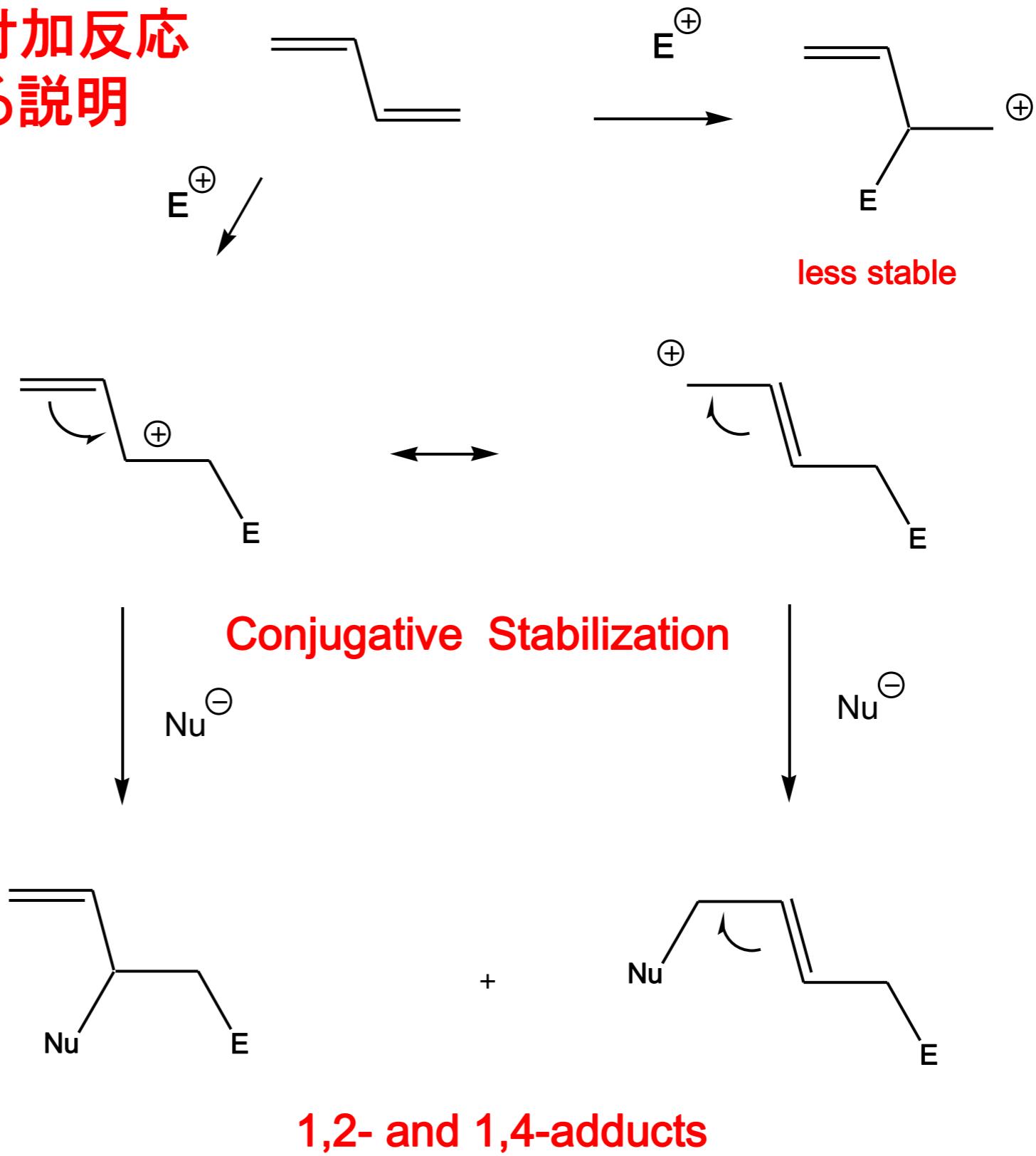
## フロンティア軌道論によるE2 反応の説明



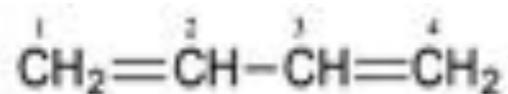
## ナフタレンの親電子ニトロ化反応のフロンティア理論による解釈

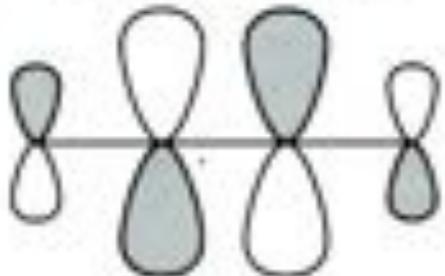
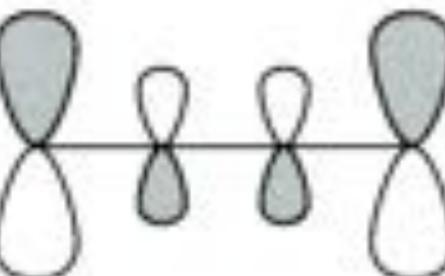
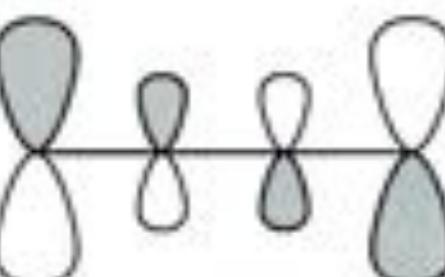
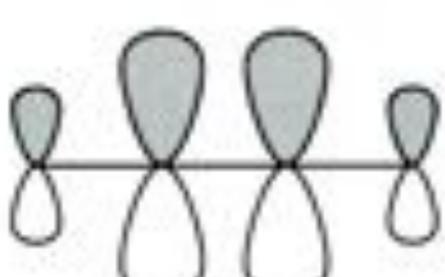


## 親電子種( $E^+$ )の付加反応 有機電子論による説明



# ブタジエンの分子軌道と軌道エネルギー

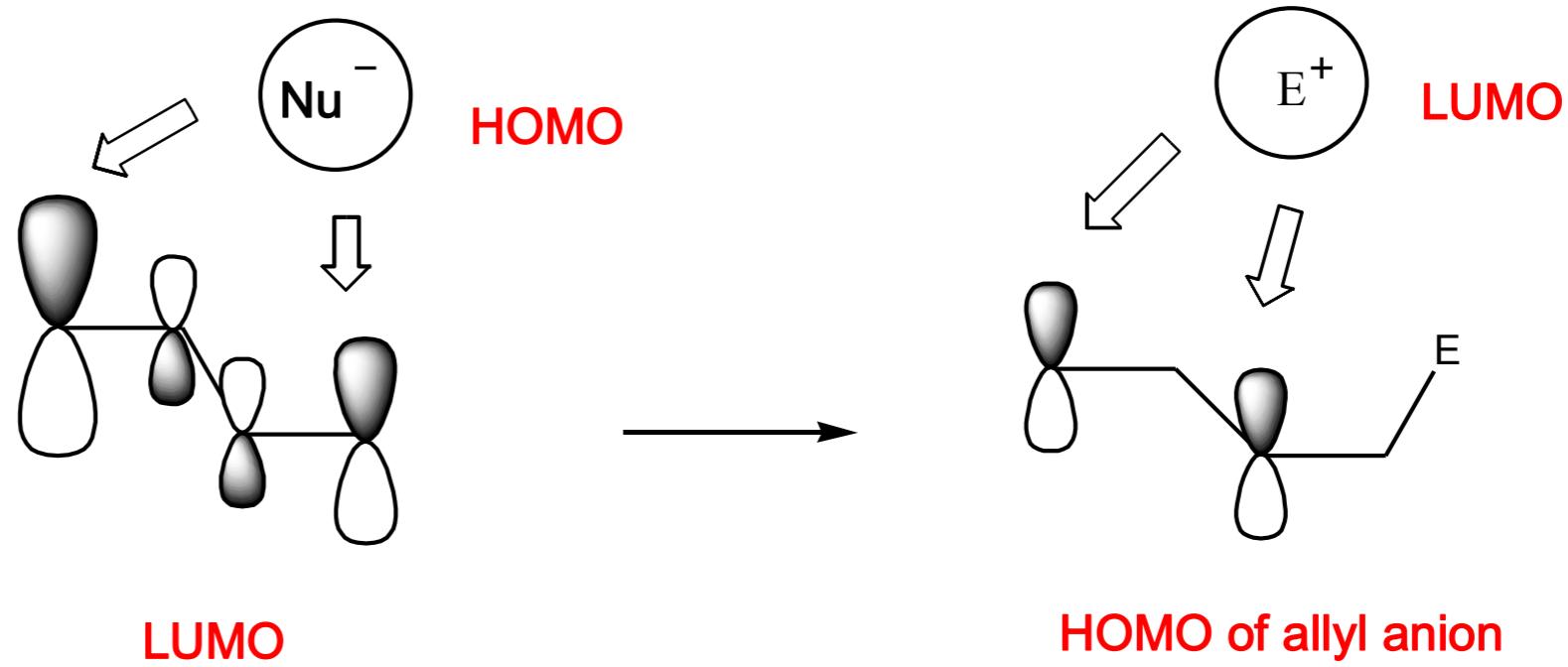
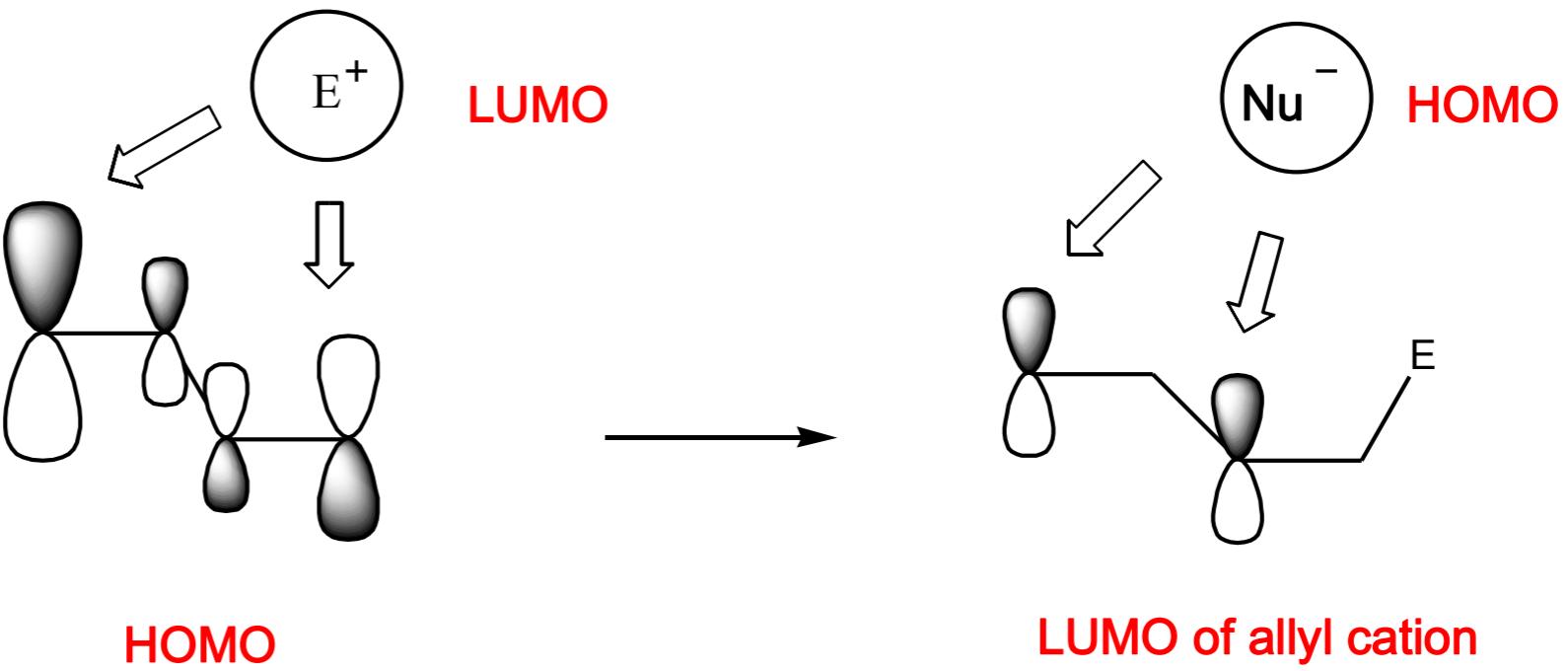


分子軌道の概形	分子軌道関数	軌道エネルギー	基底電子配置
	$\Psi_1 = 0.372\chi_1 + 0.602\chi_2 + 0.602\chi_3 + 0.372\chi_4$	$\epsilon_1 = \alpha + 1.618\beta$	—
	$\Psi_2 = 0.602\chi_1 - 0.372\chi_2 - 0.372\chi_3 + 0.602\chi_4$	$\epsilon_2 = \alpha - 0.618\beta$	—
	$\Psi_3 = 0.602\chi_1 + 0.372\chi_2 - 0.372\chi_3 - 0.602\chi_4$	$\epsilon_3 = \alpha + 0.618\beta$	
	$\Psi_4 = 0.372\chi_1 - 0.602\chi_2 + 0.602\chi_3 - 0.372\chi_4$	$\epsilon_4 = \alpha - 1.618\beta$	

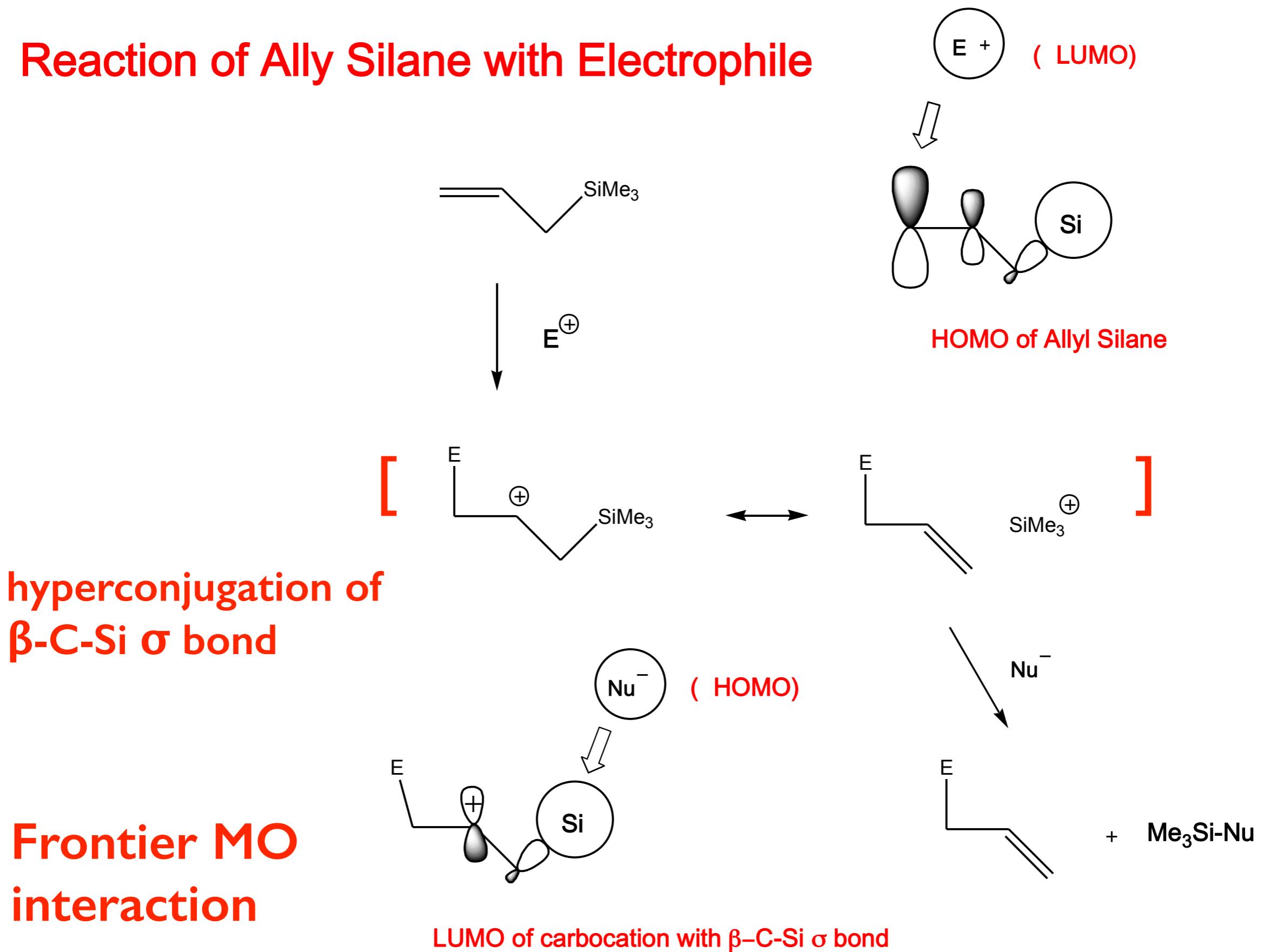
最低空軌道 Lowest Unoccupied Molecular Orbital (LUMO)

最高被占軌道 Highest Occupied Molecular Orbital (HOMO)

## フロンティア軌道論による説明



# Reaction of Ally Silane with Electrophile



# Hyperconjugative Stabilization of Carbocation by C-Si $\sigma$ Bond vs C-C $\sigma$ Bond

